



Preparing to Manufacture – Commercialization Workshop

Welcome! The workshop will get started at 1PM.

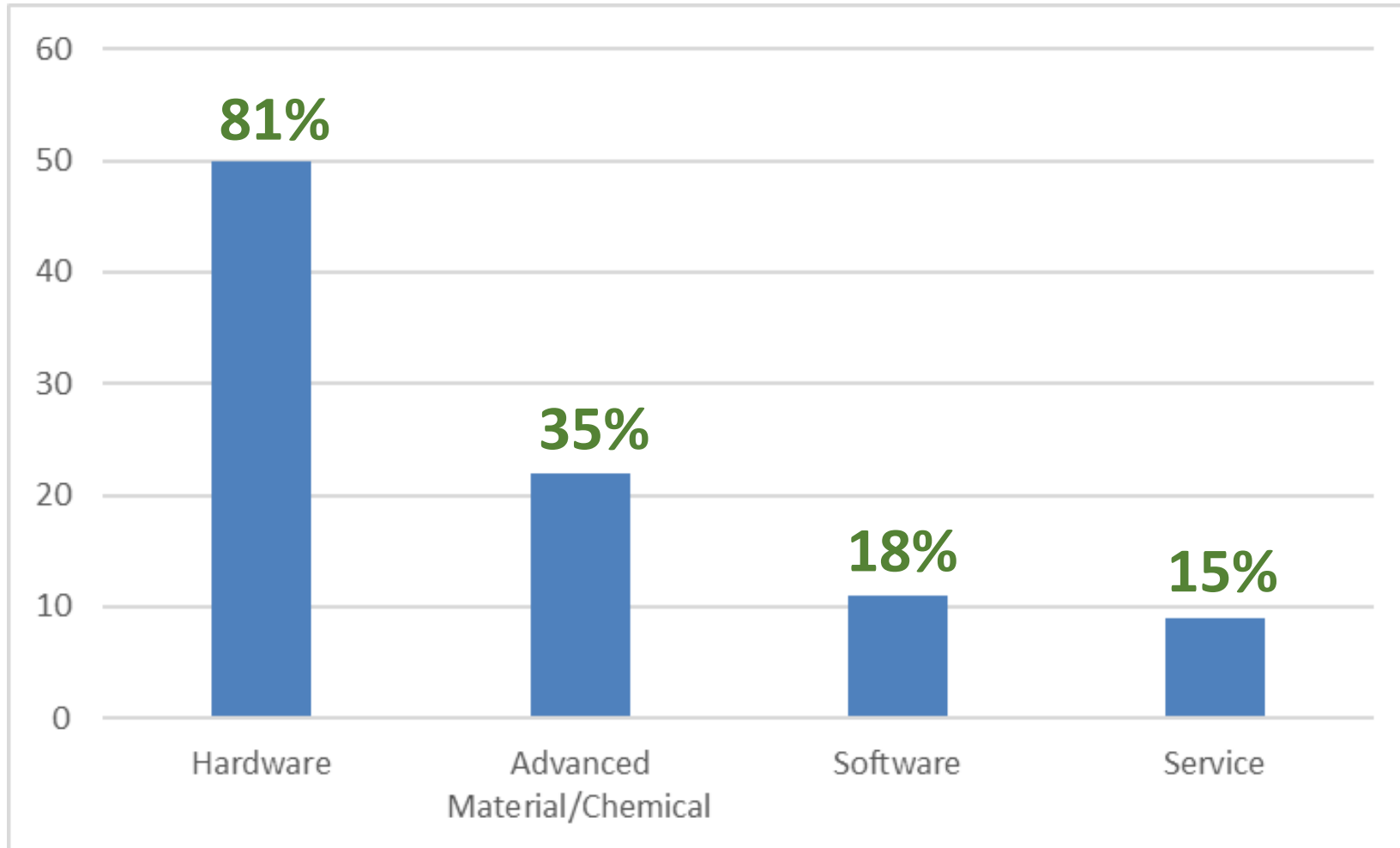
Workshop recording and slides will be posted.



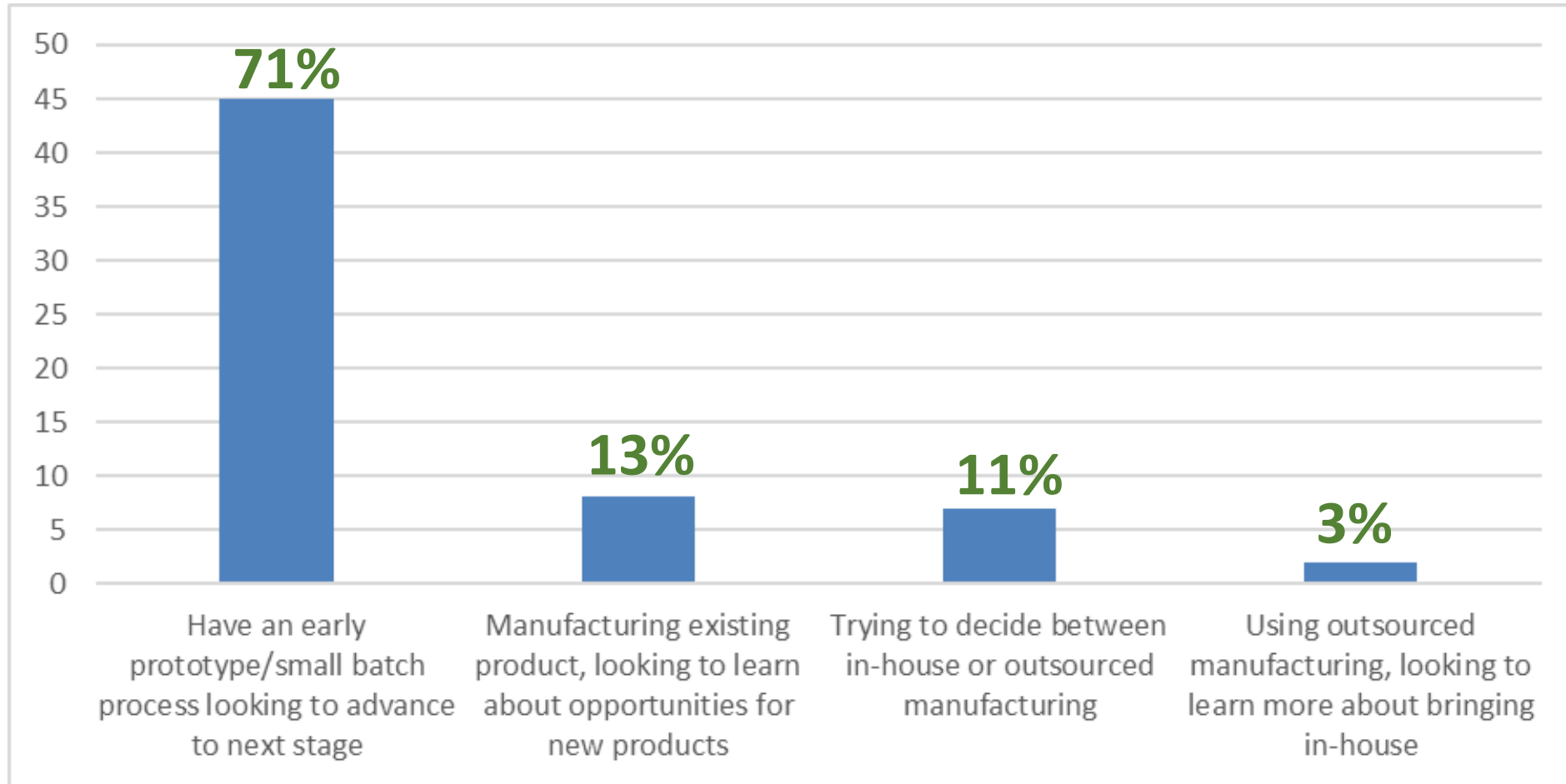
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Programs

Majority looking to manufacture HARDWARE



Majority looking to scale manufacturing



Workshop Agenda



1:00 PM — 1:10 PM

Welcome & Updates on DOE Partnering Resources

Carol Rabke | Tech to Market (T2M) Advisor - Partnering

1:10 PM — 2:10 PM

The Road to Manufacturing: How to Get a Prototype into Production

Chuck Hodges | Co-Founder and CEO, Zebulon Solutions, Inc.

Jenney Loper | Director of Operations, Zebulon Solutions, Inc.

2:10 PM – 2:40 PM

Introspective Portfolio Assessment (IPA)

Joe Cresko | Co-Director, Lab-Embedded Entrepreneurial Program (LEEP)

Industrial Efficiency & Decarbonization Office (IEDO), DOE).

2:40 PM – 3:15 PM

National Institute of Standards and Technology (NIST)

Manufacturing Extension Partnership (MEP) & Manufacturing USA Resources

Jyoti Malhotra | Division Chief for National Programs, NIST MEP

Don Ufford | Advanced Manufacturing National Program Office, NIST

3:15 PM – 3:55 PM

Awardee Panel - Lessons Learned

Reza Shaeri | Advanced Cooling Technologies, Inc.

Mike Kempkes | Diversified Technologies, Inc.

Natalia Bencomo | Giner, Inc.

Manish Gupta | Nikira Labs - Los Gatos

Jeff DiMaio | Tetramer, Inc.



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DOE Partnering Resource Updates

Carol Rabke, Ph.D.

Tech to Market (T2M) Advisor - Partnering

carol.rabke@science.doe.gov



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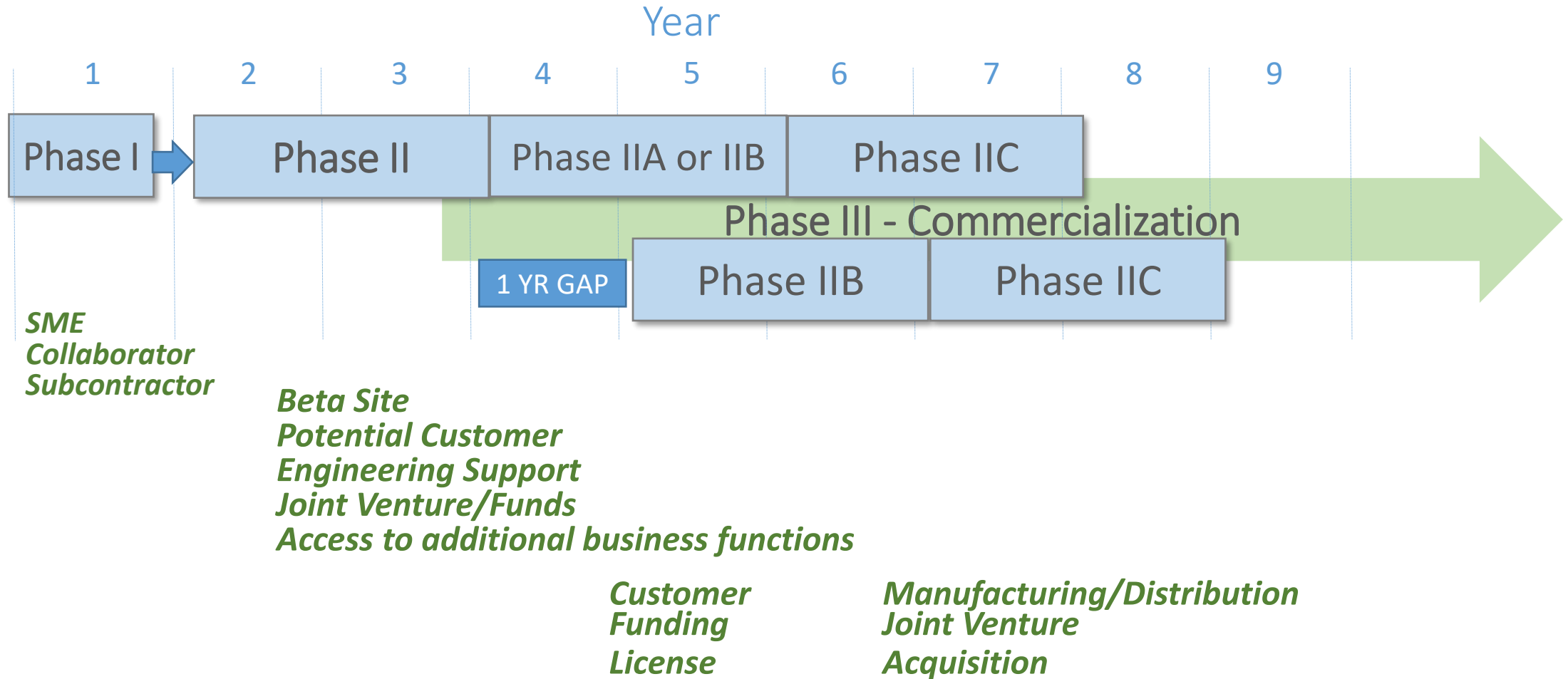
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You will need
partners to
successfully
commercialize...

Commercialization
is hard...



Partnering needs vary by development stage...



Take Advantage of DOE Provided Resources

- **Commercialization Training/Support** for Awardees (optional)
 - **TABA** – additional funds provided; DOE selected vendor program in Phase I or use own third-party vendor; **MUST** use third-party vendor in Phase II
 - **Phase Shift I** – customer discovery
 - **Phase Shift II** – deeper dive on financial models, cash flow and customer sales cycles
- **Virtual Quarterly Commercialization Workshops** focus on topics that are typical areas of weakness; [recordings and FY24 schedule posted](#).
- **virtual Partner Pitch Program (vP³)** provides opportunity for Phase II technology to be promoted to potential strategic partners/investors in a non-threatening environment; **FY24 sessions start April 30th**
- **SBIR Partnering Platform** provides public facing, self-supporting searchable database repository where SBIR/STTR applicants/awardees (**INNOVATORS**) can find potential partners (**PARTNERS**)



Virtual Quarterly Commercialization Workshops



- Focus on topics that are typical areas of weakness - manufacturing, licensing, financial modeling, preparing to pitch, intellectual property strategies, etc.
 - *FY22 Q4 - Commercialization and the Power of Partnering*
 - *FY23 Q1 - Preparing to Pitch*
 - *FY23 Q2 - Financial Modeling*
 - *FY23 Q3 - Navigating Phase III Contracting*
 - *FY23 Q4 - Licensing*
 - *FY24 Q1 – Manufacturing*
 - *FY24 Q2 (June 12th) – Financial Modeling based on Cash Flow*
 - *FY24 Q3 (October 9th) – Preparing for Product Launch*
 - *FY24 Q4 (December 12th) – Developing a Strategic Cap Table*



Register on the SBIR Partnering Platform!



- **SBIR Partnering Platform** provides searchable database where SBIR/STTR applicants (**INNOVATORS**) can find potential **PARTNERS** and network with other **INNOVATORS** to complete your team through collaboration and/or subcontract
 - Find **PARTNERS** using keyword and AI searching; myriad of filtering options
 - Find SBIR funding opportunities across all agencies
 - Bookmark favorites; Confidential messaging
 - Network with other **INNOVATORS** on the **Community Page**; collaborate/subcontract to complete your team!
 - Newsfeed for applicable industry/stakeholder news
- As an SBIR/STTR applicant, register as an **INNOVATOR**; review the **Platform Overview for Innovators** webinar



<https://www.sbirpartnering.com/>



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DOE Disclaimer: By enabling and publishing the DOE SBIR Partnering Platform, DOE is not endorsing, sponsoring, or otherwise evaluating the qualifications of the individuals and organizations that appear on this platform as partners, resources, awardees or innovators.


Awardees can find funding opportunities & partners




My Dashboard

Features


Messages
View your conversations, reply to messages and send new messages to partners.
[Chat >](#)


Search
Search for partners and funding opportunities with keyword or AI-assisted recommendations.
[Partners >](#) [Funding >](#)


My Profile
Edit your personal information and organization details, add technologies, or update your password.
[Edit >](#)

Your Saves

Manage, export, or set notifications for your saved SBIR awards here. Select an item to view additional details.



Awardees search based on their unique needs



My Dashboard / Partner Search

Keyword Search AI-Powered

Partner Search

Search by keyword

Service Category (1) Clear All

View By State Partner Role Service Category Show 20 of 569 partners

1 2 3 4

Southwest Research

San Antonio, TX

Description
SwRI, headquartered in San Antonio, Texas, is a nonprofit, applied research and development organization serving industrial and government clients. SwRI consists of nine research centers in physical sciences.

Energy Advanced Materials
Advanced Instrumentation Artificial Intelligence

Transportation Advanced Computing

View Details

Aon – Intellectual Property Solutions

New York, NY <https://www.aon.com/>

Service Category	Count
<input checked="" type="checkbox"/> Commercialization Services	27
<input type="checkbox"/> Engineering Design	160
<input type="checkbox"/> Industry Stakeholder	1
<input type="checkbox"/> Manufacturing	150
<input type="checkbox"/> Technical	2

Partner Role	Count
<input type="checkbox"/> Corporate Venture	11
<input type="checkbox"/> Incubator Accelerator	9
<input type="checkbox"/> Industry Stakeholder	18
<input type="checkbox"/> Investor	20
<input type="checkbox"/> Provider	480



NEW Innovator Community Section!



Dashboard

Community

Search ▾

Logout

🏠 My Dashboard

👥 Community

📡 News Feed

Features



Messages

View your conversations, reply to messages and send new messages to partners.

Chat >



Search

Search for partners and funding opportunities with keyword or AI-assisted recommendations.

Partners >

Funding >



My Profile

Edit your personal information and organization details, add technologies, or update your password.

Edit >

📌 Your Saves

Manage, export, or set notifications for your saved SBIR awards here. Select an item to view additional details.



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Engage with other INNOVATORS



Dashboard

Community

Search ▾

Logout

My Dashboard / Community

Community Social Feed

Create Post

Filter by

All

All

Announcement

Looking for a Mentor

Looking for a SME

Looking to collaborate/subcontract on a particular topic and/or project

Phase II Diversity Supplement engagement

Sort by

Recent

Announcements (past 30 days)

New Feature New Feature New Feature

17 hours ago | Michael B.

1 0

Michael B. 17 hours ago | admin

New Feature New Feature New Feature

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Donec nibh diam, venenatis non finibus sit amet, aliquet vitae metus. In ac purus ipsum. Suspendisse a fringilla mauris. Vestibulum placerat te...

Announcement



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Network with other INNOVATORS and Follow Relevant News...



Dashboard Community Search Logout

My Dashboard

Community News Feed

Features

My Dashboard / Community

Create Post

Select at least one subject tag

Title
Give your post a title (250-character max)

Post
Provide details regarding your announcement or event (5000-character max)

- Looking for a Mentor
- Looking for a SME
- Looking to collaborate/subcontract on a particular topic and/or project
- Phase II Diversity Supplement engagement
- Other

Post

the social feed to

Other DOE Partnering Resources



- Looking for SMEs, facilities, collaborators at National Labs? Visit <https://www.labpartnering.org/>
 - *Another way to find SMEs, collaborators, subcontractors - review related research being done at research institutes (universities, colleges); check publications*
- Looking for facilities for testing, integration and/or demonstration at National Labs
 - [**Energy Systems Integration Facility \(ESIF\)**](#), National Renewable Energy Lab (NREL)
 - [**Grid Research Integration and Deployment Center**](#), Oak Ridge National Laboratory (ORNL)
 - [**Electric Grid Test Bed**](#), Idaho National Laboratory (INL)
- Several [**additional DOE Resources**](#) are available:
 - [**American-Made Challenges**](#)
 - [**Lab-Embedded Entrepreneurship Program \(LEEP\)**](#)
 - [**OTT/OCED/EERE Voucher Program**](#) (use for test/certification & manufacturing next steps)



QUESTIONS/CONCERNS - REACH OUT

We value your feedback to help us improve
the DOE SBIR/STTR Programs

Interested in understanding your individual
partnering needs

carol.rabke@science.doe.gov
585.576.7981

<https://science.osti.gov/sbir>



<https://www.sbirpartnering.com/>

The Road to Manufacturing: How to Get a Prototype into Production

Chuck Hodges | Co-Founder and CEO, Zebulon Solutions, Inc.

Jenney Loper | Director of Operations, Zebulon Solutions, Inc.



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The Road to Manufacturing

How to Get a Prototype into Production

March 20, 2024



Introduction



Chuck Hodges
CEO



Jenney Loper
Director of Operations

Zebulon Solutions Service Offerings



PRODUCT DESIGN



SUPPLY CHAIN



OPERATIONS CONSULTING



DESIGN FOR MANUFACTURABILITY



Full End-to-End Product Development

Manufacturing Topics



The Milestones
to Production

Questions to
Consider

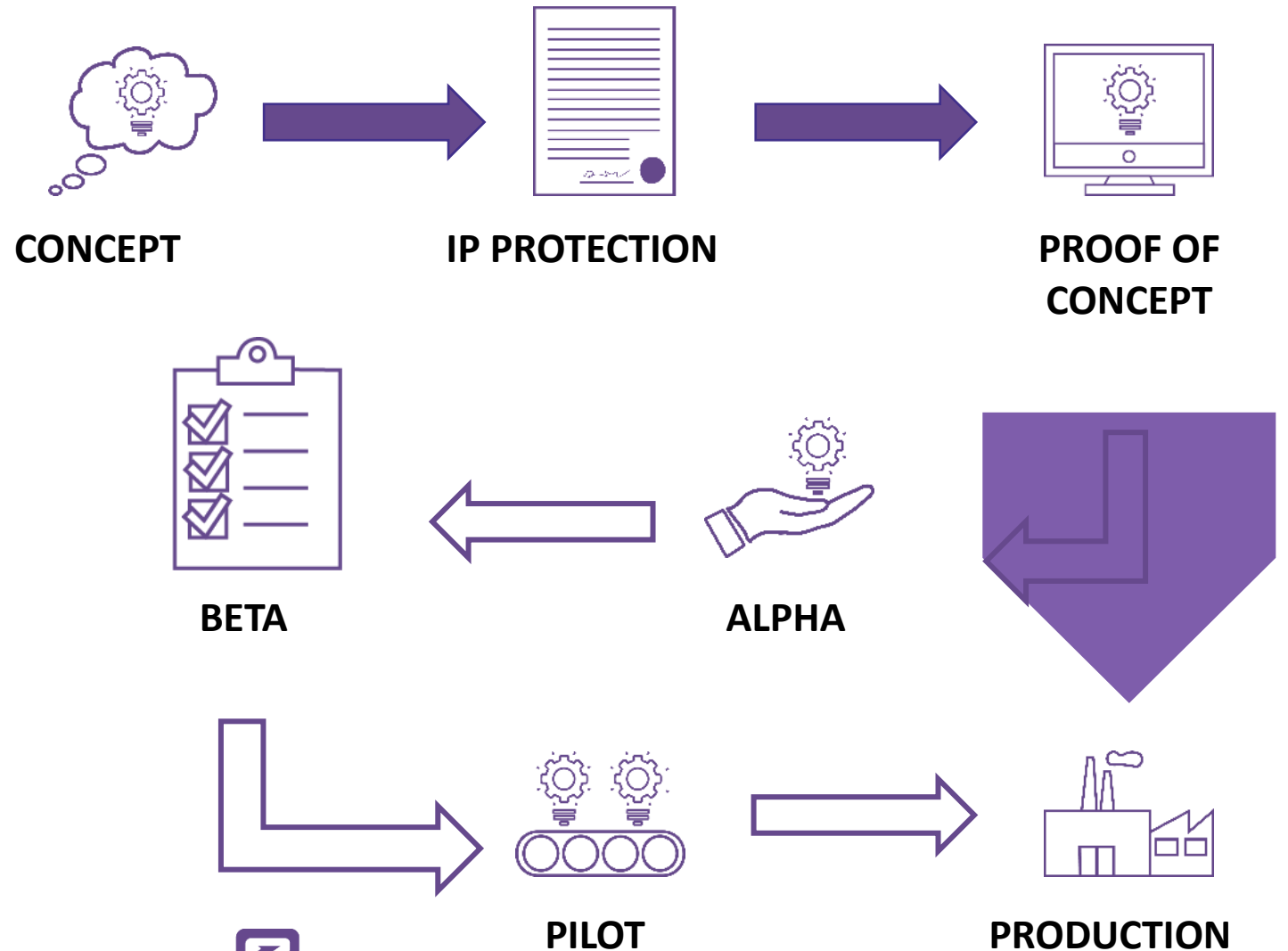


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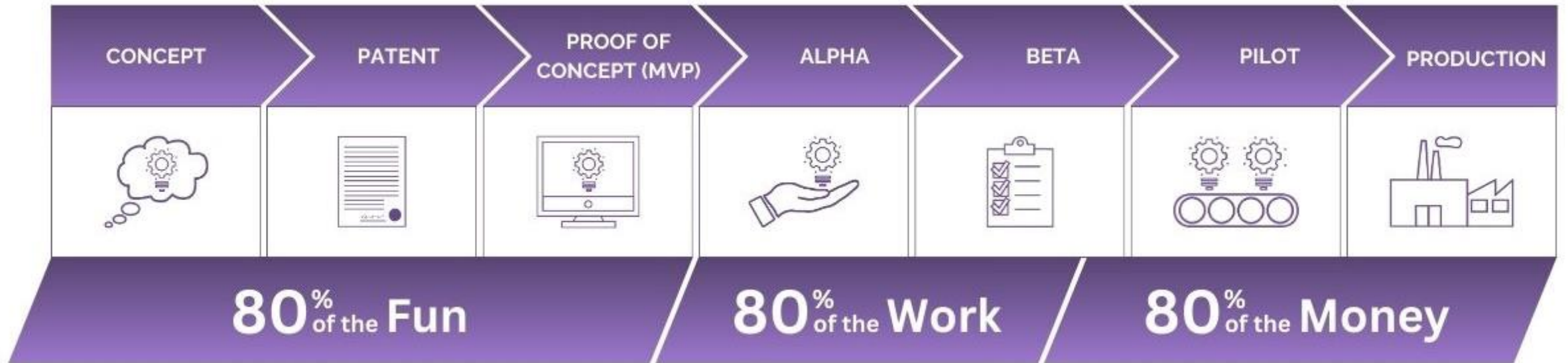
The Milestones to Production

