

DOE SBIR/STTR Phase I Application Checklist

Required Forms	Required Attachments (PDF format)	Additional Attachments (if applicable, PDF format)
<input type="checkbox"/> Application for Federal Assistance SF-424		
<input type="checkbox"/> Research and Related: Budget Form	<input type="checkbox"/> Budget Justification <i>Attach to Field K</i>	<input type="checkbox"/> Additional Senior Key Persons <i>Attach to Field A. 9</i> <input type="checkbox"/> Additional Equipment <i>Attach to Field C.11</i>
<input type="checkbox"/> Research and Related: Senior/Key Person Profile Form	<input type="checkbox"/> Biographical Sketch for each person <i>Attach to Appropriate Block</i>	<input type="checkbox"/> Current and Pending Support for each person <i>Attach to Appropriate Block</i>

Required Forms

Required Attachments
(PDF format)

Additional Attachments
(if applicable, PDF format)

Research and Related: Other Project Information

Project Abstract and Summary
Attach to Field 7

Letter of Commitment for consultant, subaward, or research institution
Attach to Field 12

Project Narrative Including PIER Plan
Attach to Field 8

STTR only: Company Commercialization Report from SBIR.gov
Attach to Field 12

Data Management Plan
Attach to Field 12

Disclosure of Foreign Relationships
Attach to Field 12

Authorization for DOE/NNSA FFRDC
Attach to Field 12

Level of Effort & Max Funding Worksheet
Attach to Field 12

Authorization for non-DOE/NNSA FFRDC
Attach to Field 12

SBA Company Registration
Attach to Field 12

Project/Performance Site Locations

Required Forms



SBIR/STTR
Information
Form

Required Attachments
(PDF format)



Phase I
Commercialization
Plan Including
Letters of Support
Attach to Field 8

Additional Attachments
(if applicable, PDF format)



SBIR only or Both
SBIR/STTR:
Company
Commercialization
Report from
SBIR.gov
Attach to Field 9

Additional Forms
(if applicable)



Research and
Related Sub-
award Budget
Form

Attachments
(PDF format)



Budget Justification
for each sub-award
*Attach to
Appropriate Block*



SF-LLL
Disclosure of
Lobbying
Activities