Manufacturing Road:

There are no shortcuts



80/80/80



It's a Mental Game: Be Prepared



EXECUTION



TIME



MONEY

Development Milestones



Funding



**Mental
Preparation**



**Hardened
Requirements**



Product Design



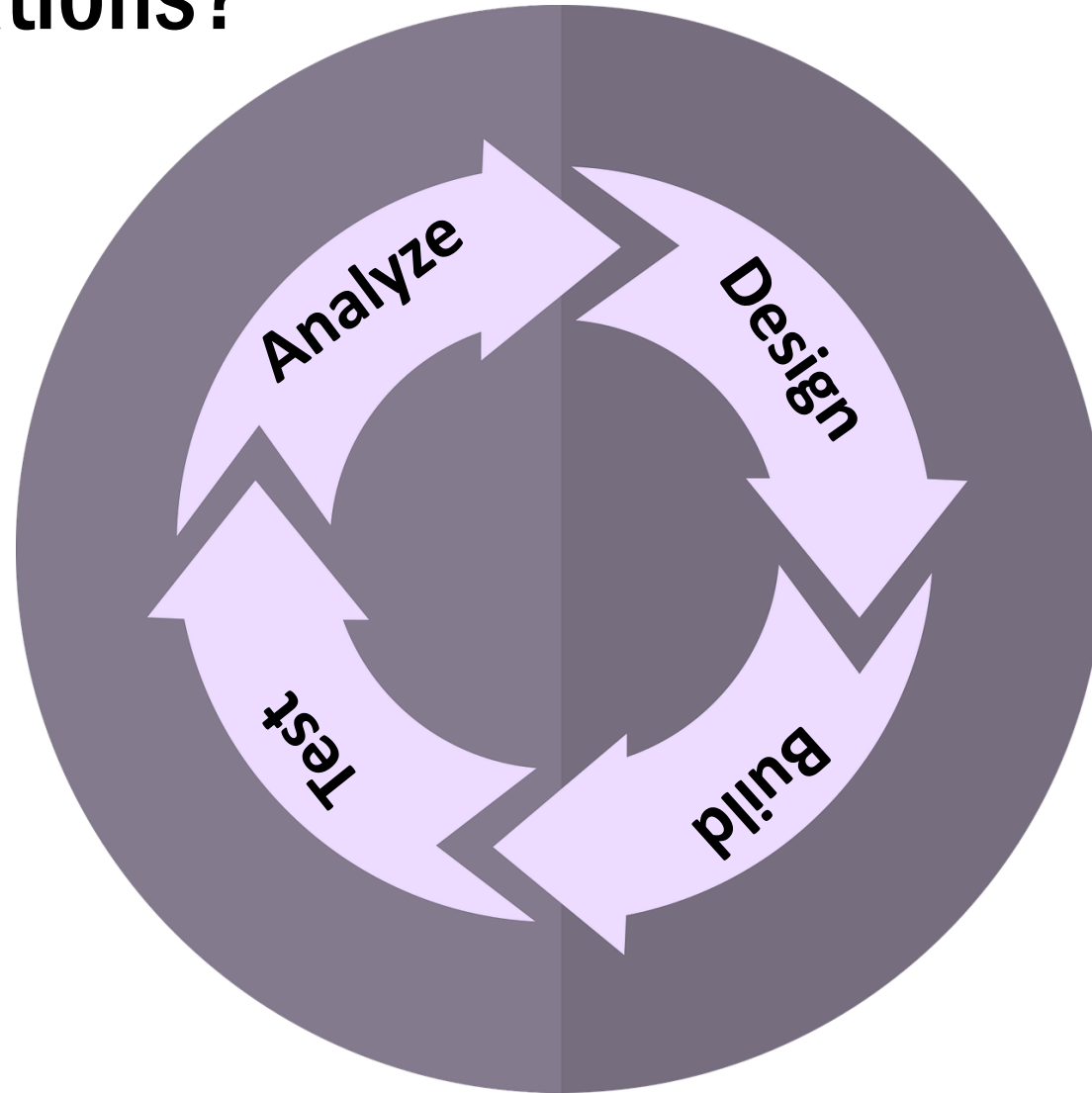
**Supply Chain
Strategy**



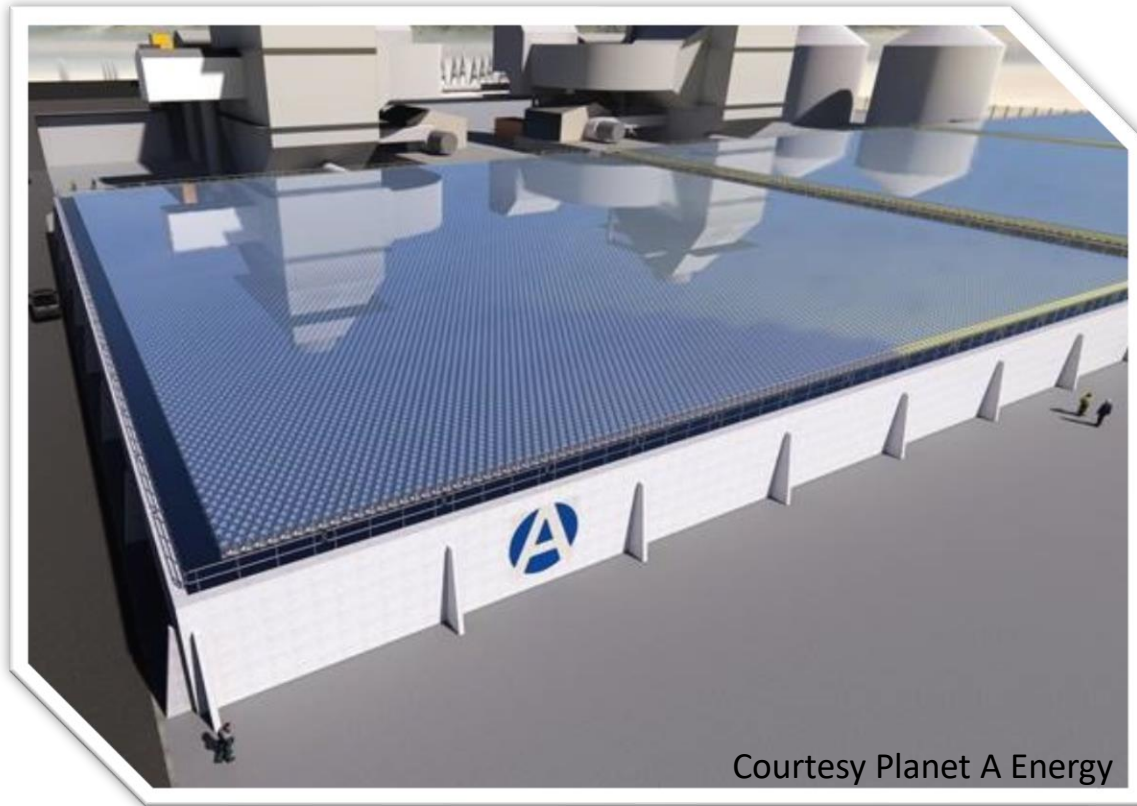
**Test Plan for
Development**

Turning Toward Manufacturing

How many iterations?



Design for Manufacturing



Materials

Tolerance Analysis

Manufacturing Processes

Design for Assembly

Design for Test

Pre-Manufacturing Milestones



Finalize Design



Estimate Product & Capital Expenses



Order Pilot Materials



Create Production Test



Complete Validation Testing



Receive Regulatory Approval



Pilot Build

Ready, Set, Go!



Set up factory or production line

1st production run

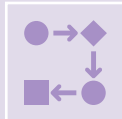
Document the process

Train personnel

Verify production fixtures

Iterate as needed

Pilot Milestones



**Manufacturing
Established**



**Implemented
Production Test**



**Verify Against
Requirements**



Logistics Strategy



Costs Verified



Yields Predicted

PRODUCTION!

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QUESTIONS?



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Key Questions for Manufacturing

Do you build it?
Do you buy it?

Preference and Business Considerations



Geographical location

Product cost

Set up costs

Features & functionality

Time to market

Intellectual property protection

Budget

Core competency

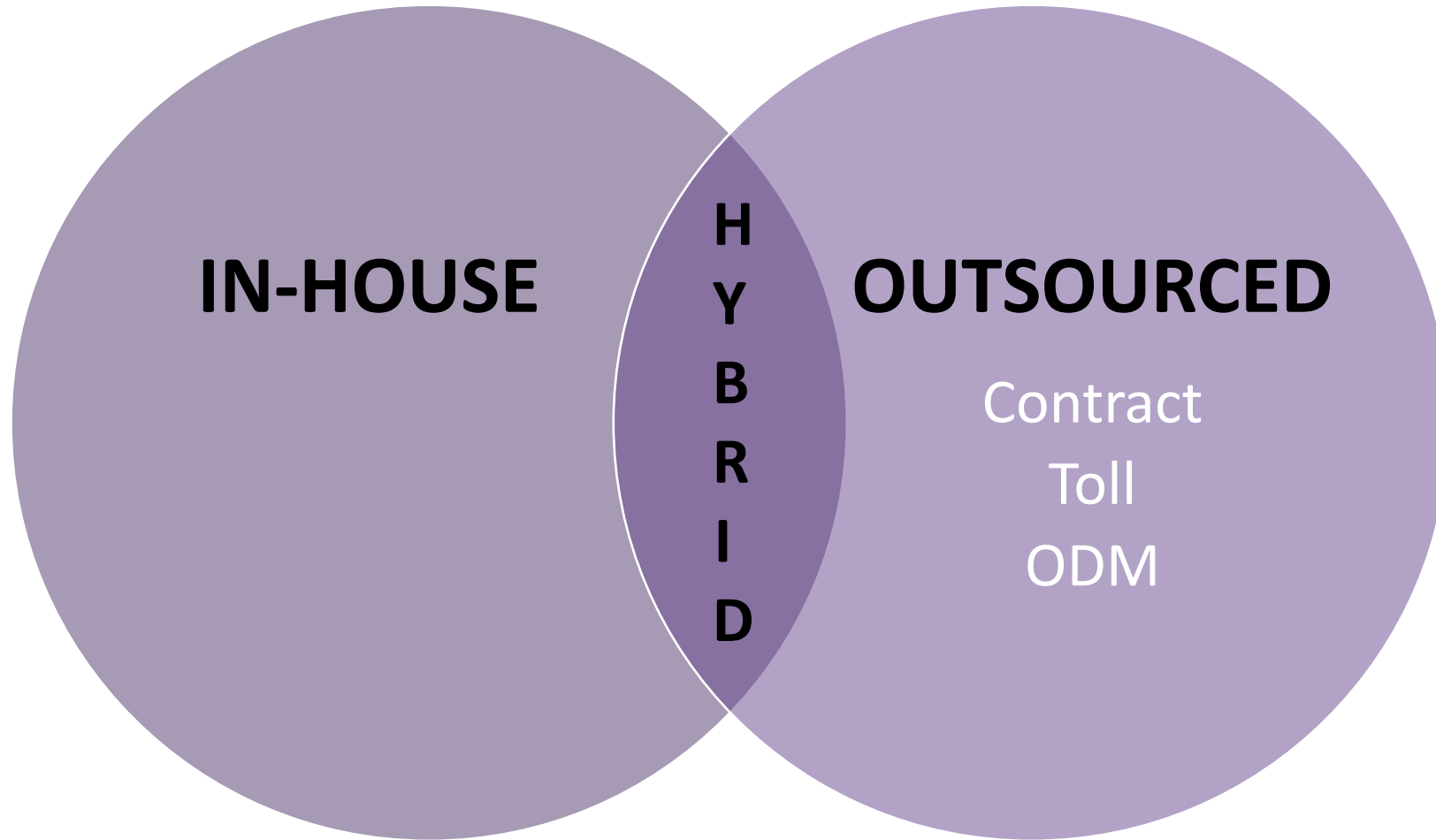
Product Characteristic Influence

Unique
process
requirements

Specific
suppliers

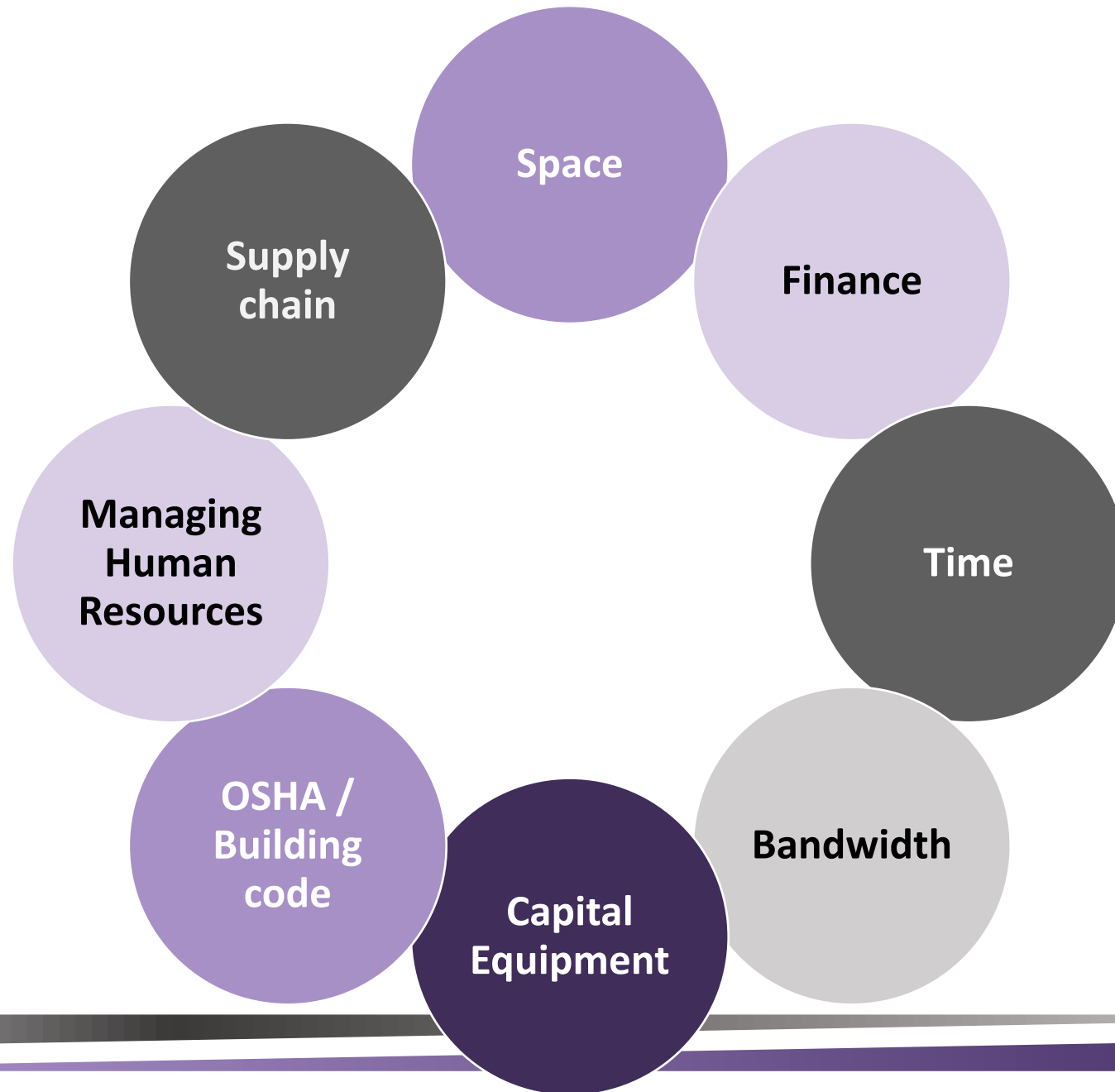
Supply chain
location

Manufacturing Options



Do you make it in-house?

In-house



When is In-House the Right Choice?

“Secret sauce”

Specialized process

Plentiful capital

Experienced operations team

Serious supply chain clout

Tolerate long time to market

Product cost highest priority

Do you use manufacturing partners?

Outsourcing Considerations



CORE
COMPETENCY



SELECTING
PARTNERS



CONTRACT
NEGOTIATION



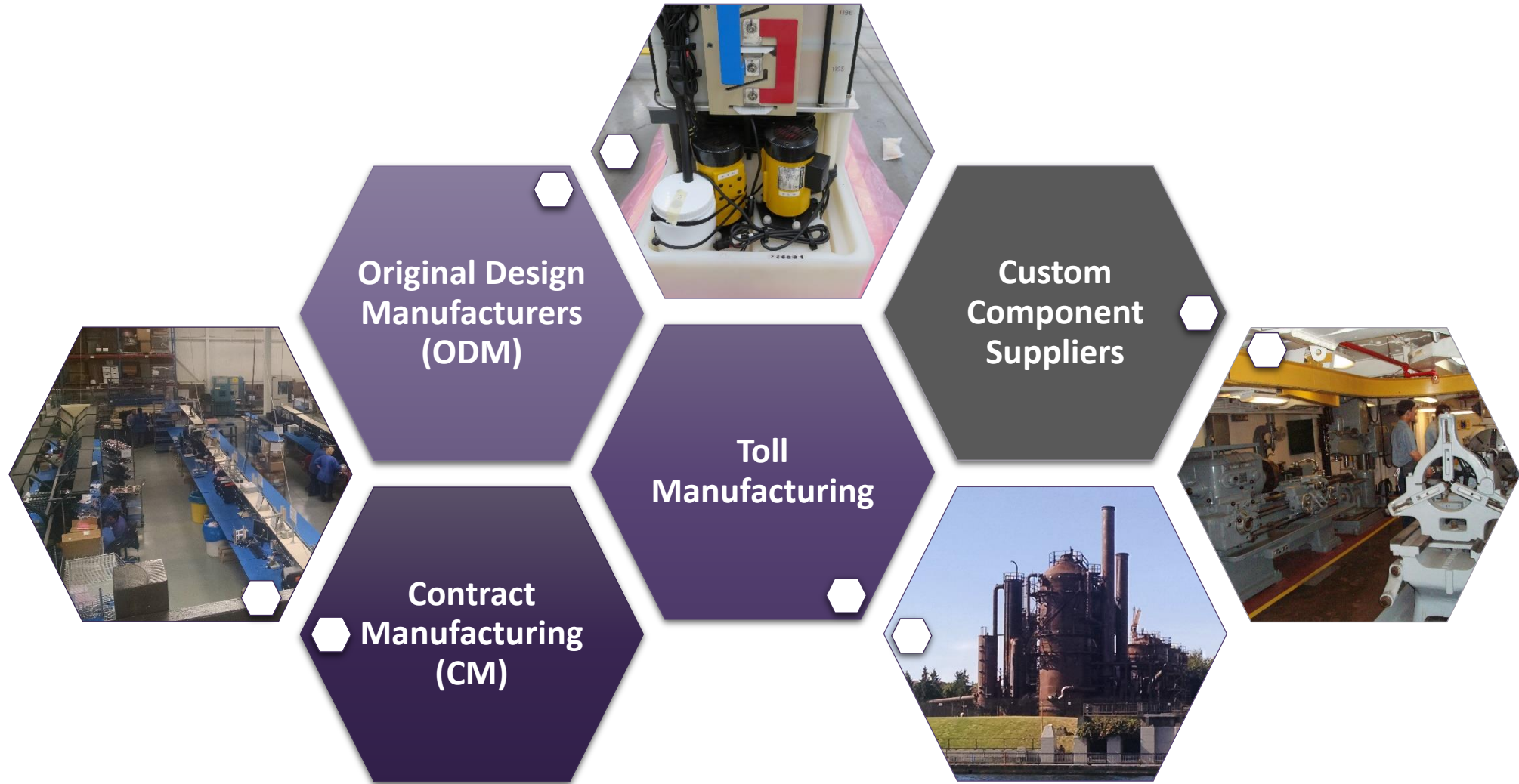
IP
PROTECTIONS



QUALITY
OVERSIGHT



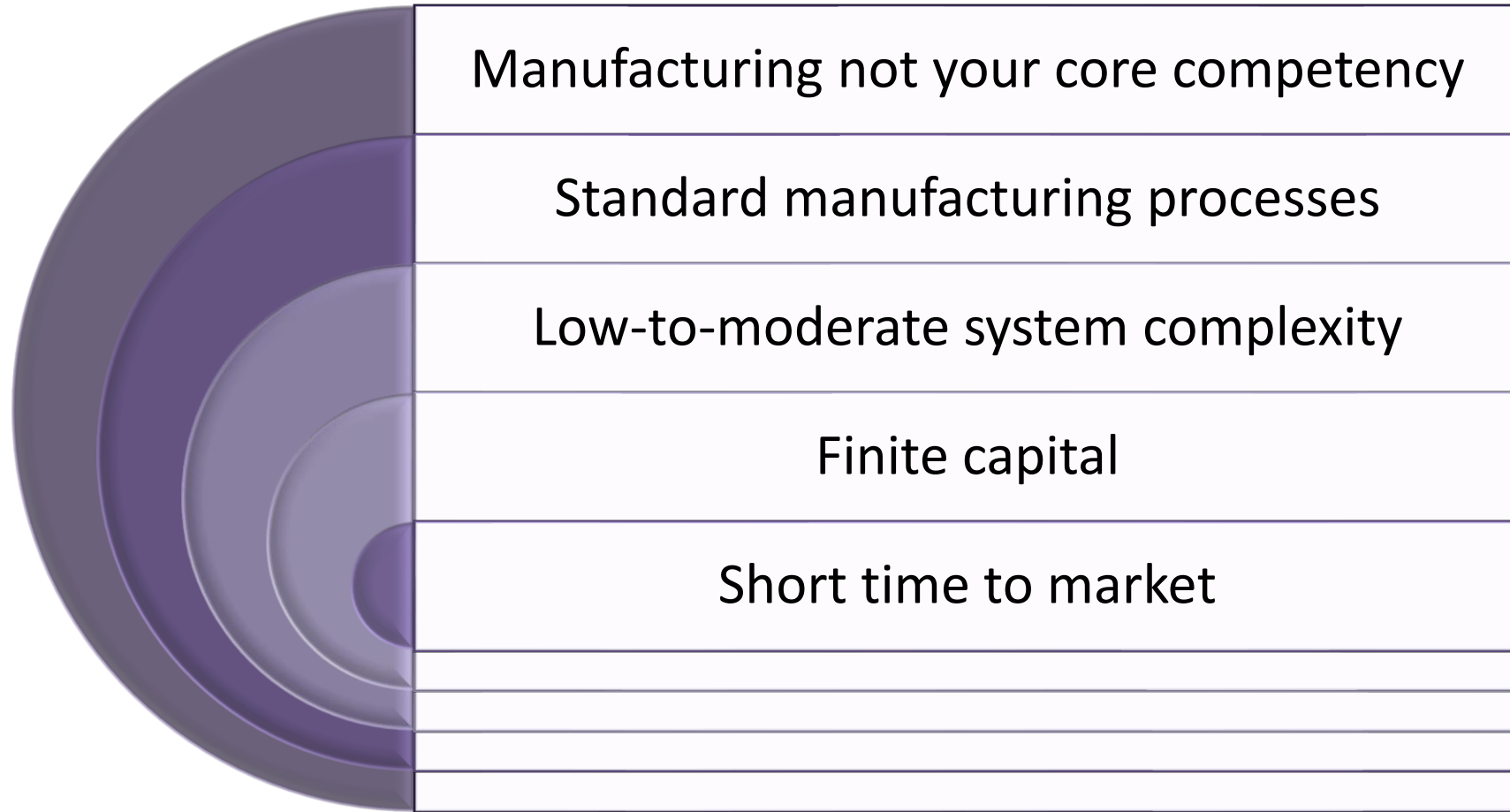
Manufacturing Outsourcing Options



Comparing Outsourcing Options

	Contract	Toll	ODM	Custom Component
Relationship	Partnership	Your recipe, their process & facilities	License their technology	Your design, their process
Design Holder	You	You	Supplier	You or shared
Manufacturing Process Owner	Supplier	Supplier	Supplier	Supplier
Materials Management	Supplier	You	Supplier	Supplier
Cost Transparency	Full	Limited	None	Limited
Supplier's Constraints	Unique Technical Requirements	Manufacturing Process	Customization	Manufacturing Process

When is Outsourcing the Right choice?



A Hybrid Approach

Hybrid

Core
competency

Outsource the
rest



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Takeaways

It's All About the Supply Chain!

- 
- #1: A well-designed product
 - There will be a supply chain, but what?
 - Multiple considerations
 - A good supply chain is a skill, not an accident
 - Know who to partner with

Final Thoughts

Iterations

They will happen, use them productively

Requirements

Know when to say no to features

Trade-offs

Be prepared to weigh the options

Manufacturing

Very expensive regardless of approach (see trade-offs)

Core Competency

Get help where you need it



QUESTIONS?



We've launched 100s of products into manufacturing

How can we help you?

Contact us:

Chuck Hodges
chuck@zebulonsolutions.com

Jenney Loper
jenney@zebulonsolutions.com



www.zebulonsolutions.com

Introspective Portfolio Assessment (IPA)

*Joe Cresko | Co-Director, Lab-Embedded Entrepreneurial Program (LEEP)
Industrial Efficiency & Decarbonization Office (IEDO), DOE*



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DOE Phase II Commercialization Workshop - Preparing to Manufacture

Introspective Portfolio Assessment:

Life Cycle Assessment/Technoeconomic Analysis Approaches & Resources

Joe Cresko, Chief Engineer, Industrial Efficiency & Decarbonization Office (IEDO)

March 20, 2024



Agenda:

- **And now, a word from our sponsor 😊**
- **Strategic analysis in context**
- **Analysis approaches – life cycle thinking**
- **Analysis context – energy and materials flows**
- **Resources and examples**

Industrial Efficiency and Decarbonization Office (IEDO)

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Office of ENERGY EFFICIENCY
& RENEWABLE ENERGY

Industrial Efficiency and Decarbonization Office

IEDO leads the development and accelerates the adoption of sustainable technologies that increase efficiency and eliminate industrial GHG emissions.

50
STAFF

Federal staff, contractors,
and fellows in Golden, CO
and DOE Headquarters

\$266.5
Million FY23 Budget



Energy- and
Emissions-
Intensive
Industries

FY23 = \$131M



Cross-sector
Technologies
FY23 = \$90.5M



Technical
Assistance
and Workforce
Development

FY23 = \$45M

IEDO Leadership



Dr. Paul Gauche
Acting Director



Dr. Avi Shultz
Deputy Director



Joe Cresko
Chief Engineer



Lauren Hall
Operations Supervisor



Isaac Chan
Program Manager
Cross-Sector Technologies



Dr. Paul Majsztzik
Program Manager
*Energy- and Emissions-
Intensive Industries*



Anne Hampson
Program Manager
*Technical Assistance and
Workforce Development*

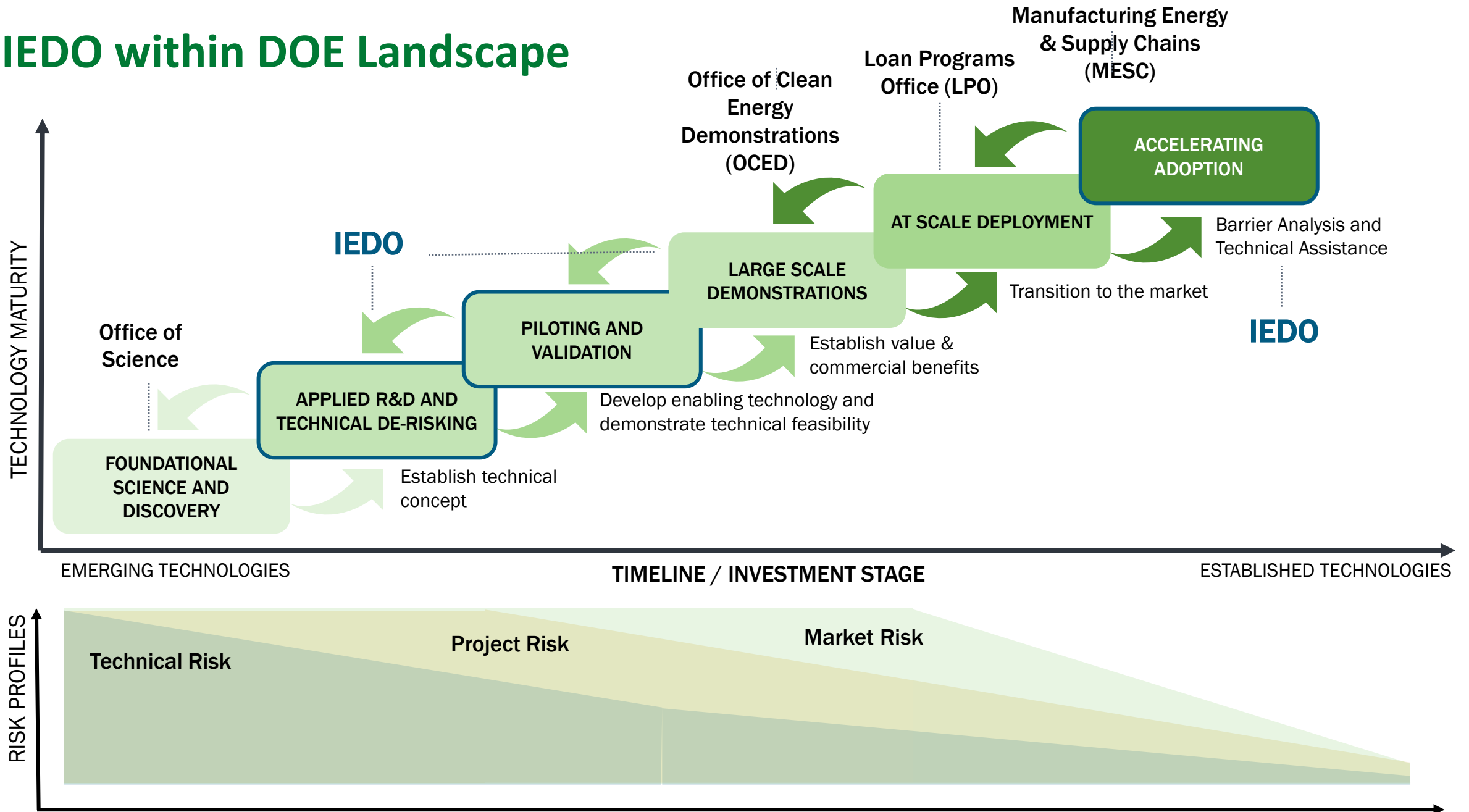


Ava Coy
Acting Program Manager
Technical Project Officers



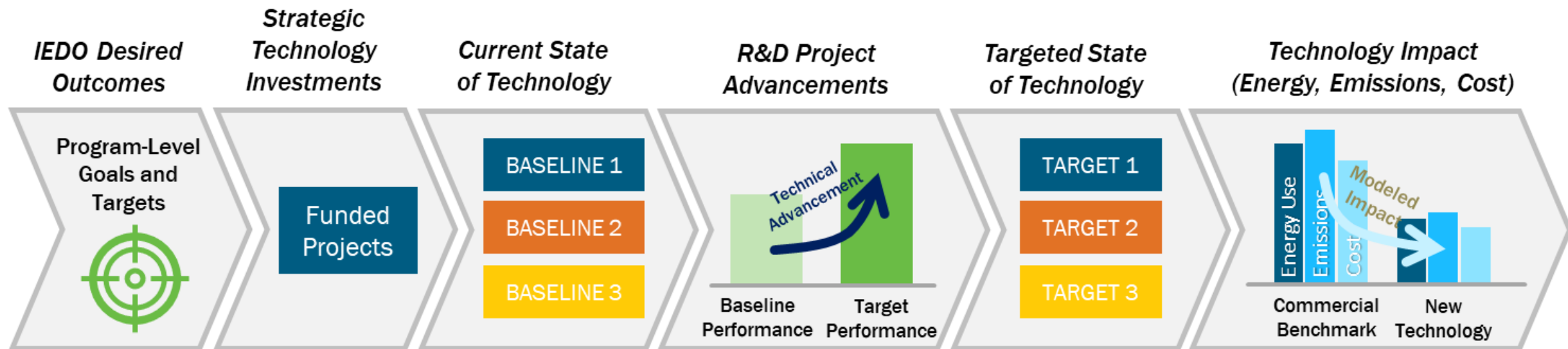
Mattie Gainer
Strategic
Communications Lead

IEDO within DOE Landscape



Strategic Analysis in Context with Technology Investments

Analysis frameworks are designed to help **track technical progress** and **relate that progress to quantifiable impacts** at project and portfolio levels



Strategic Analysis in Context with Technology Investments

Prospective analysis

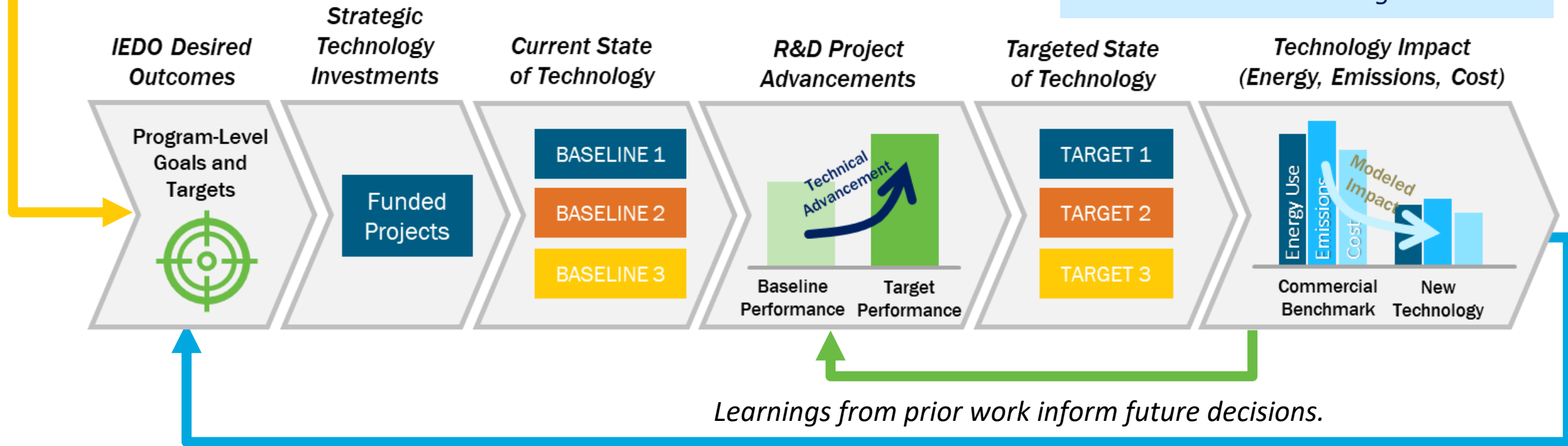
Emerging technology opportunities

Introspective analysis

Assess & communicate impacts of active projects/portfolio

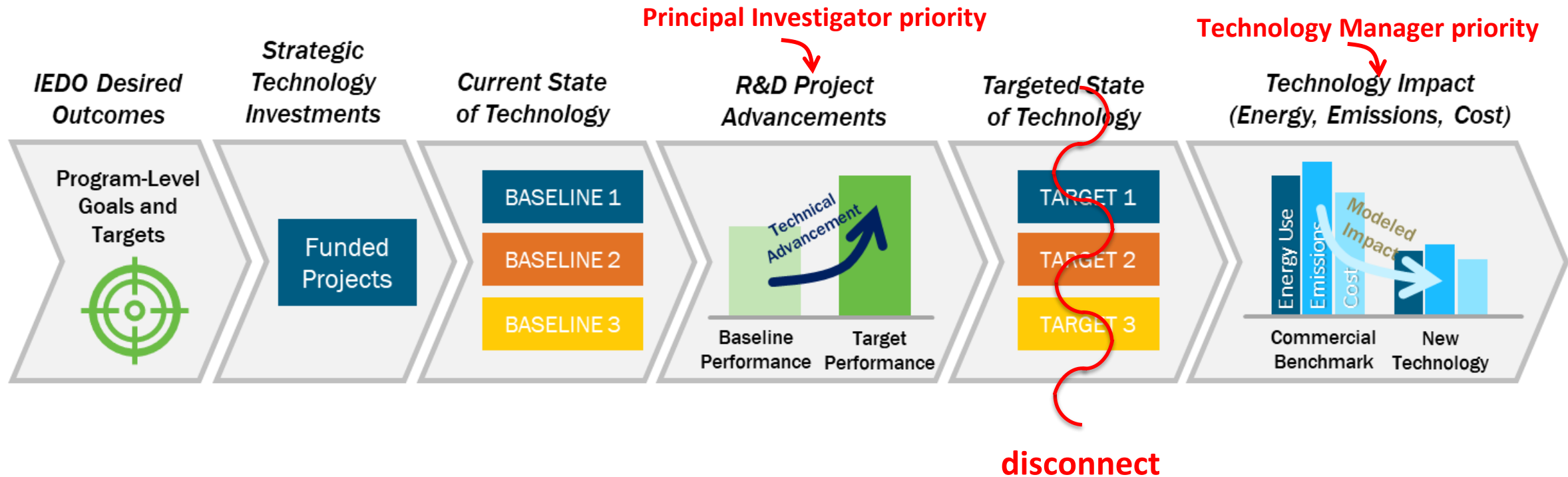
Retrospective analysis

Synthesize learnings from commercialized technologies



Strategic Analysis in Context with Technology Investments

- It can be non-trivial to relate project technical advancements to potential impacts
- Quantitative analysis of cost, energy, and emissions impacts is often outside of PI comfort zones



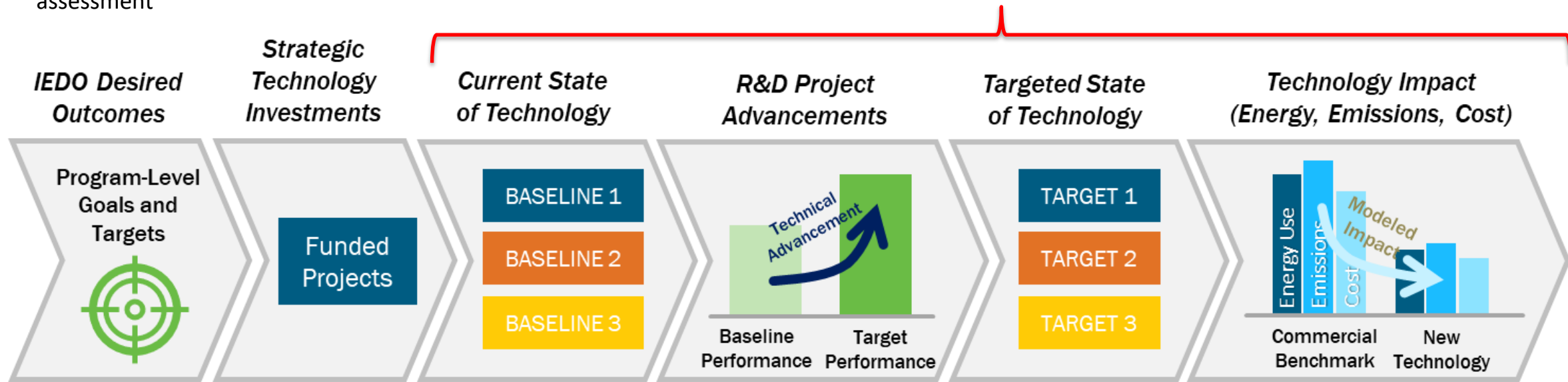
Strategic Analysis in Context with Technology Investments

- *Techno-economic analysis (TEA) and life cycle assessment (LCA) techniques underpin the IPA process.*
- *Assess and communicate **potential R&D impacts** in an analytically consistent and defensible way*



M&P

Clear methodologies & processes for project and portfolio level assessment



Data

Data management systems for project tracking.

Data needs for analysis - TEA/LCA.



Analysis

Analytical frameworks and tools to simplify and streamline assessment

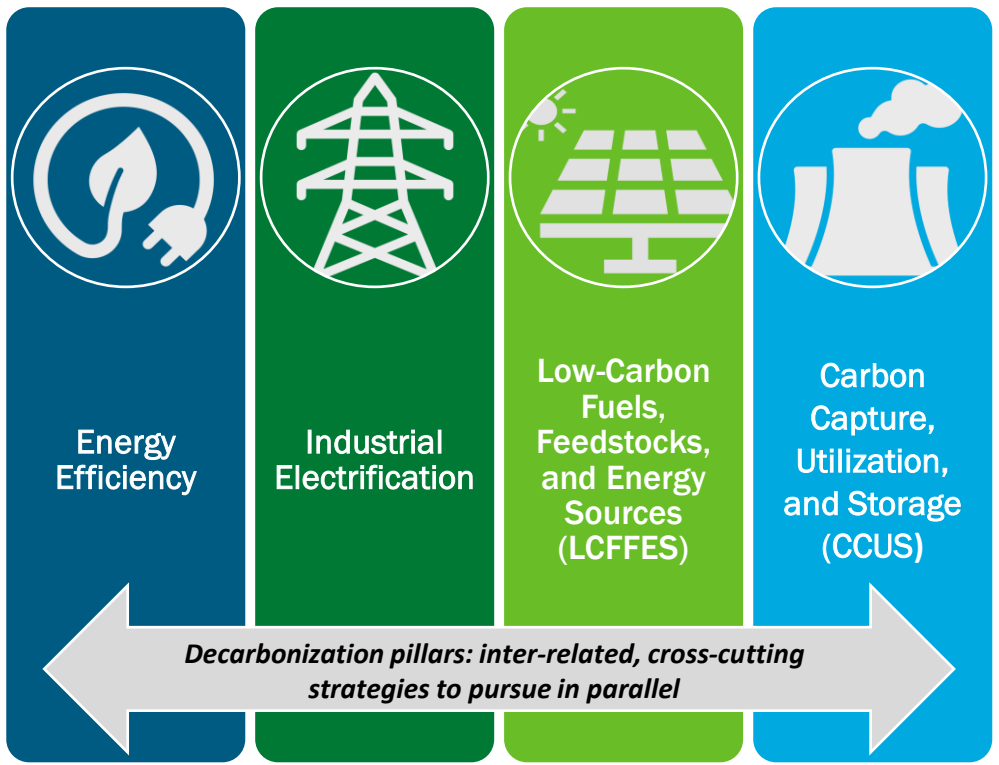


Resources

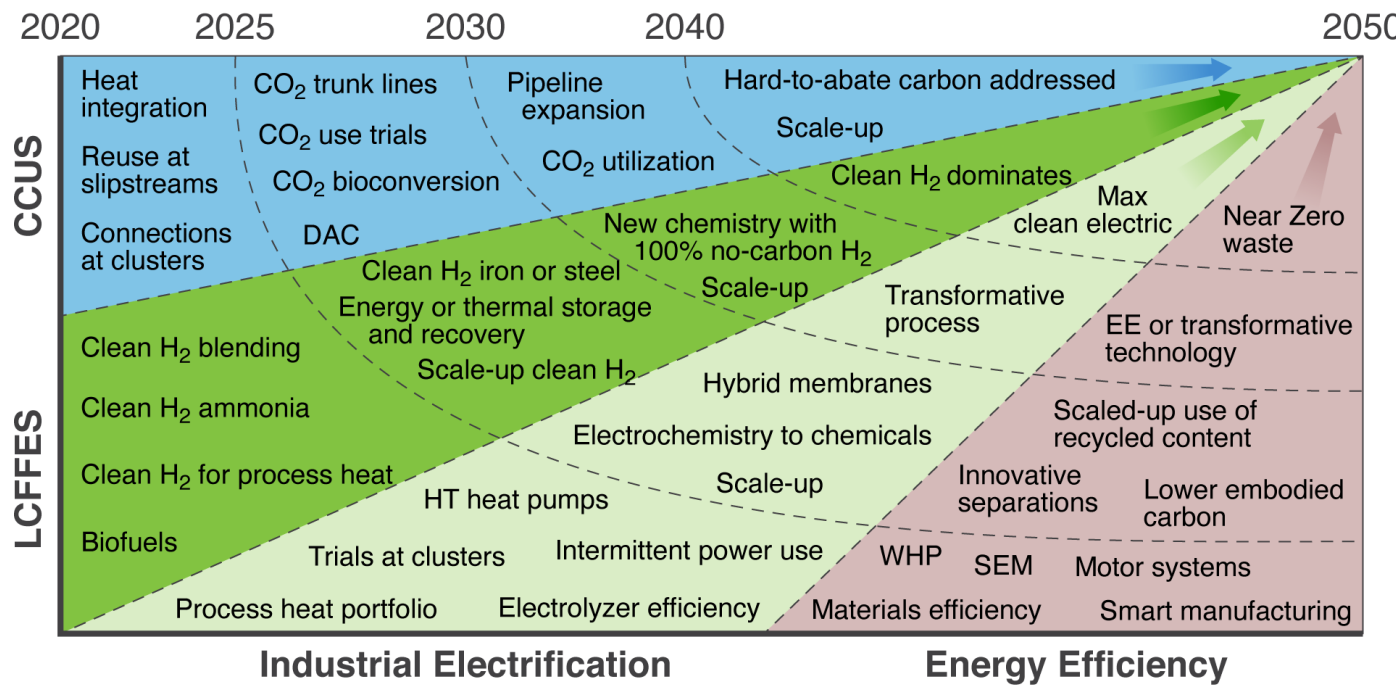
Training and resources to educate and improve communication with stakeholders

DOE Industrial Decarbonization – Pillars, Pathways and Technologies

Industrial Decarbonization Pillars

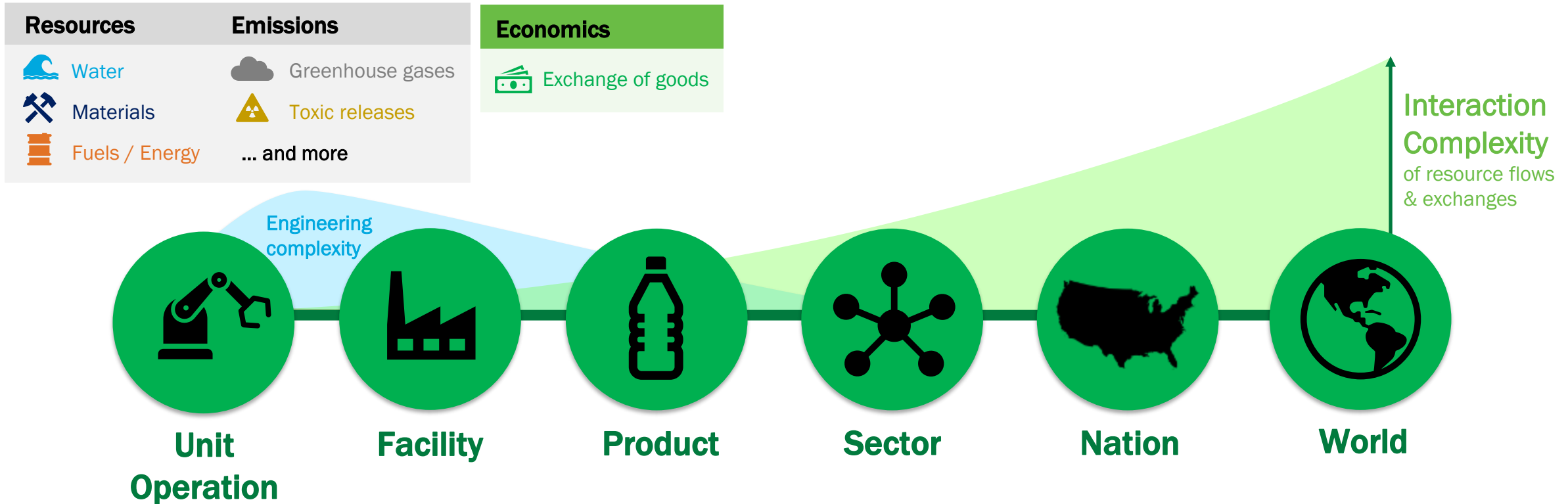


- Invest in all pillars
- Leverage cross-sector approaches
- Interdependencies require systems solutions
- Strategies are needed to minimize implementation hurdles, address scale-up, and accelerate adoption



Source: DOE Industrial Decarbonization Roadmap, Sept. 2022. <https://www.energy.gov/eere/industrial-decarbonization-roadmap>

Complex interactions across scales



There are three things we always need to know to understand impact:

- 1.) **What** are (collective) anticipated impacts; e.g., energy, emissions
- 2.) **Where** will (collective) impacts occur; e.g., sector(s)/end-use(s)
- 3.) **When** will impacts occur; e.g., time period, penetration uptake

Unit Operations Level

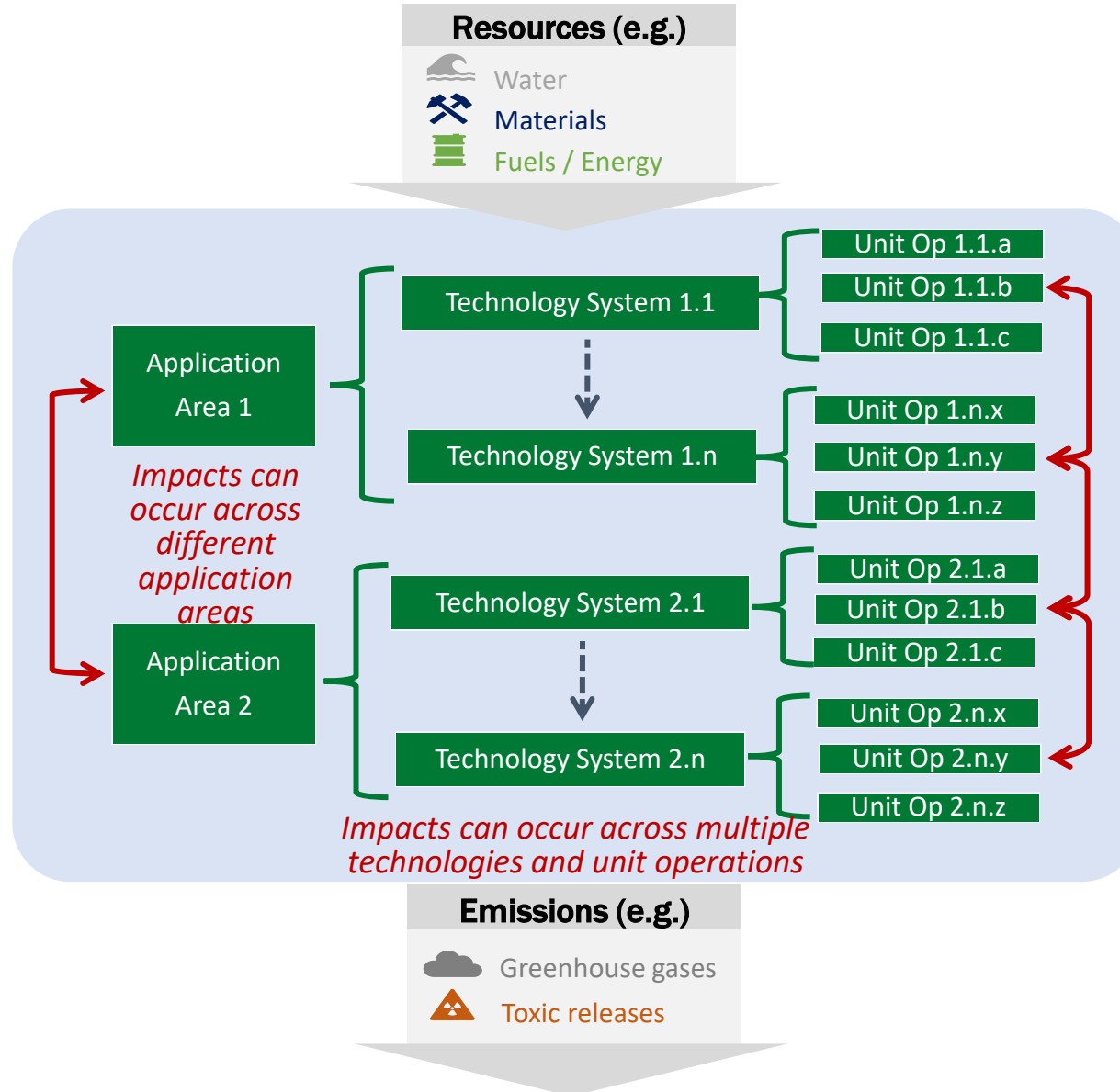


In aggregate, individual mass/energy balances at the unit operation level generate environmental impacts across the U.S. economy

Decarbonization of the Economy

Life Cycle Targets

- Product life cycle emissions and energy use
- Life cycle resource consumption of industrial products
- Circularity



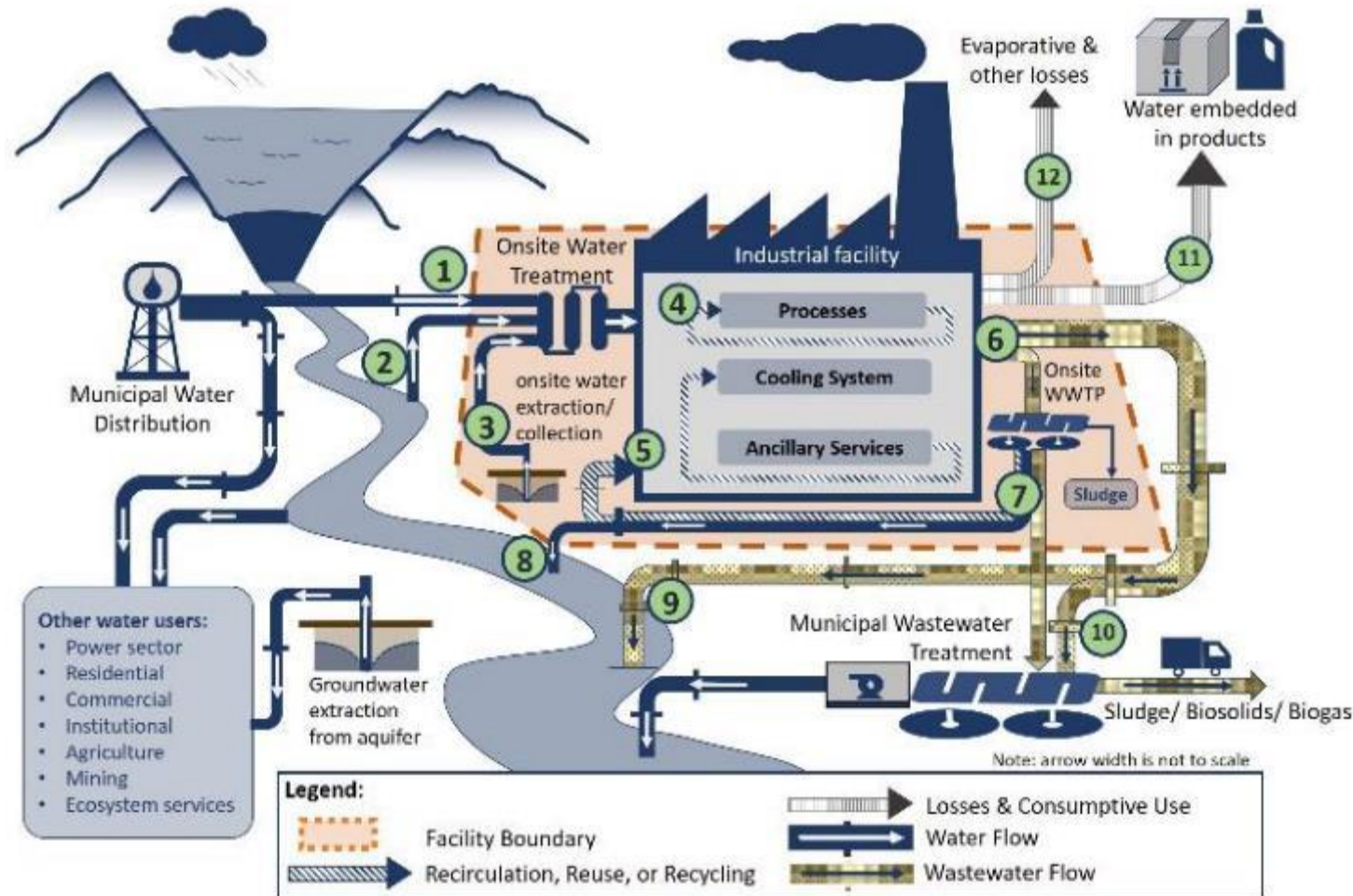
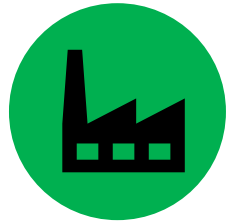
Decarbonization of the Industrial Sector

Process-Level Targets

- Manufacturing energy and process emissions
- Industrial energy efficiency
- Facility resource utilization

Manufacturing Facility Level

Facility water flows

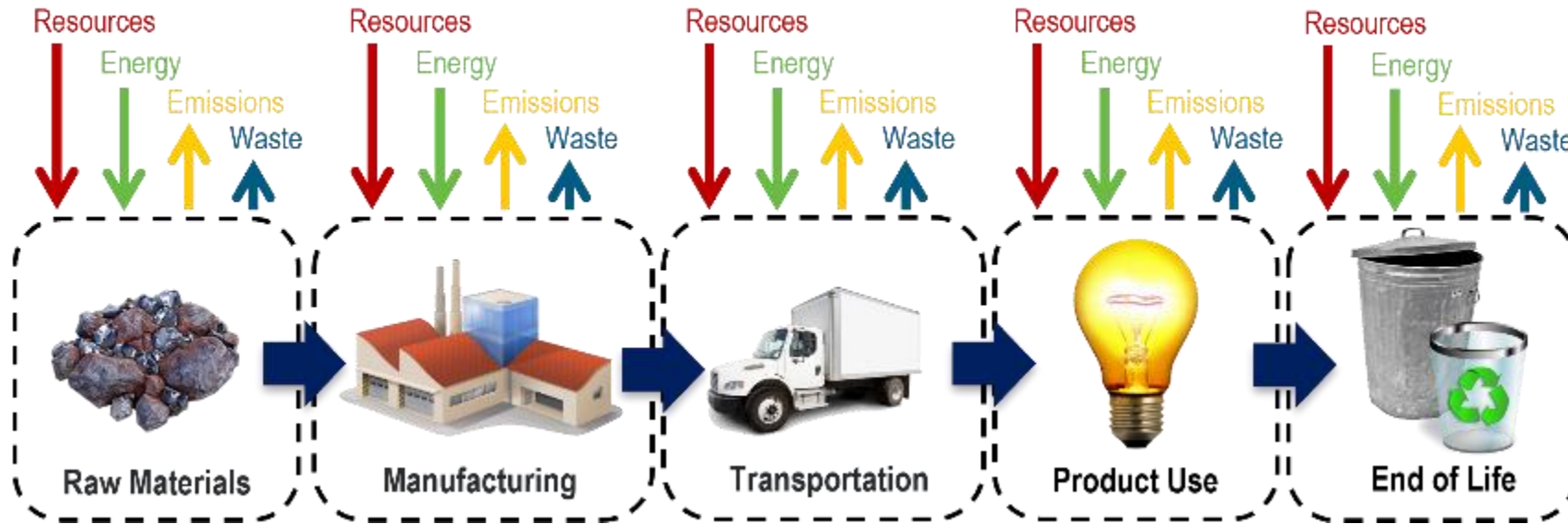


1. Municipal water supply
2. Self-supply from shared sources
3. Onsite water collection/groundwater
4. In-facility freshwater use
5. In-facility water reuse
6. Facility wastewater pre-treatment
7. Facility wastewater post-treatment
8. Discharge of facility wastewater post-treatment to local water body
9. Facility wastewater not needing treatment discharge to local water body
10. Facility wastewater to municipal treatment plant
11. Water use in products
12. Water consumption

Manufacturing facilities are closely interconnected with their local community's water resources

Volume and quality requirements vary by use (process, cooling, ancillary)

Product Level

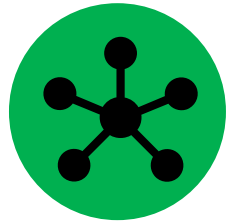


Life cycle approaches are essential for accurate accounting of embodied energy & emissions in manufactured goods

Current regulatory context

- **Buy Clean** is now requiring environmental product declarations (EPDs) for building and construction materials and products in order to be able to make purchasing decisions based on the embodied carbon of the products.
- A product **EPD** "quantifies environmental information on the life cycle of a product to enable comparisons between products fulfilling the same function." The EPD methodology is based on [Life Cycle Assessment](#) (LCA) and follows ISO series 14040.
- EPDs are prepared according to rules and requirements set out in the Product Category Rule (**PCR**) for a product type, to allow for better comparisons.

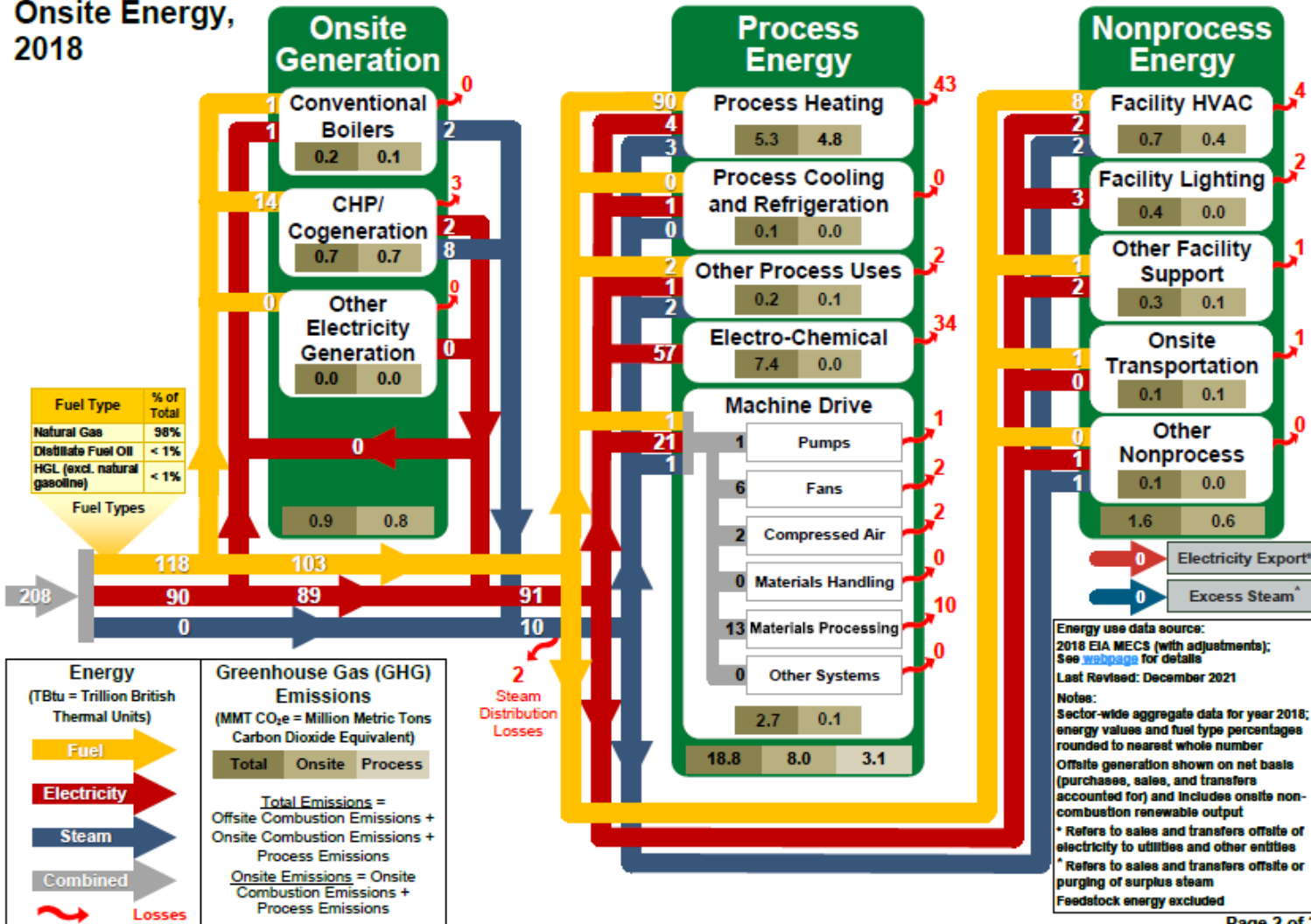
Sector Level



Manufacturing Energy and Carbon Footprint
Sector: Alumina and Aluminum (NAICS 3313)

Onsite Energy Use: 208 TBtu
Onsite Emissions: 9 MMT CO₂e

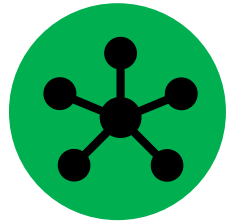
Onsite Energy,
2018



U.S. aluminum sector:
Energy & associated carbon
emissions identify one lever for
improvements ...

Energy & Carbon Footprints:
<https://www.energy.gov/eere/amo/manufacturing-energy-and-carbon-footprints-2018-mecs>

Supply Chains



End-use distribution of aluminum products in the economy reveals larger set of opportunities for innovations and improvements.

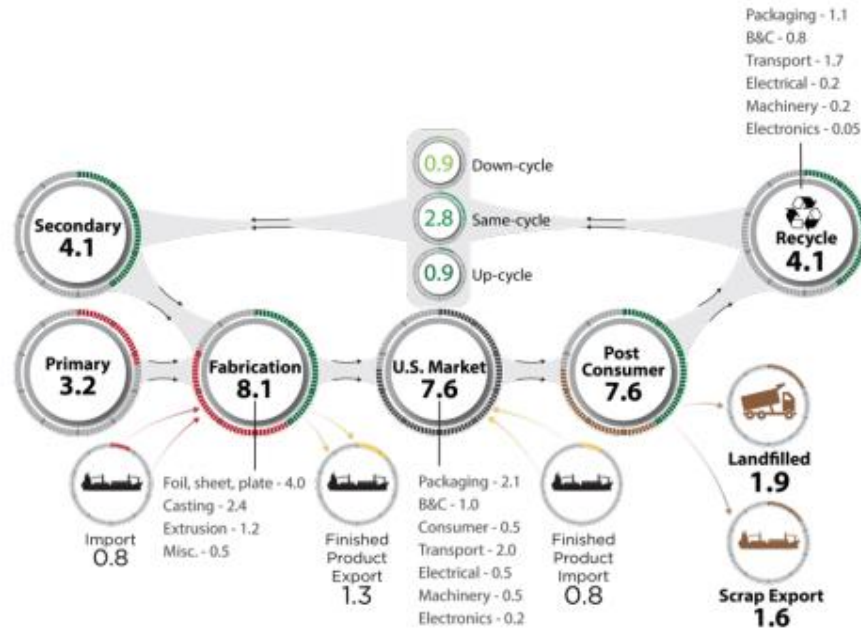
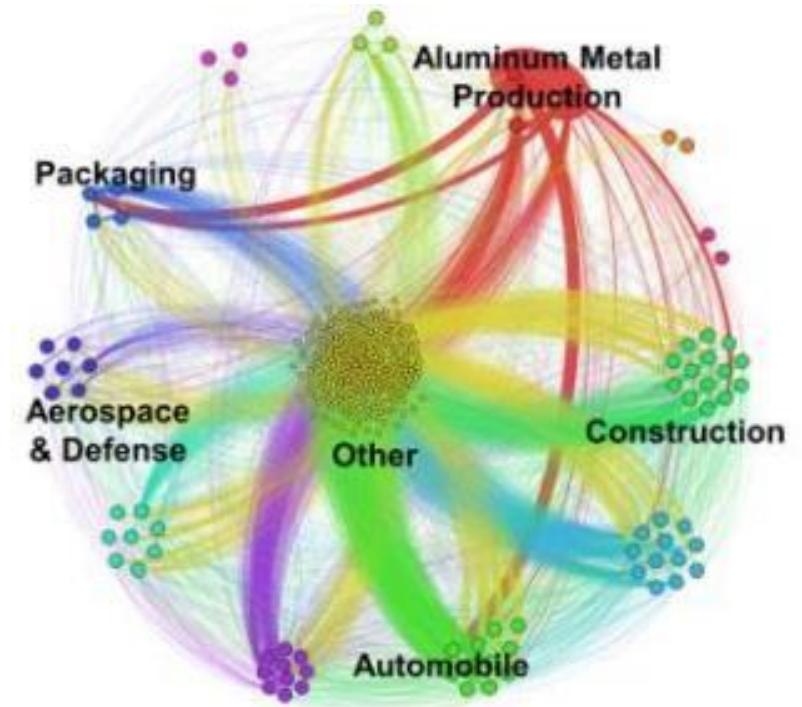


Table 6.L.5 Energy Demands for Primary and Secondary Aluminum Ingot at the Facility and for the Supply Chain from raw material to the aluminum ingot commodity.

	Energy Demand (GJ/MT)		
	Primary Aluminum Ingot Production	Secondary Aluminum Ingot Production	Current mix of Primary (40%) and Secondary (60%) Ingot Production
FACILITY ENERGY DEMAND	GJ/MT	GJ/MT	GJ/MT
Current Typical ^A	55.6	6.5	26.1
State-of-the-Art ^A	42.4	3.1	18.8
Thermodynamic minimum ^A	21.6	0	8.6
SUPPLY CHAIN ENERGY DEMAND^B			
Typical average	134	22	66.8

A- Lightweight Materials Bandwidth Study¹⁰⁰
 B- MFI tool¹⁰¹ calculation



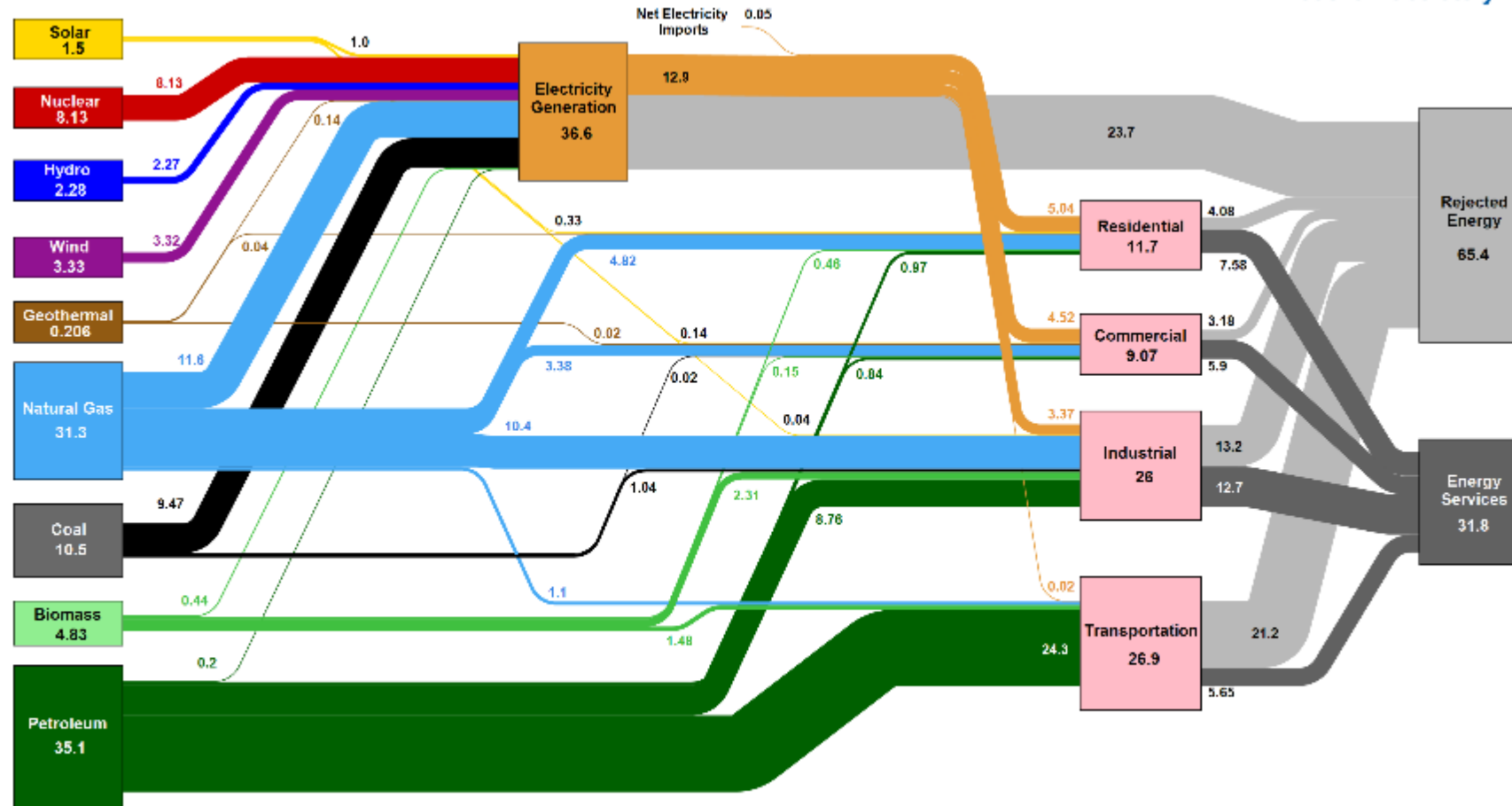
Left - DOE 2015 Quadrennial Technology Review - Sustainable Manufacturing-Flow of Materials through Industry Technology Assessment: <https://www.energy.gov/sites/prod/files/2016/05/f31/QTR2015-6L-Sustainable-Manufacturing.pdf>

Right - Aluminum use in the US economy: [Chen, W.-Q., Graedel T.E., Nuss P., and H. Ohno \(2016\): Building the Material Flow Networks of Aluminum in the 2007 U.S. Economy. Environ. Sci. Technol. DOI: 10.1021/acs.est.5b05095](#)

National Level



Estimated U.S. Energy Consumption in 2021: 97.3 Quads

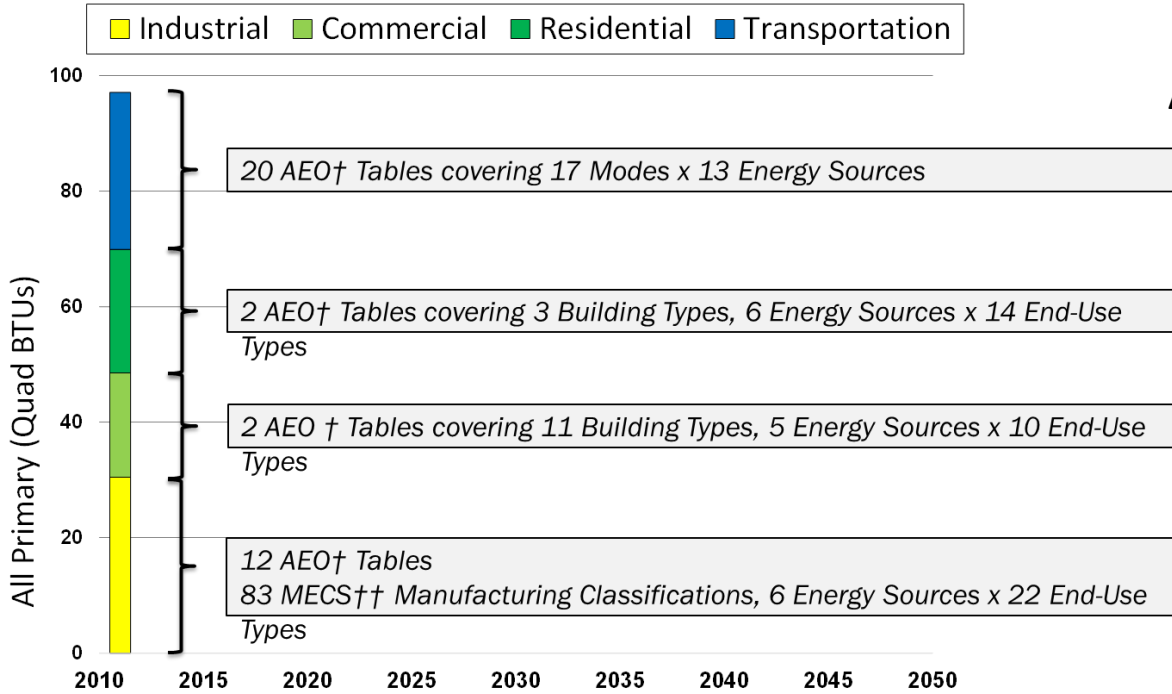


Source: EIA, March 2022. Data is based on DOE's EIA (2021). If this information or a reproduction of it is used, credit must be given to the Lawrence Livermore National Laboratory and the Department of Energy, under whose auspices the work was performed. Distributed electricity represents only retail electricity sales and does not include self-generation. EIA reports consumption of renewable resources (i.e., hydro, wind, geothermal and solar) for electricity in Btu equivalent values by assuming a typical fossil fuel plant heat rate. The efficiency of electricity production is calculated as the total actual electricity generated divided by the primary energy input into electricity generation. End use efficiency is calculated as the use for residential, commercial, industrial, and transportation sectors and the use for manufacturing, which was reported as 100% to include DOE's analysis of manufacturing. DOE's raw data are all components due to independent rounding. LBNL-491-410577

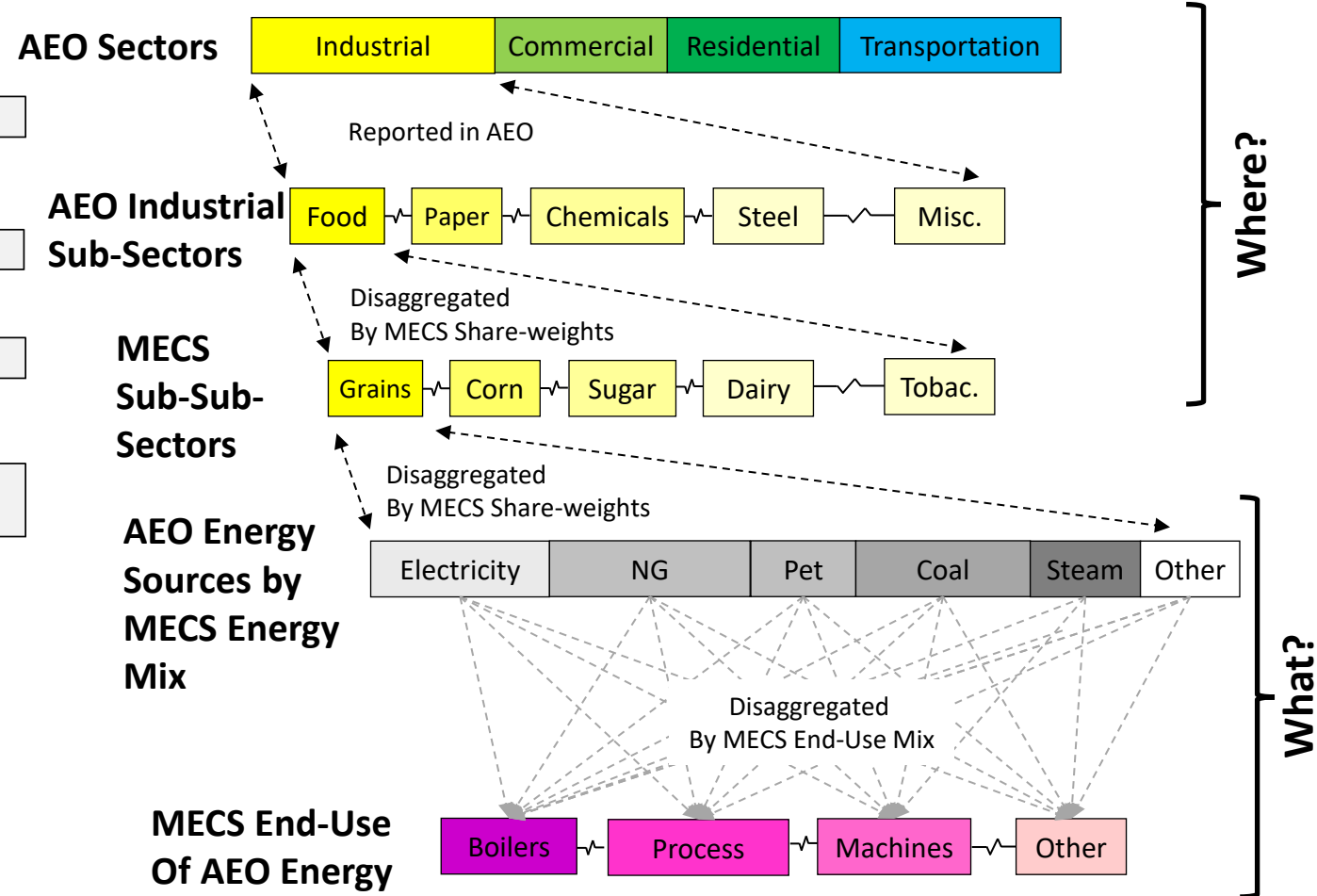
U.S. Energy Flow Chart for 2021 (LLNL)

<https://flowcharts.llnl.gov/commodities/energy>

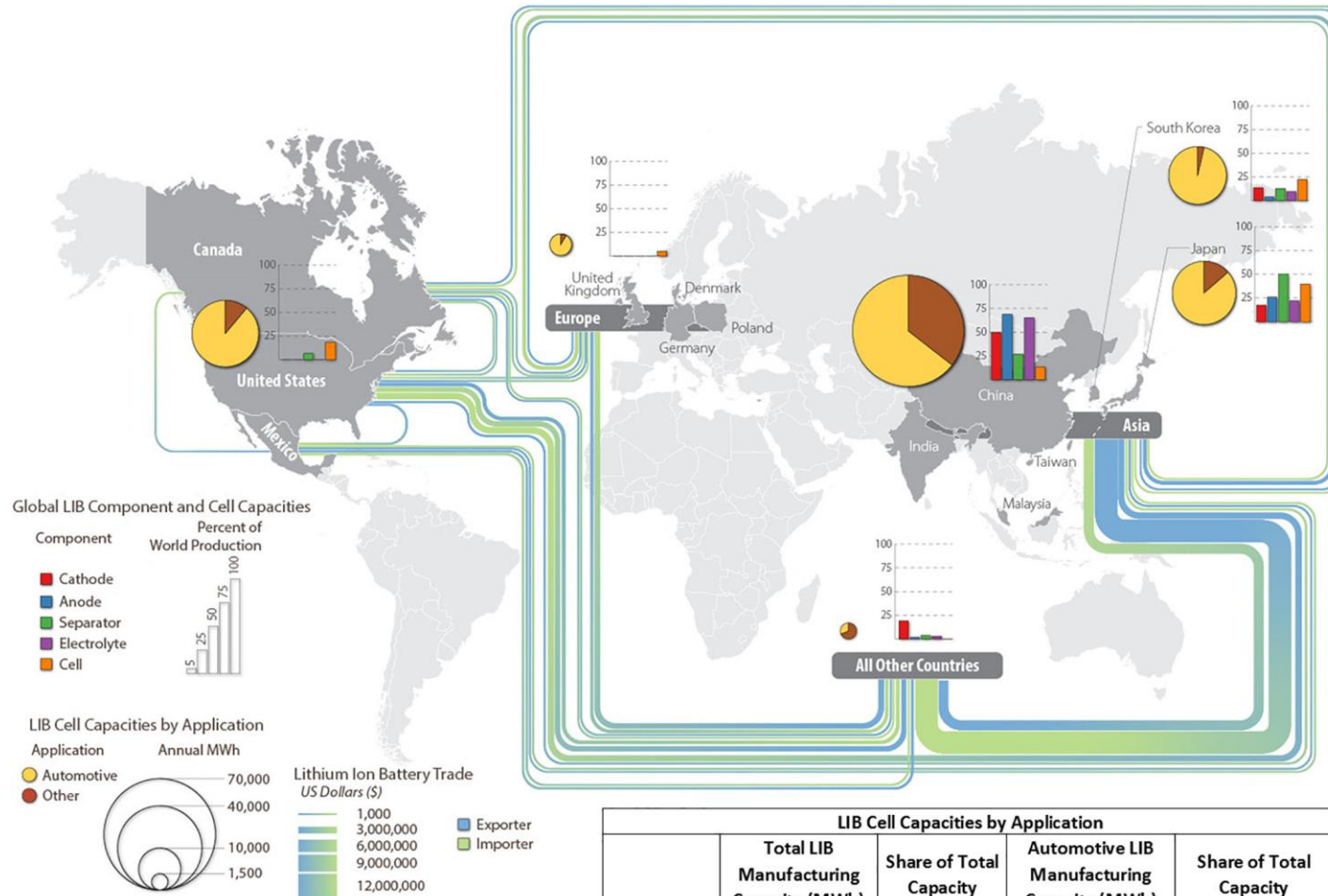
Integrating Across Scales



† Annual Energy Outlook (AEO) Tables
 †† Manufacturing Energy Consumption Survey



Flows at the International Level



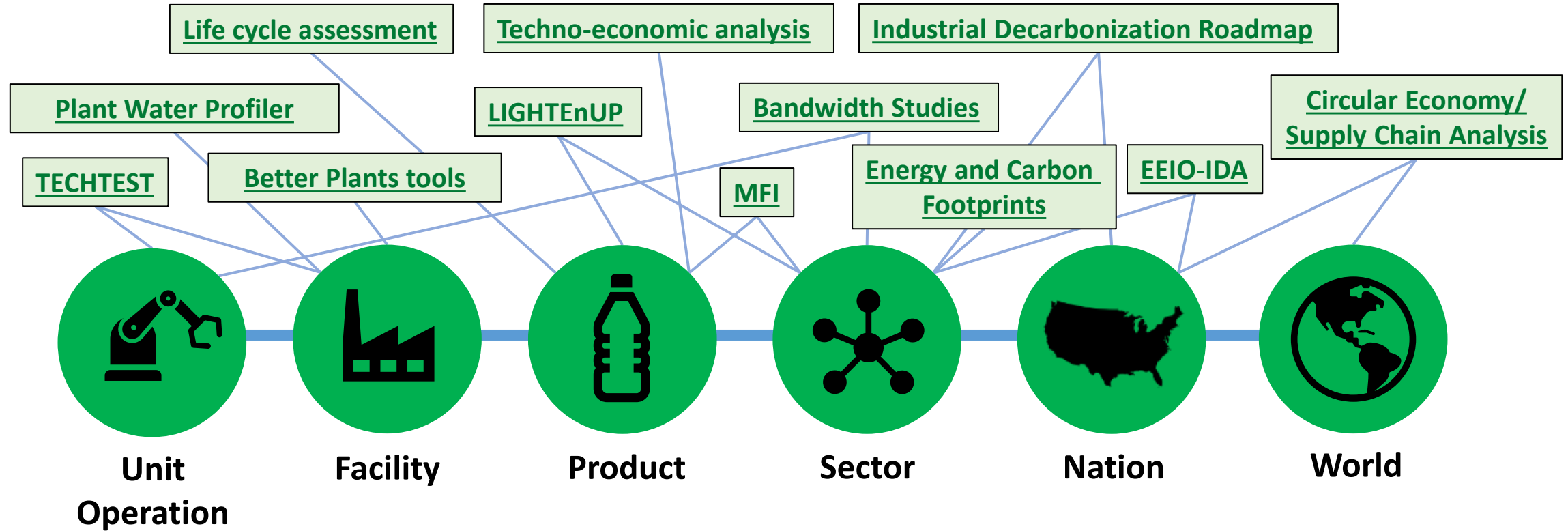
	Total LIB Manufacturing Capacity (MWh)	Share of Total Capacity	Automotive LIB Manufacturing Capacity (MWh)	Share of Total Capacity
China	118,234	62.3%	50,670	44.3%
Japan	22,479	11.8%	19,414	17.0%
S. Korea	18,547	9.8%	17,874	15.6%
U.S.	24,766	13.1%	22,016	19.2%
E.U.	2,626	1.4%	2,400	2.1%
Rest of World	3,110	1.6%	2,110	1.8%
Total	189,762	100%	114,484	100%

Global supply chains and burden shifting

A global perspective is needed for analysis of supply chains - especially given clean energy technology deployment goals

Mayyas, A., Steward, D. and Mann, M., 2019. The case for recycling: Overview and challenges in the material supply chain for automotive li-ion batteries. Sustainable materials and technologies, 19, p.e00087. <https://doi.org/10.1016/j.susmat.2018.e00087>

IEDO analysis for environmental flows



Acronyms:

MFI ([Materials Flows through Industry](#)): an NREL tool for environmental and material flow analysis of industrial supply chains

EEIO-IDA ([Environmentally Extended Input/Output for Industrial Decarbonization Analysis](#)): an IEDO-developed model for analysis of emissions accrual through industry supply chains

TECHTEST ([Techno-economic, Energy, and Carbon Heuristic Tool for Early Stage Technologies](#)): an IEDO-developed Excel tool for simplified life cycle assessment (LCA) and technoeconomic analysis (TEA) of low-TRL technologies

LIGHTEnUP ([Lifecycle Industry GreenHouse gas, Technology, and Energy through the Use Phase](#)): an LBNL developed tool for forecasting product and sector life-cycle energy and emissions across the US economy

Buying Clean requires Making it Clean

THE WHITE HOUSE



MENU

- The **Department of Energy (DOE)** is supporting Buy Clean with training, technical assistance, and innovation grants. The Building Technology Office is building tools such as [GREET](#) ↗ for whole building lifecycle analysis and the Advanced Manufacturing Office is supporting with tools such as [LIGHTEUp](#) ↗ and [MFI](#) ↗ to support standard-setting for specific products.

[FACT SHEET: Biden-Harris Administration Announces New Buy Clean Actions to Ensure American Manufacturing Leads in the 21st Century | The White House](#)



Materials Flow through Industry (MFI) Tool

Linear network model of the U.S. industrial sector. It can model a range of manufacturing scenarios, including the effects of changes in production technology and increases in industrial energy efficiency.

<https://www.nrel.gov/manufacturing/mfi-modeling-tool.html>

Environmentally-Extended Input/Output (EEIO) models

Input/output techniques to estimate the total impact of an industry's products on environmental metrics, such as greenhouse gas emissions.

<https://www.energy.gov/eere/iedo/articles/environmentally-extended-input-output-industrial-decarbonization-analysis-eeio>

LIGHTEUp Tool

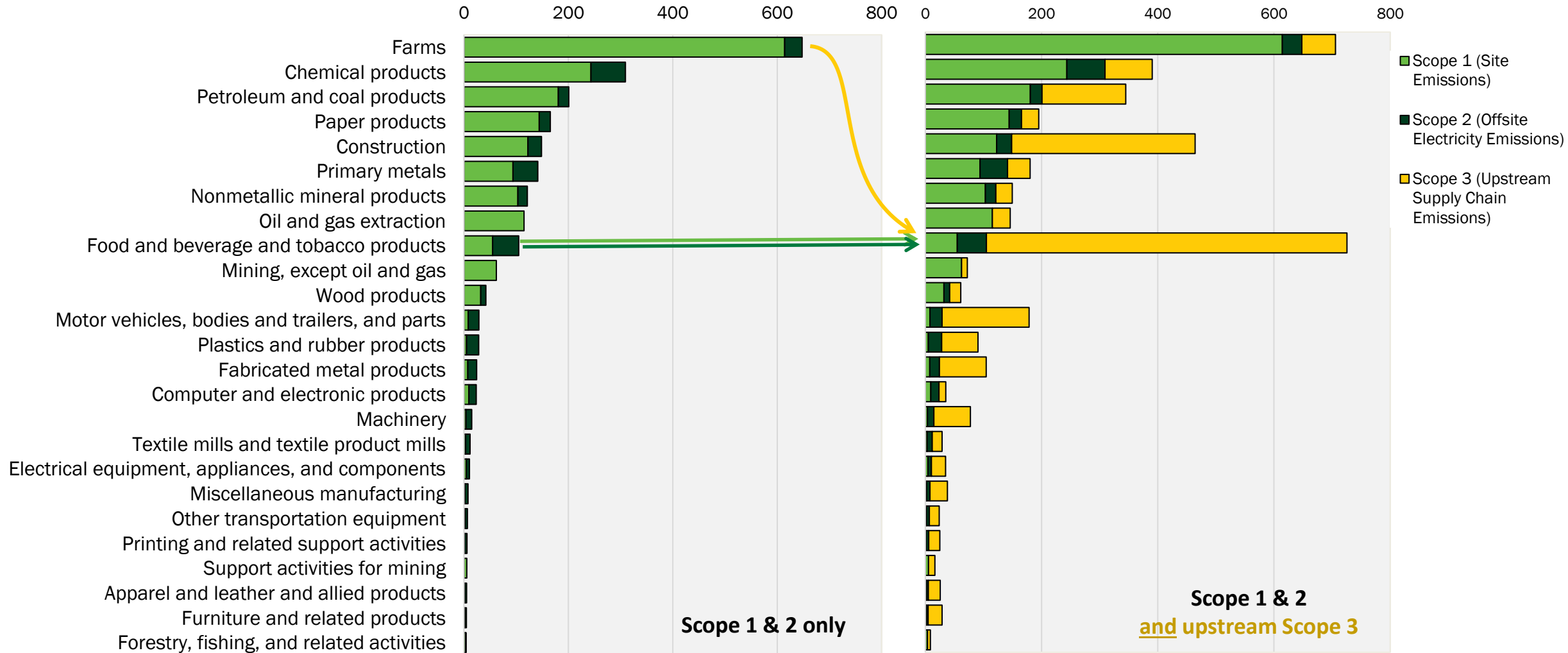
Scenario framework for assessing prospective net energy impacts of a technology/product, accounting for both manufacturing and end-use life cycle phases.

<https://energyanalysis.lbl.gov/tools>

LIGHTEUp: Lifecycle Industry GreenHouse gas, Technology and Energy through the Use Phase

GHG Emission in Context: Significance of Supply Chain Emissions

U.S. Greenhouse Gas Emissions in 2018 (million metric tons CO₂eq)



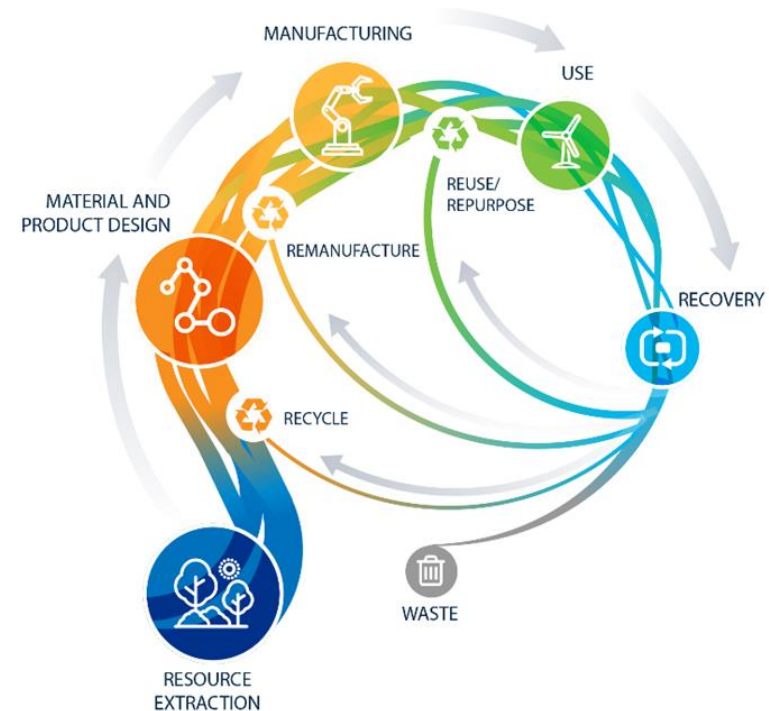
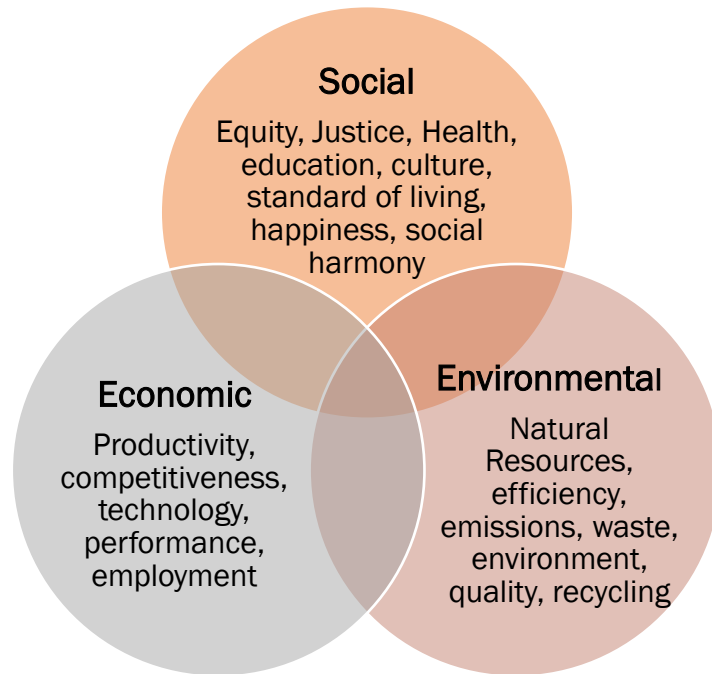
Data Source: DOE EEIO-IDA tool <https://www.energy.gov/eere/iedo/articles/environmentally-extended-input-output-industrial-decarbonization-analysis-eeio>

Resource Flows - Sustainable Manufacturing

Sustainability is defined globally as “meeting the needs of the present without compromising the well-being of future generations” (United Nations General Assembly 1987, 41).

Sustainable manufacturing is the “creation of manufactured products through economically sound processes that minimize negative environmental impacts while conserving energy and natural resources” (EPA 2021) and then extended to require safety for employees, communities, and consumers (DOC).

The **circular economy** is defined as an economic system that uses a systemic approach to maintain a circular flow of resources, by regenerating, retaining or adding to their value, while contributing to sustainable development (draft ISO standard).



DOE and LCA

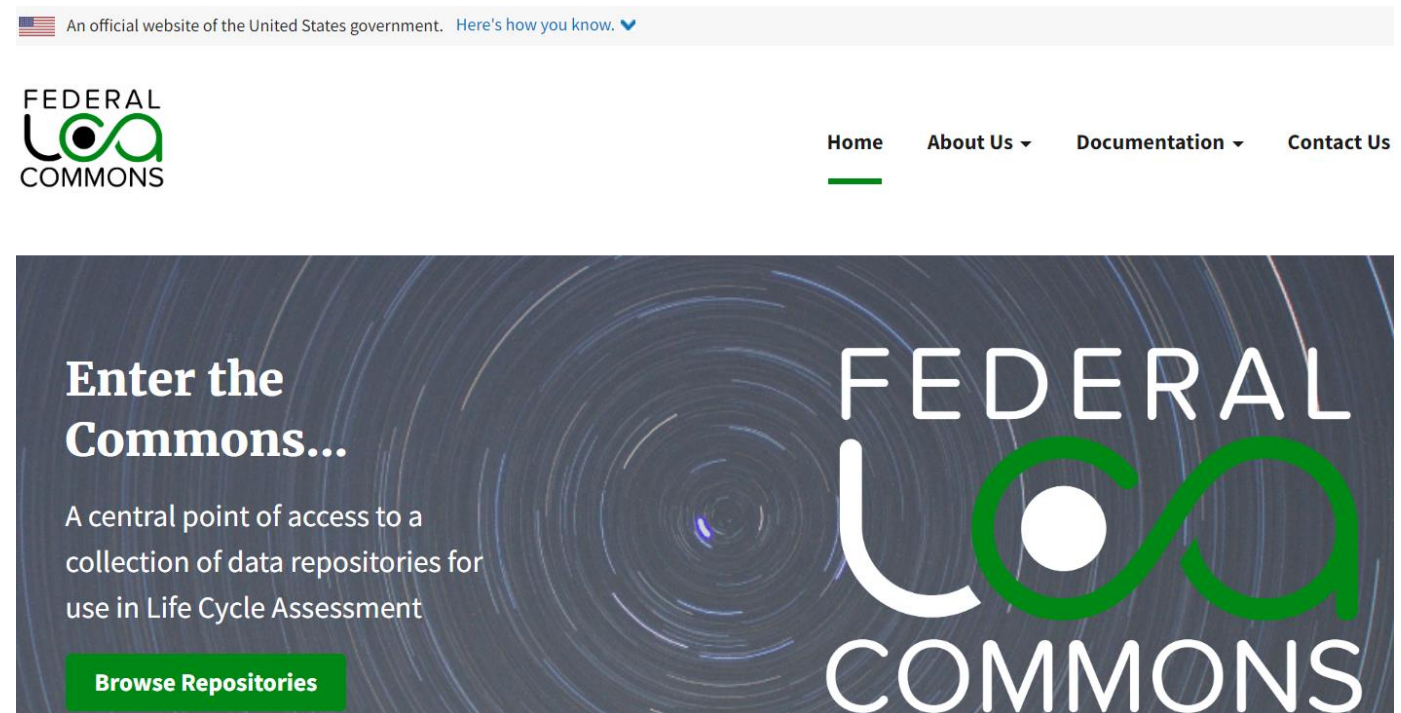
LCA can help industry and governments:

- Assess environmental impacts, embodied carbon and energy of materials and products
- Allow apples-to-apples comparison of materials and products (linear and circular)
- As a decision-making aid across the value chain

DOE (and other federal agencies) can:

- Help to fill data gaps in LCA with accurate and representative data
- Tools for LCA of materials and/or products

[Welcome to the Federal LCA Commons | Life Cycle Assessment Commons](#)



An official website of the United States government. [Here's how you know.](#) ▼

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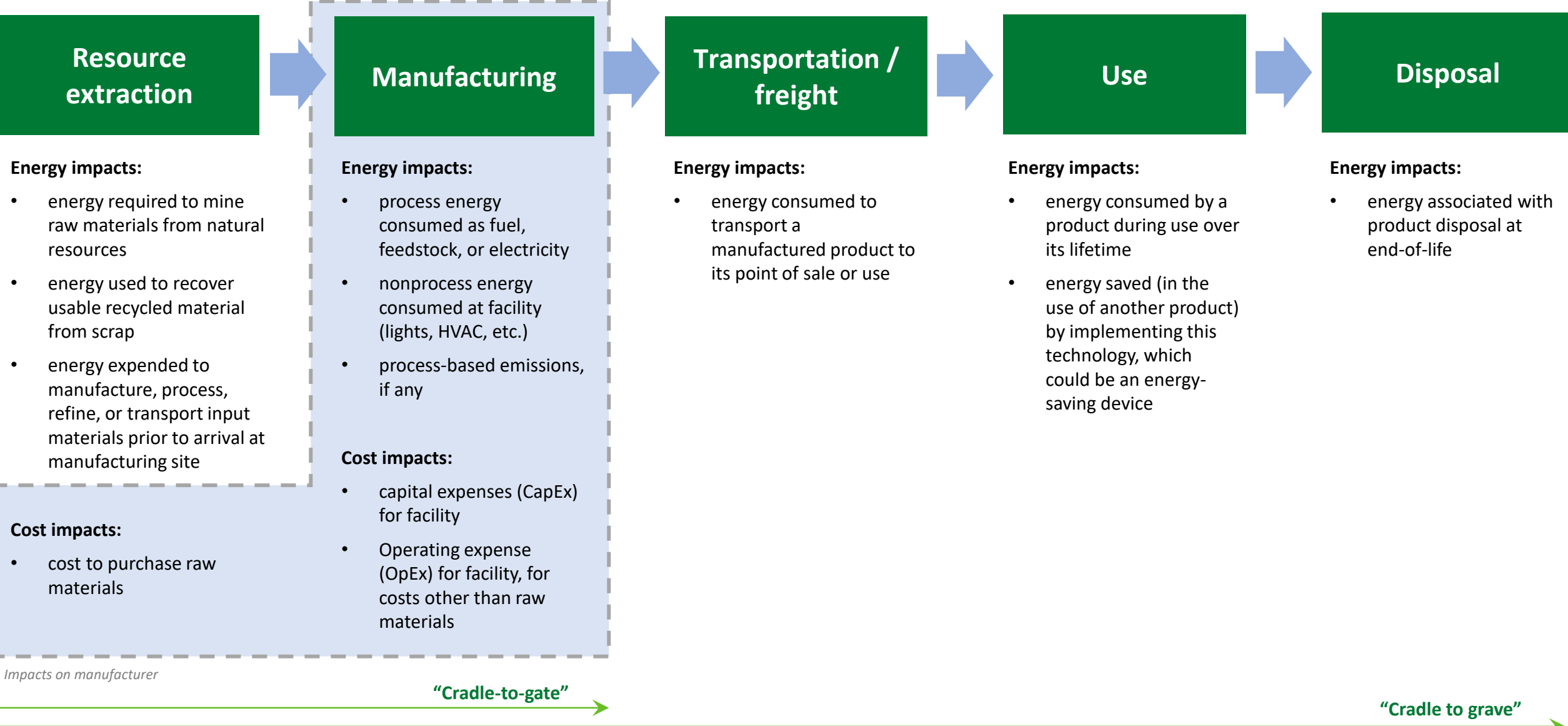
Enter the Commons...

A central point of access to a collection of data repositories for use in Life Cycle Assessment

[Browse Repositories](#)

FEDERAL
LCA
COMMONS

Life-cycle analysis (LCA) facilitates holistic assessment of a technology's energy & environmental impacts – and can also be used as a framework for cost impacts



Some challenges to conducting LCAs mentioned include:

- Data and Tools:
 - Access to manufacturer primary data/confidential disclosure of data
 - Lack of End of Life (EoL) modeling data (i.e., recycling, waste management information)
 - Lack of location-specific inventory information (i.e., regional grid mix)
- Barriers
 - Costs of data/tools
 - Lack of expertise/consultants

Life Cycle Assessment and Techno-Economic Analysis Training

Industrial Efficiency & Decarbonization Office

Industrial Efficiency & Decarbonization Office » Life Cycle Assessment and Techno-Economic Analysis Training

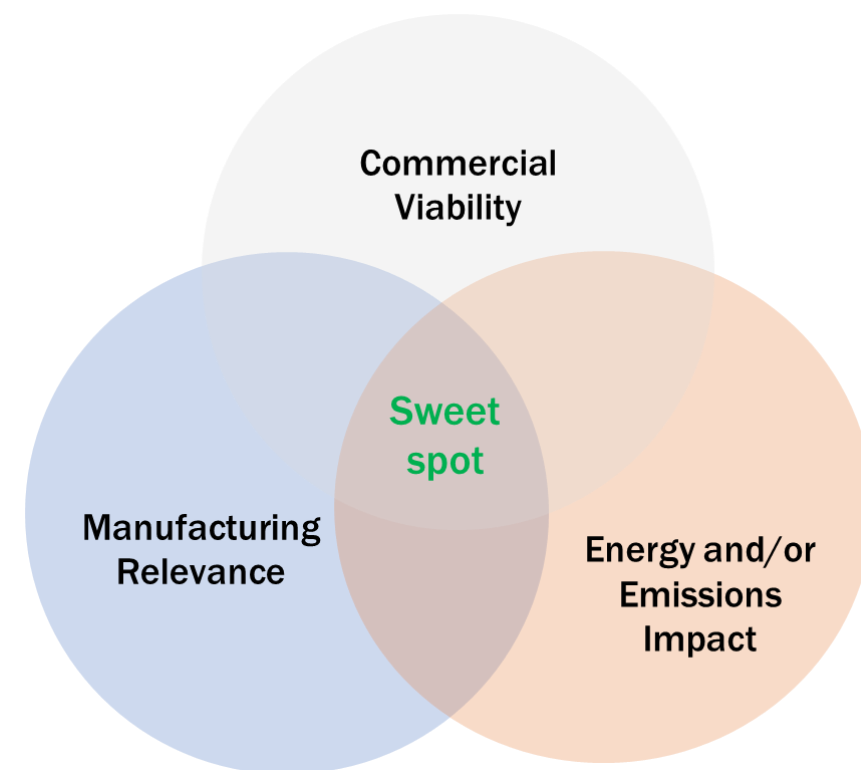
IEDO, alongside AMMTO, has created a repository of resources for assessing emerging technologies on the basis of their potential cost and environmental impact in the commercial marketplace. These resources - including short training videos, tools, and examples - can help users understand impact drivers and quantify the impact potential of a new technology compared to technologies currently available in the marketplace.

Techno-economic, Energy, & Carbon Heuristic Tool for Early-Stage Technologies (TECHTEST)

Tool: DOE has created TECHTEST to aid users in estimating potential energy, carbon, and cost impacts of a new technology in a streamlined spreadsheet tool that integrates life cycle assessment (LCA) and technoeconomic analysis (TEA) methods. Download the current version of the Excel-based [TECHTEST tool and worked examples](#).

Tools Library: DOE's [Strategic Analysis tools](#) library includes additional tools that can also support LCA and/or TEA analyses.

Training Videos: IEDO's series of short tutorial videos explains basic concepts of LCA and TEA in the context of emerging technologies and can support users in assessing impacts for low-TRL technologies and products. See the list below for short descriptions and links to each video (or view the [training videos playlist on YouTube](#)).



[Life Cycle Assessment and Techno-Economic Analysis Training | Department of Energy](#)

TECHTEST Tool for Project Impact Analysis

Spreadsheet tool that can help assess potential energy, carbon, and cost impacts of a new technology using LCA & TEA approaches.

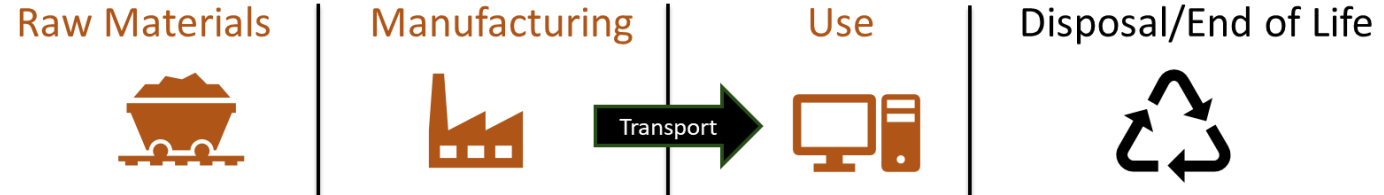
● Included in TECHTEST

● Excluded from TECHTEST

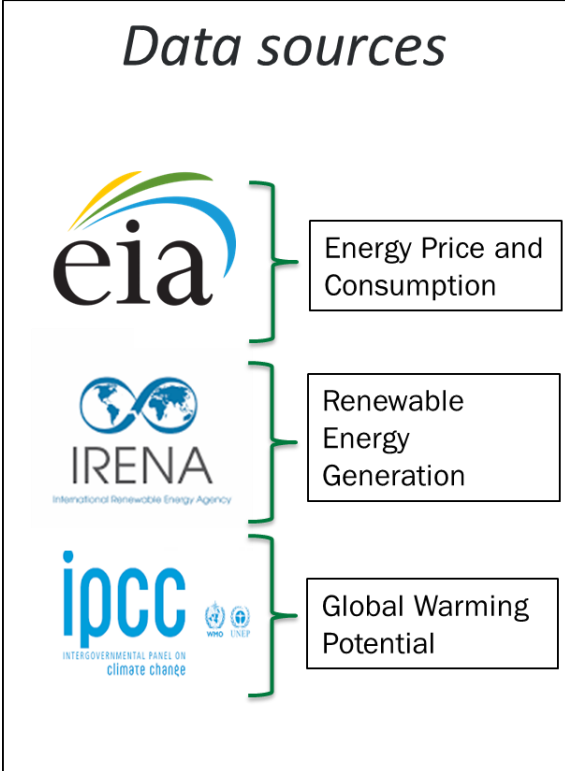
Potential Impact Metrics



Life Cycle Steps



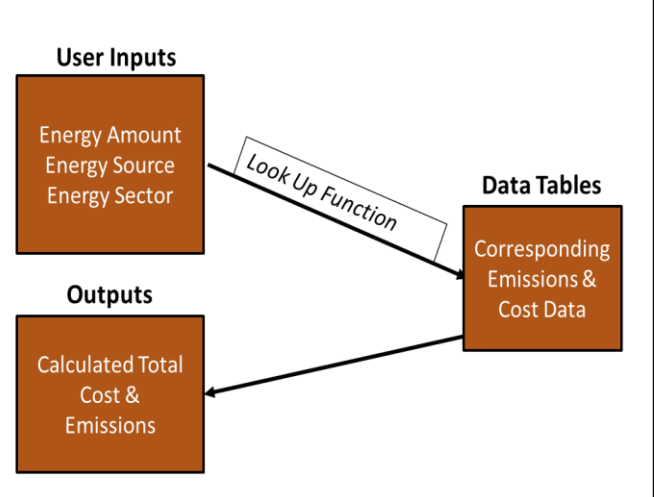
HOW: The tool references process and emissions data tables to help quantify and standardize a comparison of the new and incumbent technologies.



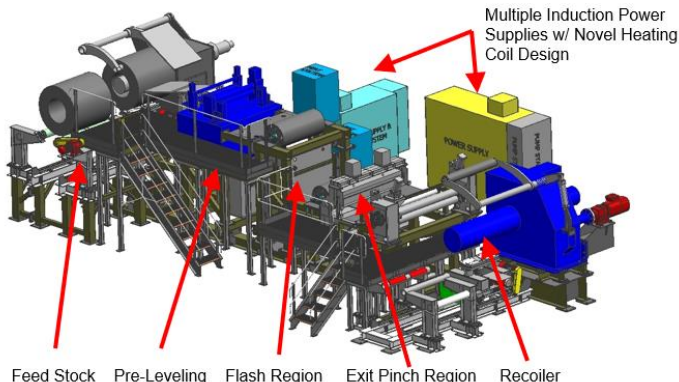
<https://www.energy.gov/eere/iedo/techno-economic-energy-carbon-heuristic-tool-early-stage-technologies-techttest-tool>

TECHTEST Tool for Project Impact Analysis

How data is referenced

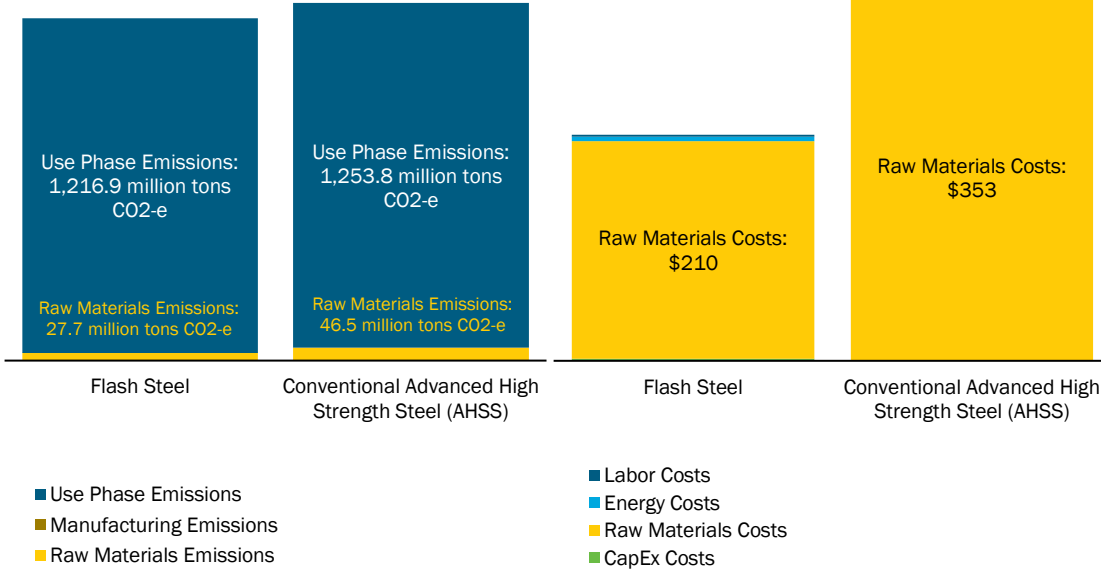


Flash® Bainite MRL-5 Processing Line Design



Emissions Impact Potential (Entire U.S. Market)

Cost Impact Potential (per 600 lb Flash Steel)



OUTPUT: Charts and tables to help visualize and communicate quantitative information.

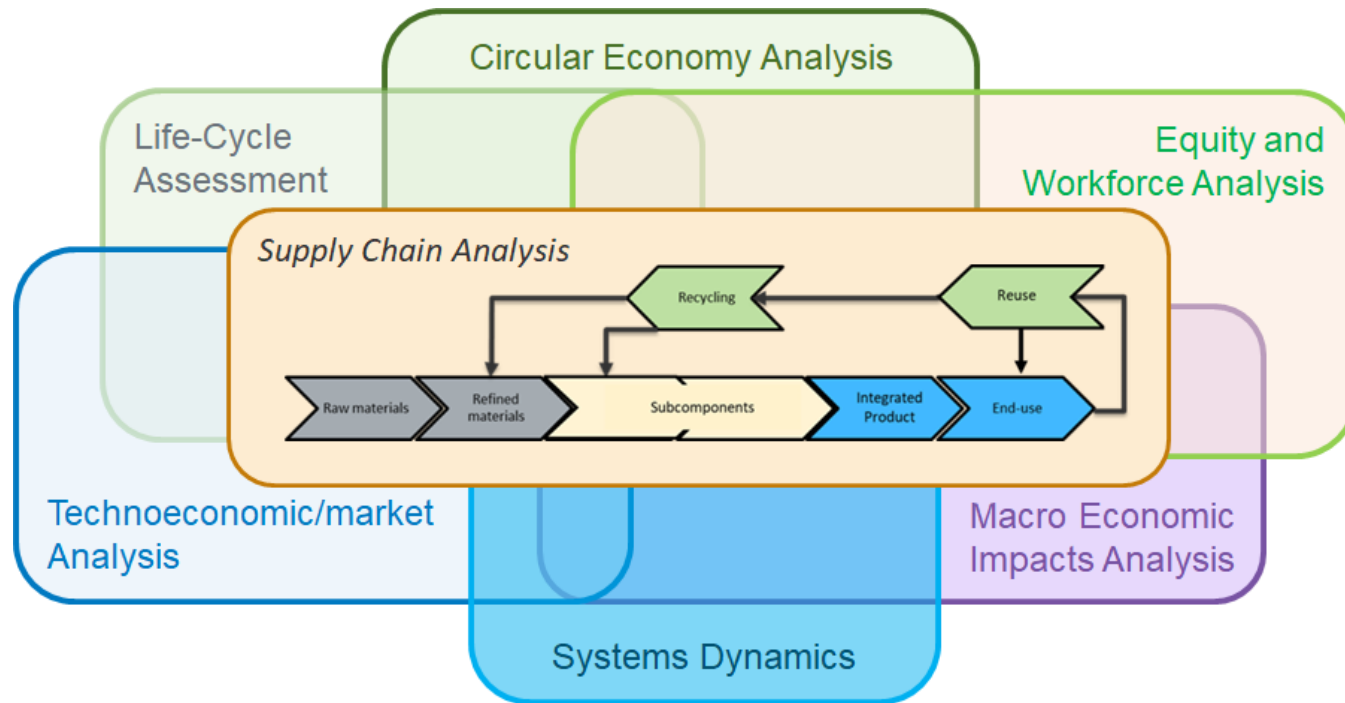
- **Flash Steelworks** - SBIR Phase III Pilot line for production of 20,000-pound coils of Flash Steel for automaker evaluations.
- **Technology** - electrified process for heat-treatment of high-strength steel.
- **Example Application** - vehicle lightweighting.
- **Environmental Impact** Dominated by use phase, followed by raw material embodied energy/emissions. Manufacturing benefits (electrification) possible with a cleaner grid.
- **Cost Impact:** Impact driven by reduction in material costs.

<https://www.energy.gov/eere/iedo/techno-economic-energy-carbon-heuristic-tool-early-stage-technologies-techttest-tool>

Emerging Topics – LCA for Sustainable and Circular Economy

Supply Chain Analysis requires a systems approach that is **dynamic** and **geospatially explicit**

- **Sustainability** Supply Chain Analysis seeks to understand the environmental implications
- **Competitiveness** Supply Chain Analysis seeks to understand global market competition, resiliency, vulnerabilities, and the capacity to evolve and grow

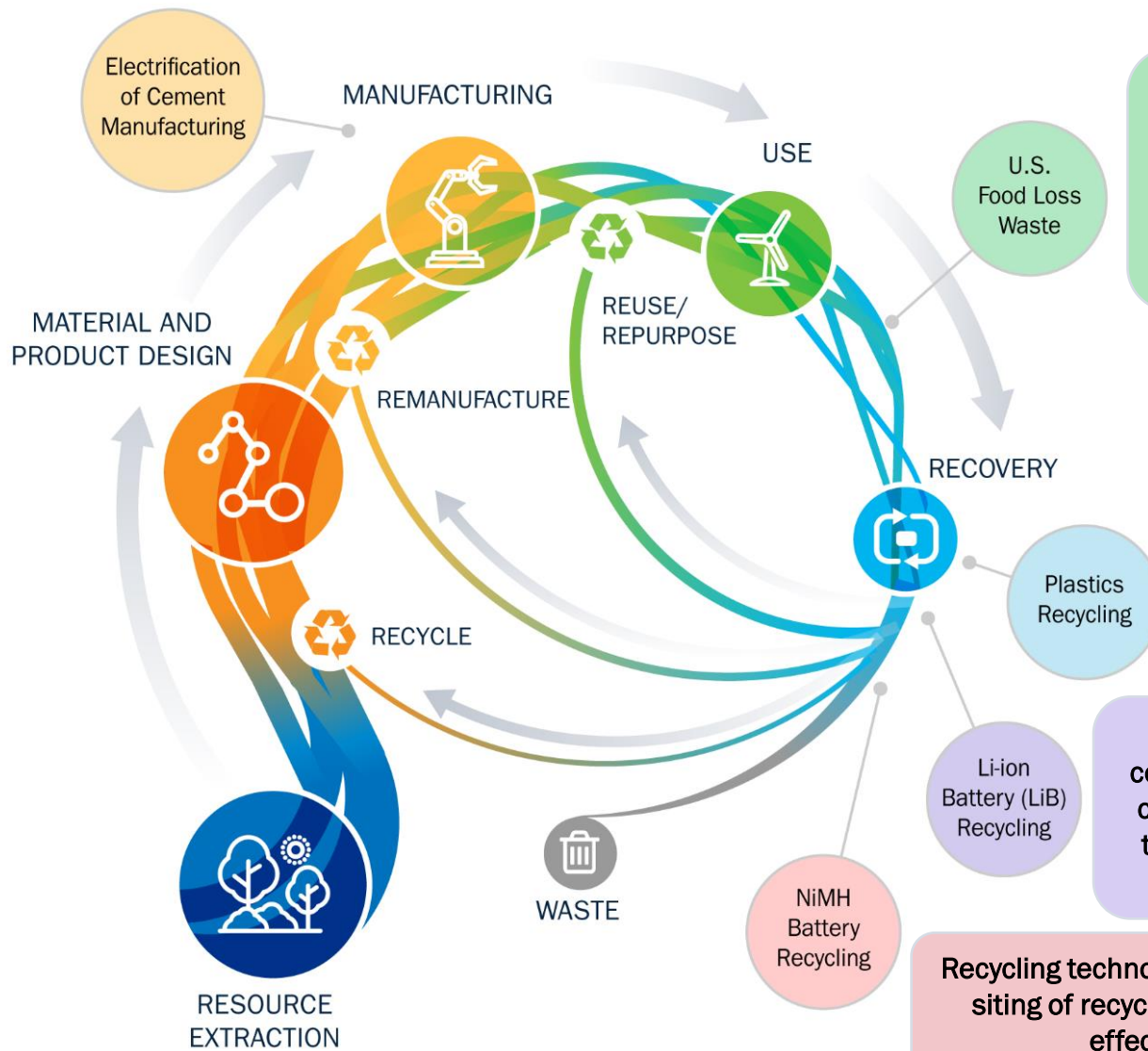


Sustainability objective is to minimize the environmental impacts from the supply chain

Competitiveness objective is to have supply chains that are flexible, resilient, and robust

Sustainable Manufacturing & the Circular Economy: Case studies

Renewable power can decarbonize the sector but unprecedented ramp-up of renewable energy systems would need abiotic resources at a rate significantly higher than today.



Improved packaging technologies and labeling standards are needed to reduce consumer food waste and the associated impacts.

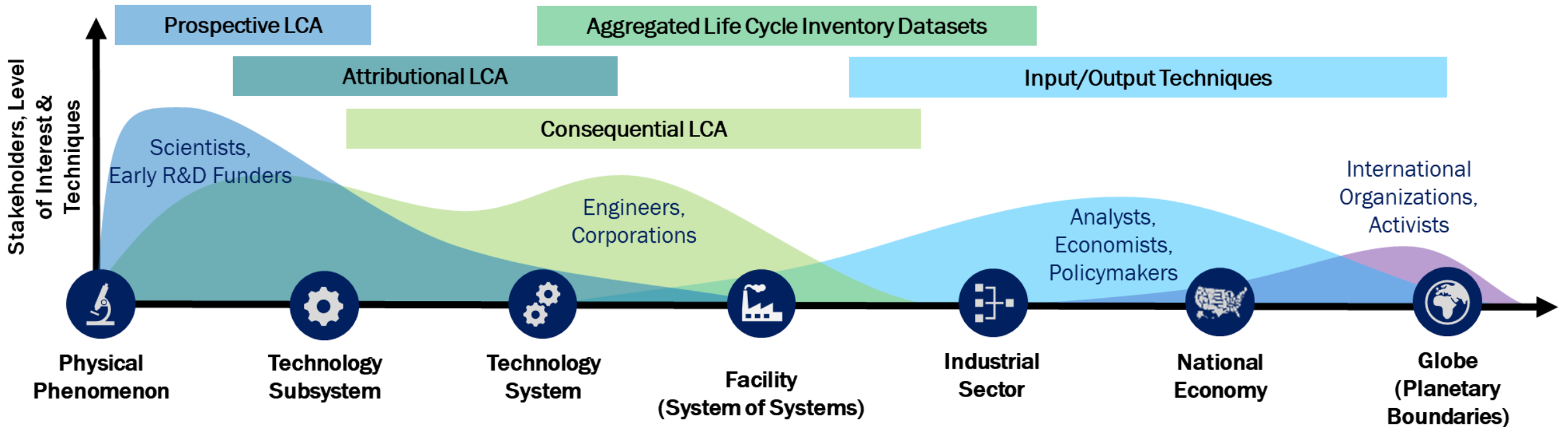
Consideration of waste material collection levels and technology adoption characteristics are needed to properly assess novel technologies that convert plastic waste into functional materials.

Increased recycling combined with shift to low-cobalt chemistries seems to be the most promising environmental solution.

Recycling technologies with lower chemical intensities and strategic siting of recycling facilities are needed to sustainably and cost-effectively offset primary materials demand.

<https://www.energy.gov/eere/amo/articles/sustainable-manufacturing-and-circular-economy>

Industrial decarbonization is a complex systems challenge



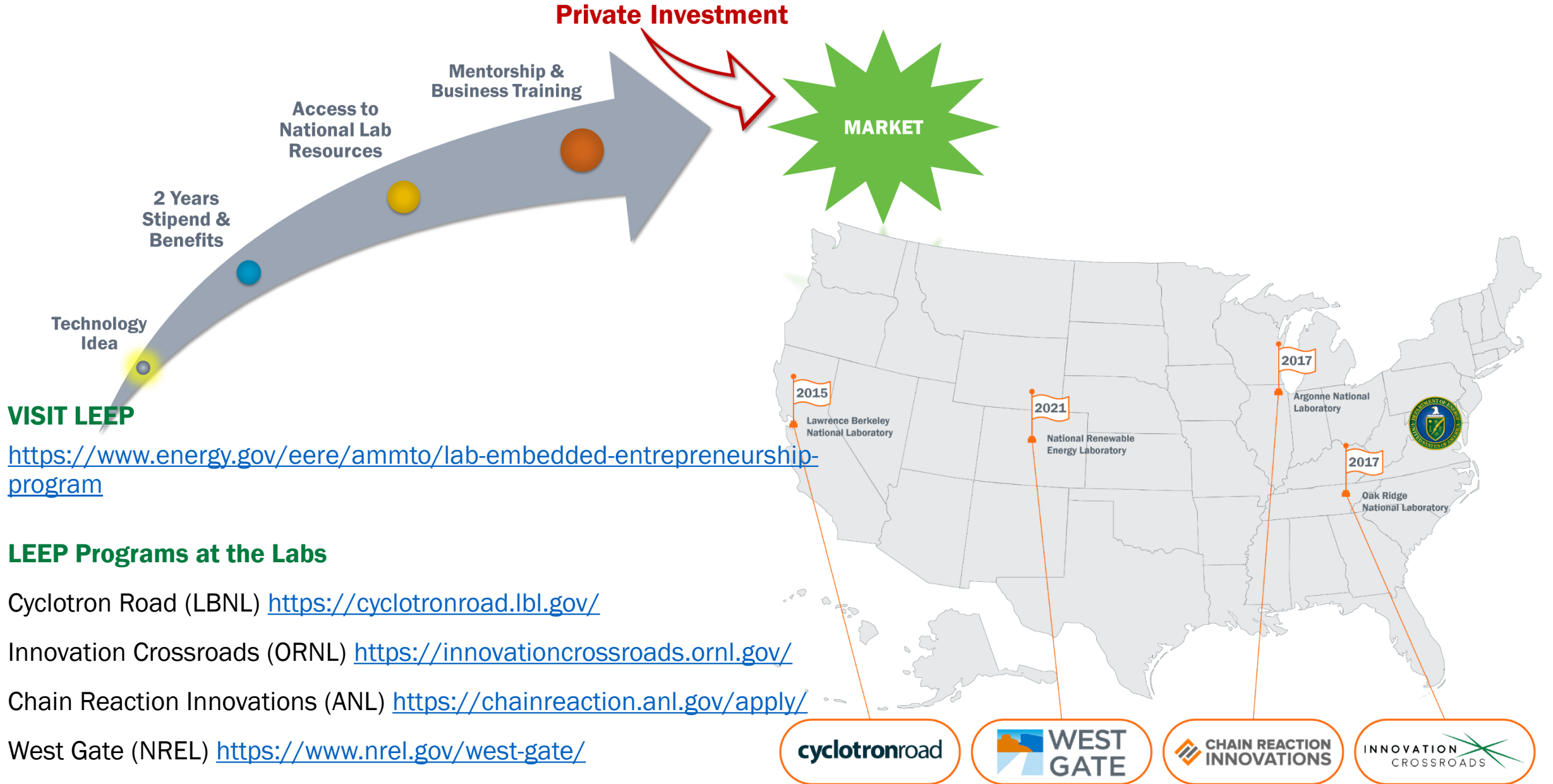
Lab-Embedded Entrepreneurship Program (LEEP)



LEEP connects entrepreneurs with world-leading scientists and facilities at US national laboratories.

LEEP accelerates the deployment of transformative energy technologies that address climate change and other challenges, while also creating jobs, promoting domestic manufacturing, and providing benefits to disadvantaged communities.

Private Investment



Special Thanks to the IEDO Strategic Analysis Team

ANL – Sarang Supekar, Nwike Iloeje, Diane Graziano

LBNL – Arman Shehabi, Prakash Rao, Jibrán Zuberi

NREL – Alberta Carpenter, Samantha Reese, James McCall, Darlene Steward, Taylor Uekert, Hope Wikoff

ORNL – Sachin Nimbalkar, Kristina Armstrong, Prashant Nagapurkar, Kiran Thirumaran, Ikenna Okeke, Dipti Kamath

Energetics – Caroline Dollinger, Sam Gage, Brian Ray



joe.cresko@ee.doe.gov

For additional information:

<https://www.energy.gov/eere/iedo/energy-analysis-data-and-reports>

Backup Slides



Examples: projects with energy impacts in every phase of the product life cycle

Resource extraction



Manufacturing



Transportation / freight



Use



Disposal

Project Example:

“Carbon-Free Iron via Molten Oxide Electrolysis (MOE)”

Project aims to develop a new electrolysis process for steel production that eliminates the need for coking coal (and associated embodied energy and emissions) as an input material

- Res.
- Man.
- Trans.
- Use
- Disp.

Project Example:

“High-Temperature Membrane for In-Situ Process Water Removal”

Project is developing high-temperature membranes for *in situ* steam separation, replacing energy-intensive methods for process water removal

- Res.
- Man.
- Trans.
- Use
- Disp.

Project Example:

“Advanced Catalysts for Low Temperature Heavy Crude Upgrading”

Project seeks to reduce the viscosity of heavy crude oil directly at the production well to facilitate lower-cost, lower-energy pipeline transportation

- Res.
- Man.
- Trans.
- Use
- Disp.

Project Example:

“Full-Scale Engine Demonstration for Additively Manufactured High Gamma-Prime Turbine Blades”

Project goal is to demonstrate novel airfoil designs, enabled by additive manufacturing techniques, that will enhance gas turbine engine performance and reduce fuel consumption

- Res.
- Man.
- Trans.
- Use
- Disp.

Project Example:

“Innovative High-Feed-Rate Additive Manufacturing Using Cellulose-Reinforced Thermoplastic Composites”

Project is developing bio-based resins for fiber-reinforced polymer composites, which will have a lower embodied energy than conventional resins and will also be biodegradable

- Res.
- Man.
- Trans.
- Use
- Disp.

Some DOE and open-source tools and databases for energy and cost analysis



REMADE Embodied Energy Calculator: Calculator for estimating embodied energy, emissions, and material efficiency benefits of a new technology (focused on recycling)

Inventory of Carbon & Energy (ICE) database: University of Bath database providing embodied carbon values (kg CO₂-eq/kg) for a wide range of common building materials such as brick, aluminum, steel, and glass.

NREL Life Cycle Inventory (LCI) database: Database for life cycle inventory data, covering a wide range of basic materials, including inventories of input/output materials and emissions.

Materials Flow through Industry (MFI) tool: NREL tool providing “recipes” of input and output materials, energy, and emissions to manufacture a given product

Lifecycle Industry GHG, Technology, and Energy through the Use Phase (LIGHTEn-UP) tool: LBNL tool for calculating use-phase energy use over time, including wedge plots

Manufacturing Cost Levelization Model: LBNL cost-performance tool for estimating the large-scale manufacturing costs to produce a given product

Techonomics: Suite of tools for simple techno-economic analysis of early stage technologies, including CapEx and OpEx

Manufacturing Energy Consumption Survey (MECS), Energy Savings Assessments (ESA), Footprints/Sankeys, Bandwidth Studies

Annual Energy Outlook (AEO): Data projections for anticipated energy demand, energy prices, and other indicators by sector/subsector and energy source (currently projected to 2050).

Waste Resource Management (WARM) tool: EPA spreadsheet tool for calculating the energy consumption, emissions, and cost associated with a given waste management strategy

- AMO Tools
- Non-AMO Tools

Sustainable manufacturing via circular economy approaches

Estimated emissions reduction:

Material efficiency – 10%

- Product design
- Waste reduction
- Lightweighting

Reuse/Repurpose – 12%

- Longer usable lifetimes
- Repair and remanufacturing

Recycling – 18%

- Supply chain logistics
- Design for circularity
- Improved recycling processes
- Improved separation/purity

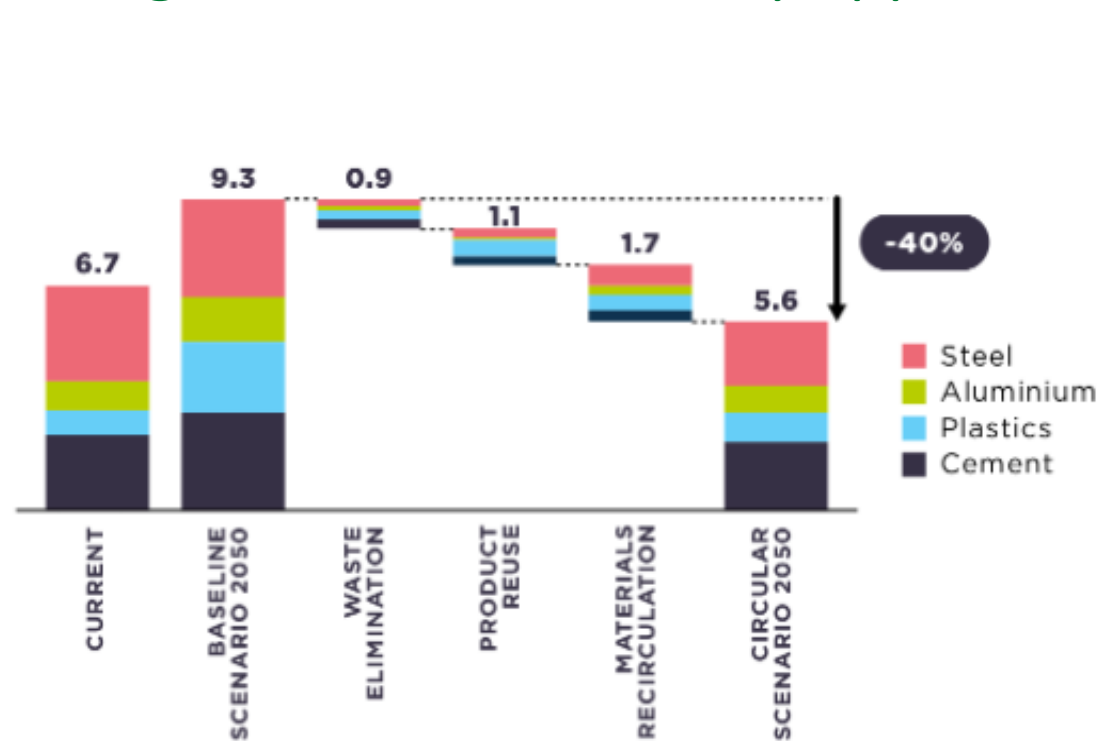
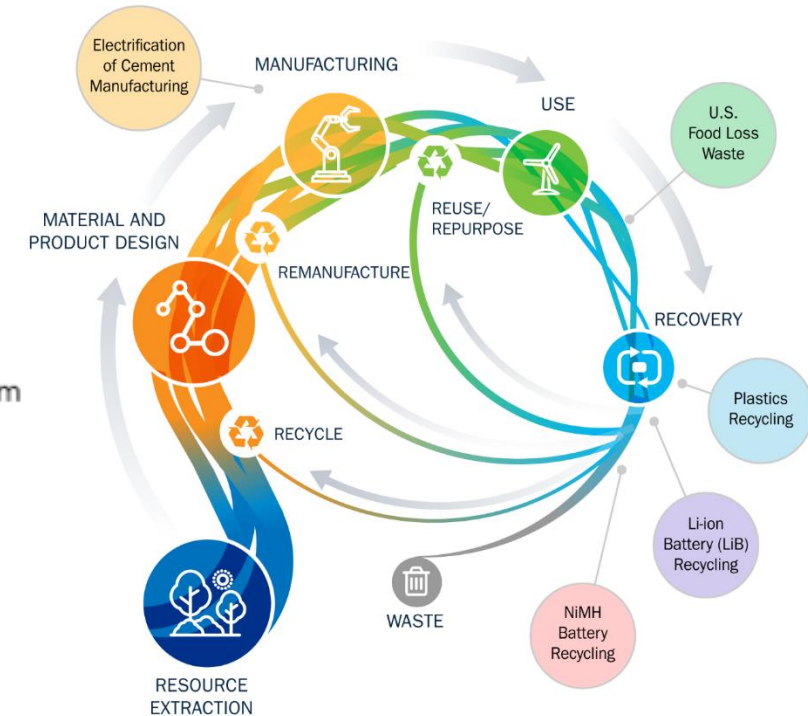


FIGURE 4: A CIRCULAR ECONOMY COULD REDUCE ANNUAL GLOBAL CO₂e EMISSIONS FROM KEY INDUSTRY MATERIALS BY 40% OR 3.7 BILLION TONNES IN 2050

GLOBAL CO₂e EMISSIONS FROM FOUR KEY MATERIALS PRODUCTION
BILLION TONNES OF CO₂e PER YEAR

[Completing the picture: How the circular economy tackles climate change \(ellenmacarthurfoundation.org\)](https://www.ellenmacarthurfoundation.org)



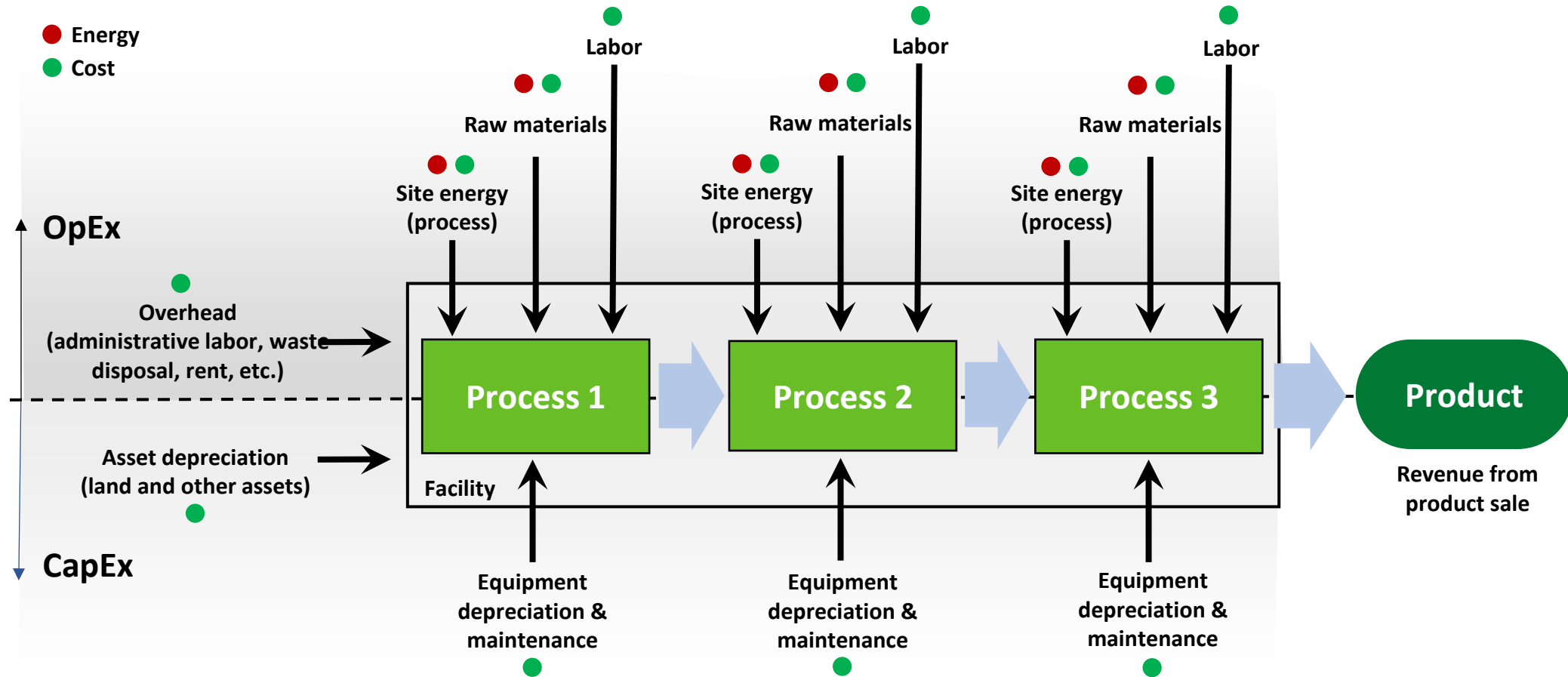
If done well, circular economy can reduce:

- Industrial emissions and energy consumption
- Water use and wastewater generation
- Need for extraction
- Broad environmental impacts

[Sustainable Manufacturing and the Circular Economy | Department of Energy](#)

[Sustainable Materials Selection in Manufactured Products | Department of Energy](#)

Example: Analyzing Manufacturing Phase Cost & Energy Impacts

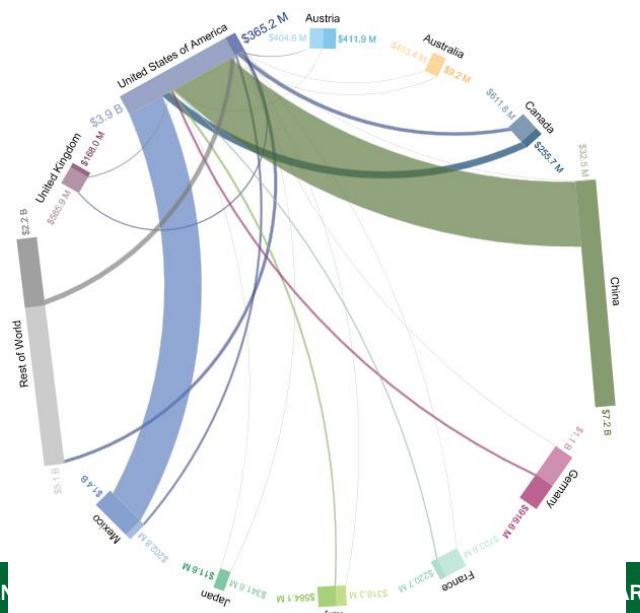
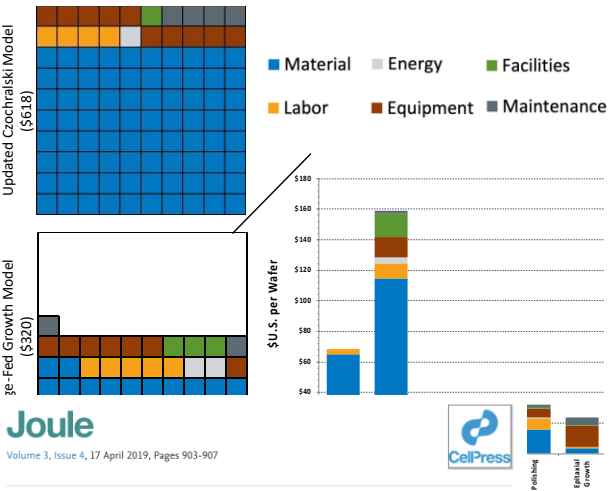
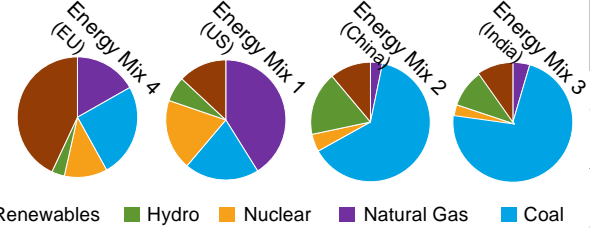
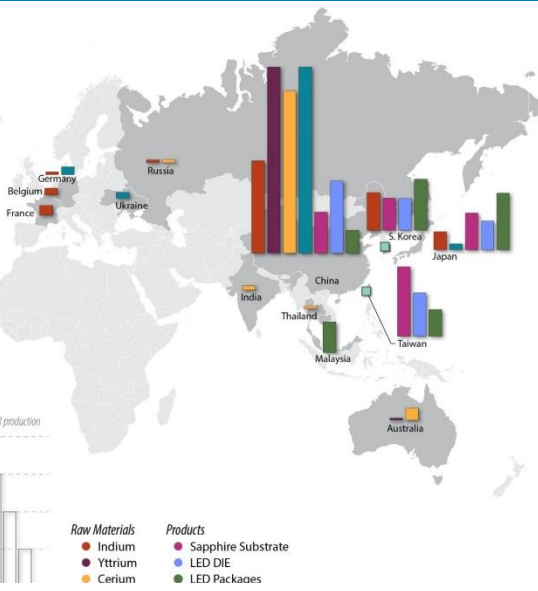
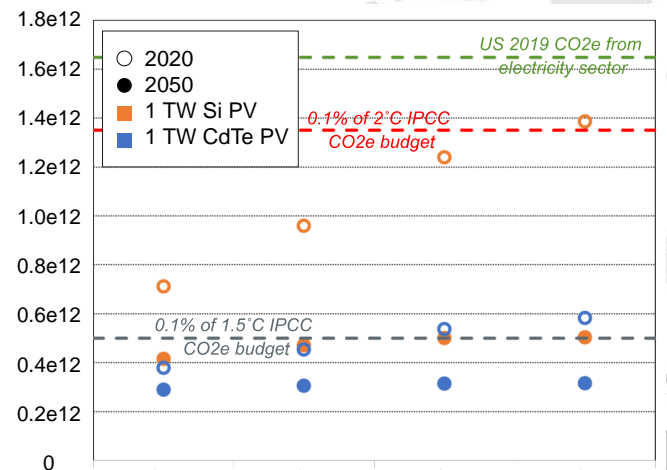
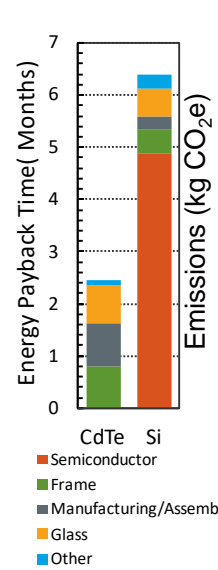


- Consumables (raw materials and energy) are quantified in terms of their **cost** (\$) and **energy** (MJ)
- Capital expenses (CapEx) and operating expenses (OpEx) are calculated for the **cost** analysis

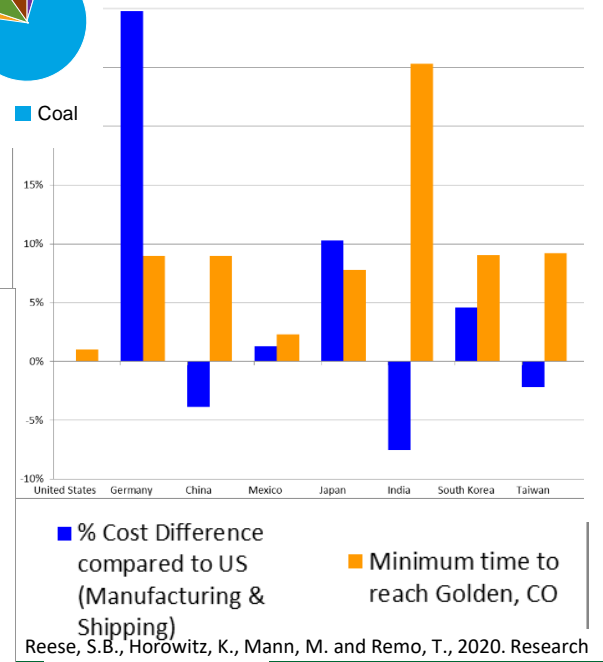
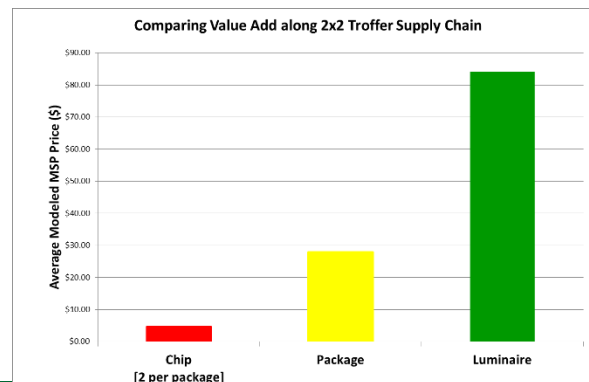
Techno-economic, Manufacturing Decarbonization, and Supply Chain Capabilities

Provide analysis to put research problems in context and analytically show technology potential. With early TRLs, in collaboration with researchers, put together models that serve three purposes:

- 1) Cost & Price
 - i. Highlight manufacturing process/es that add the most cost
 - ii. Predict the minimum sustainable price to compete with current state of art
 - iii. Estimate effects of technical breakthroughs on entire systems costs
- 2) Demonstrate embodied carbon impact of grid mix and technology choices
- 3) Understand supply chain and trade flow implications



H. M. Wikoff, S. B. Reese, and M. O. Reese, "Embodied energy and carbon from the manufacture of cadmium telluride and silicon photovoltaics," *Joule*, vol. 6, no. 7, pp. 1710–1725, Jul. 2022, doi: [10.1016/j.joule.2022.06.006](https://doi.org/10.1016/j.joule.2022.06.006).



How Much Will Gallium Oxide Power Electronics Cost?

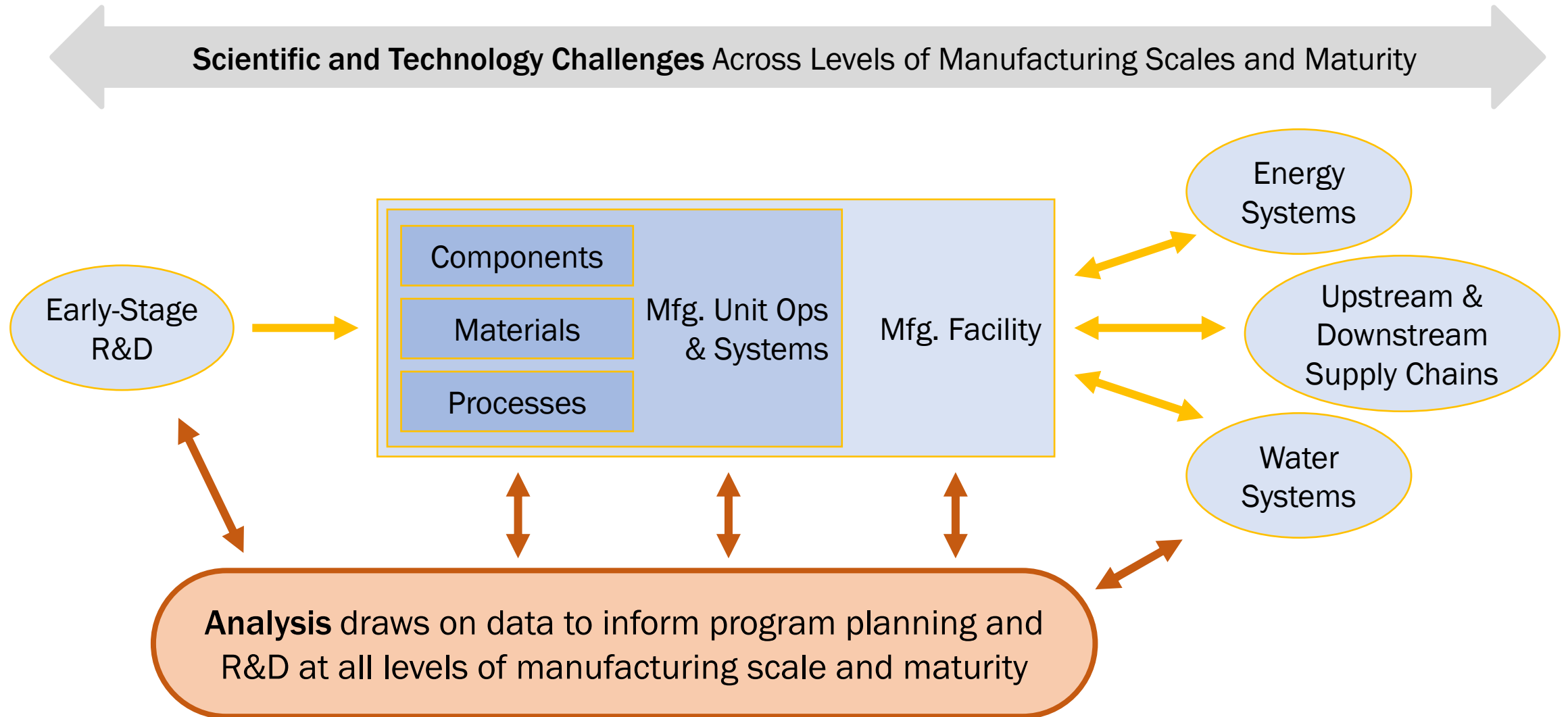
Samantha B. Reese¹, Timothy Remo¹, Johnney Green², Andriy Zakutayev³

EFFICIENT

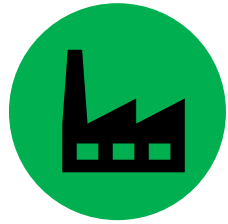
RBONIZATION OF PICE

Reese, S.B., Horowitz, K., Mann, M. and Remo, T., 2020. Research note: LED lighting—A global enterprise. *Lighting Research & Technology*, 52(7), pp.849–855. NREL | <

Analysis informs technological progress, as well as early-stage R&D

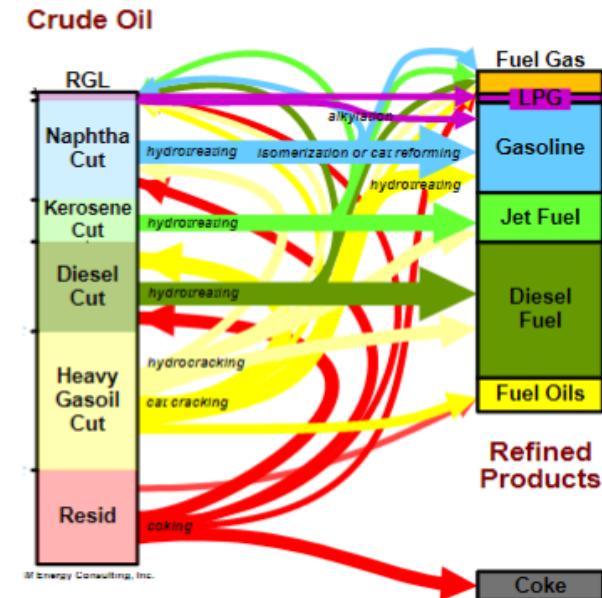
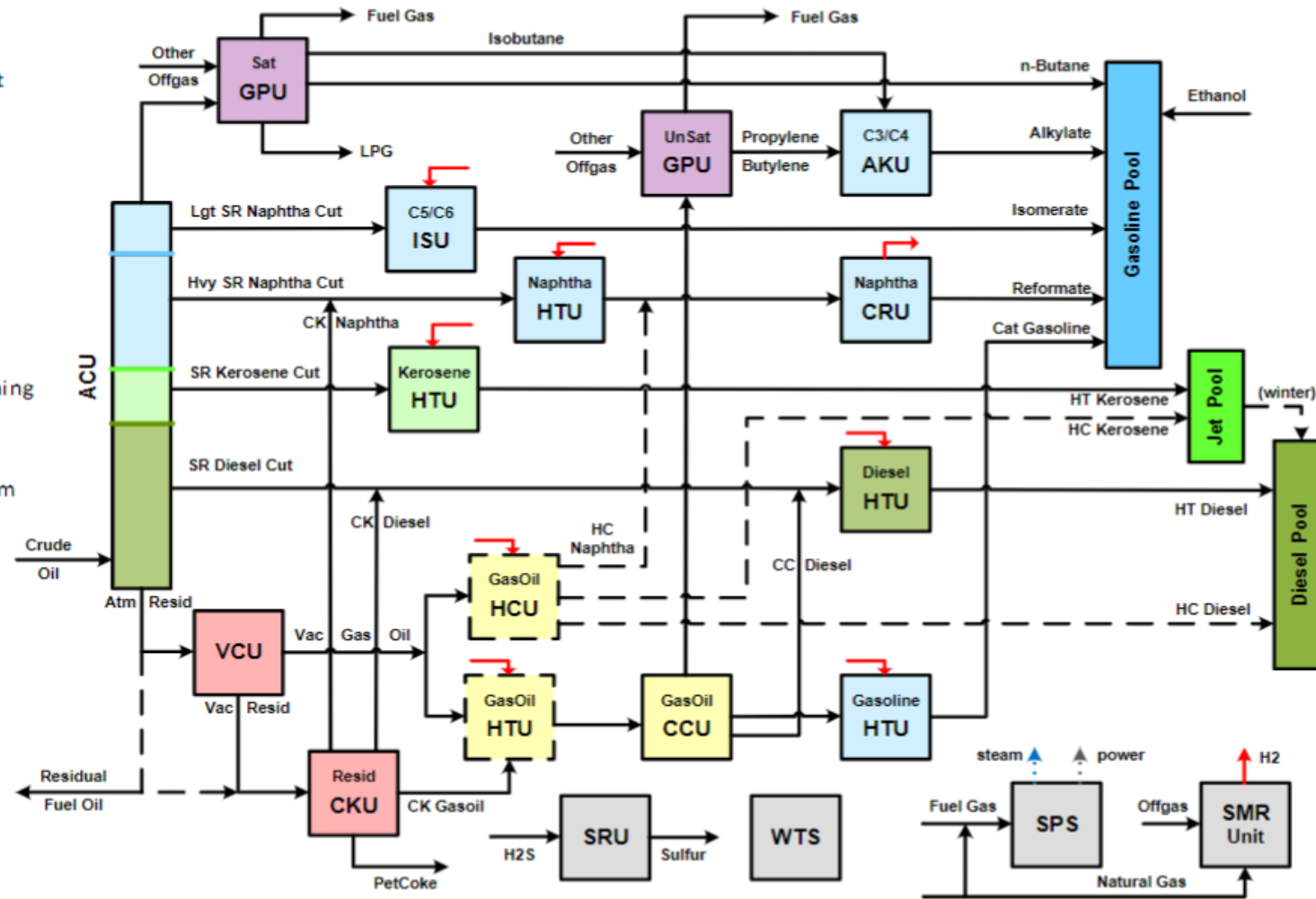


Analysis at the Manufacturing Facility Level (alternate)



Nomenclature

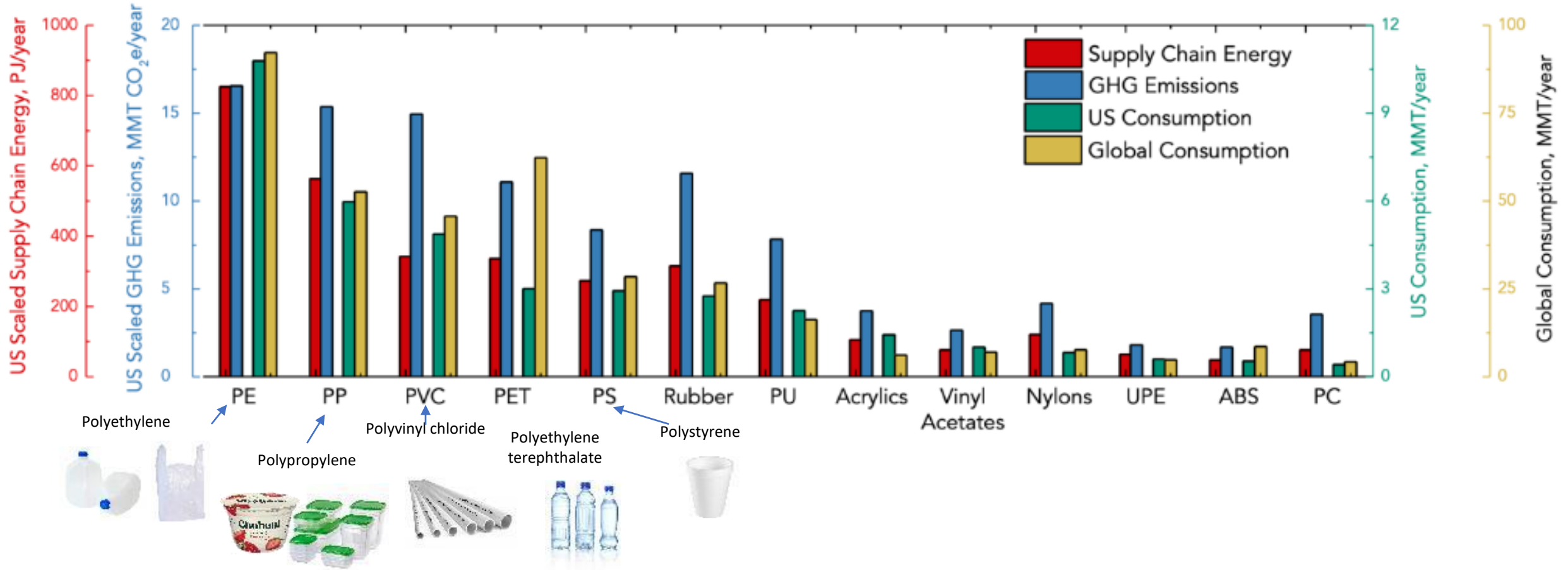
- ACU – Atmospheric Crude Unit
- VCU – Vacuum Crude Unit
- CKU – Coking Unit
- GPU – Gas Processing Units
- AKU – Alkylation Unit
- ISU – Isomerization Unit
- HTU – Hydrotreating Units
- HCU – Hydrocracking Unit
- CCU – Catalytic Cracking Unit
- SMR – Steam Methane Reforming
- SRU – Sulfur Recovery Unit
- WTS – Water Systems
- SPS – Steam Production System



An Alternative Perspective

A petroleum refinery cracks high-boiling components of crude oil, and also converts by-products hydrocarbon gases into additional naphtha, kerosene & diesel cuts, which are then processed into liquids with properties of gasoline, jet, and diesel fuel, respectively

Analysis at the Product Level (alternate)

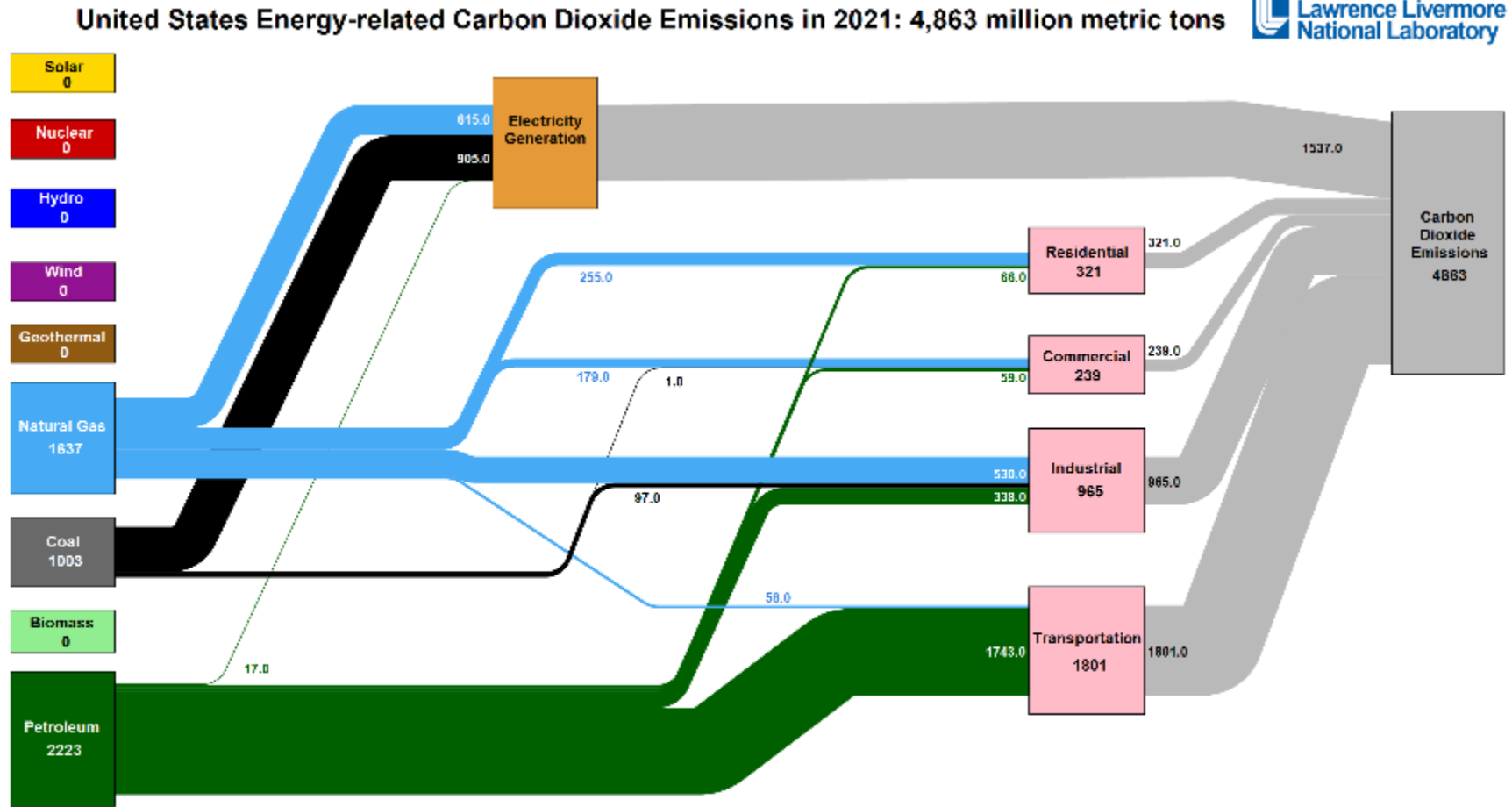


Analysis at the National Level



Maybe less familiar:

(LLNL Carbon Emissions Sankey, 2021)



Source: EERE July 2022. Data is based on DOE/EIA NER 2021. This information is a reproduction of it as used, and will not be given to the Lawrence Livermore National Laboratory and the Department of Energy, which does not own the data. For more information, see the following links: <https://www.llnl.gov/eere> and <https://www.eia.gov>. The efficiency of electricity production is calculated as the total useful electricity generated divided by the primary energy input into electricity generation. Efficiency values are calculated as 45% for the residential sector, 41% for the commercial sector, and 45% for the industrial sector, which was updated in 2017 to reflect EPA's analysis of manufacturing. Note: we do not report use of computers due to independent rounding. 10/2022

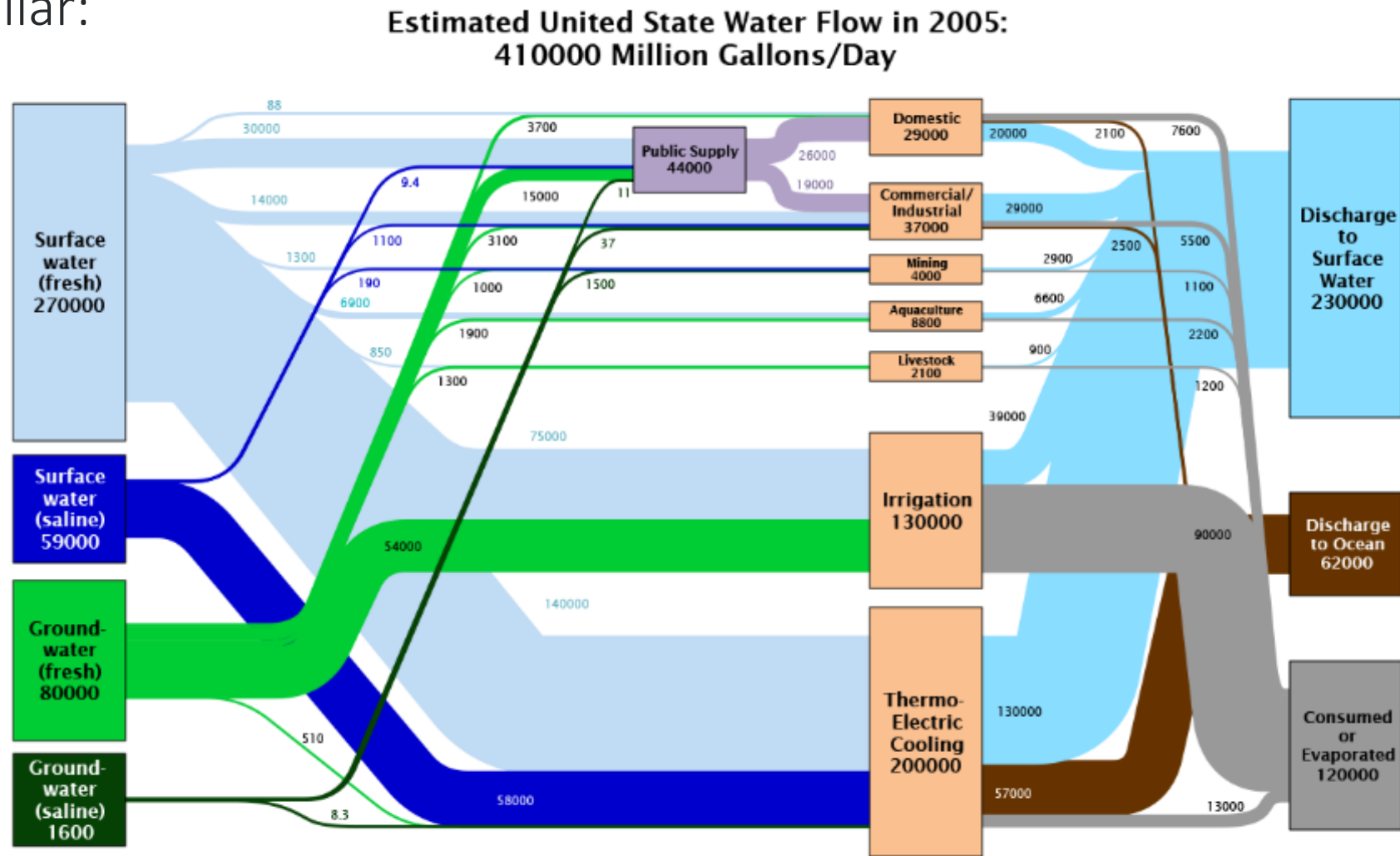
U.S. Carbon Emissions Flow Chart for 2021 (LLNL)
<https://flowcharts.llnl.gov/commodities/carbon>

Analysis at the National Level



Also less familiar:

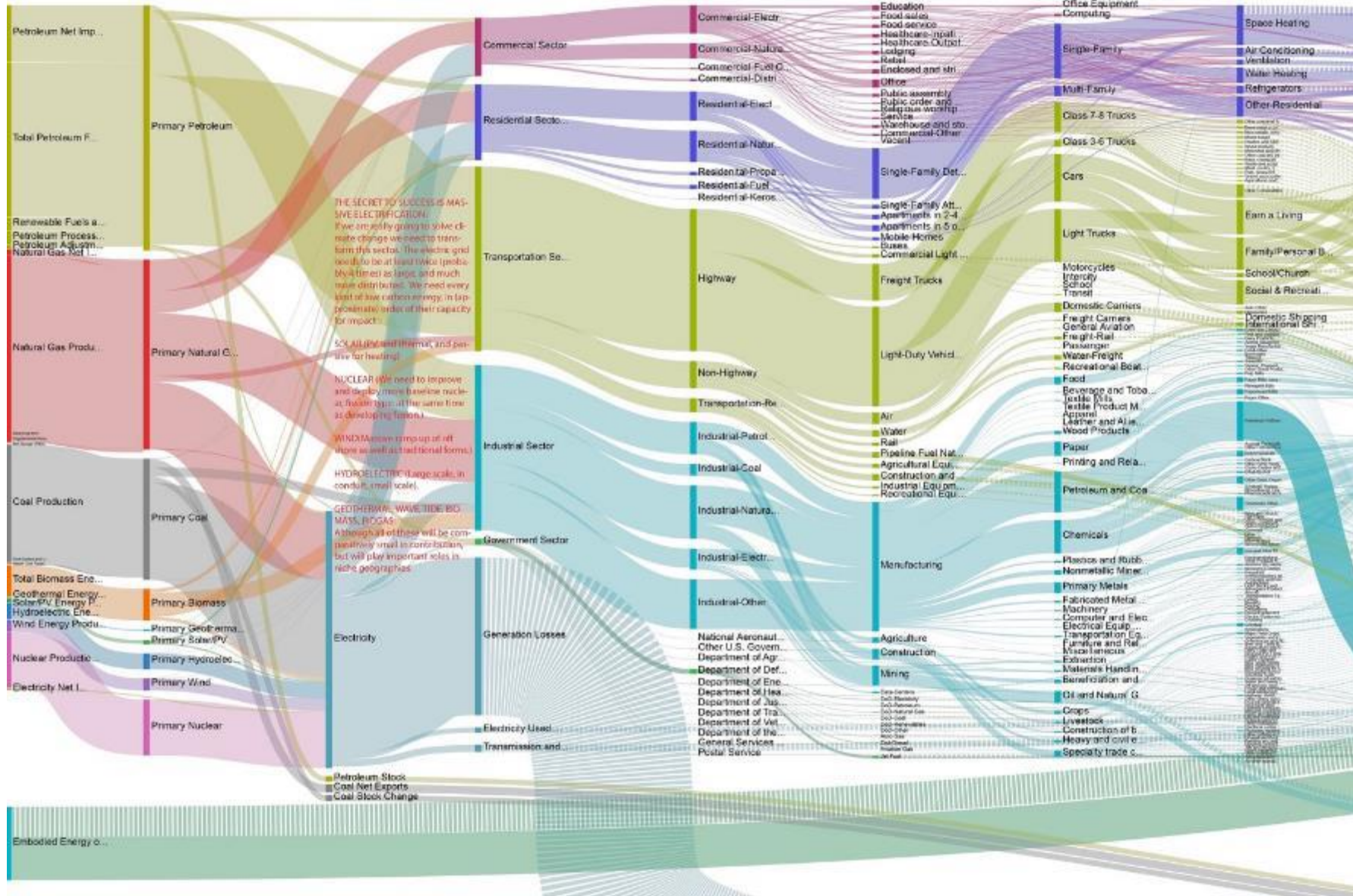
(LLNL Water Flow Sankey, 2005)



Source: LLNL 2011. Data is based on USGS Circular 1344, October 2009. If this information or a reproduction of it is used, credit must be given to the Lawrence Livermore National Laboratory and the Department of Energy, under whose auspices the work was performed. All quantities are rounded to 2 significant digits and annual flows of less than 0.05 MGal/day are not included. Totals may not equal sum of flows due to independent rounding. Further detail on how all flows are calculated can be found at <http://flowcharts.llnl.gov>. LLNL-TR-475772

U.S. Carbon Emissions Flow Chart for 2005 (LLNL)
<https://flowcharts.llnl.gov/commodities/water>

Analysis at the National Level, continued



The Energy Flow Super Sankey (developed by Otherlab for ARPA-e in 2018) illustrates the interconnectedness of the economy through the nation's energy flows

U.S. Energy Flow Super Sankey:
<https://www.otherlab.com/blog-posts/us-energy-flow-super-sankey>
 Interactive tool: <http://www.departmentof.energy/>

National Institute of Standards and Technology (NIST) Manufacturing Extension Partnership (MEP) & Manufacturing USA Resources

Don Ufford | Advanced Manufacturing National Program Office, NIST

Jyoti Malhotra | Division Chief for National Programs, NIST MEP



U.S. DEPARTMENT OF
ENERGY

Office of SBIR/STTR
Programs



Opportunities for SBIR/STTR Interaction

Don Ufford, Advanced Manufacturing National Program Office, NIST

An interagency team building partnerships with U.S. industry and academia



About Manufacturing USA

VISION: Securing U.S. Global Leadership in Advanced Manufacturing

MISSION: Connecting people, ideas, and technology to:

- solve industry-relevant advanced manufacturing challenges
- enhance industrial competitiveness and economic growth
- strengthen our economic and national security



Purposes



**IMPROVE
COMPETITIVNESS**



**SECURE U.S.
LEADERSHIP IN
ADVANCED MFG**



**SCALE
MANUFACTURING
TECHNOLOGIES**



**DEVELOP ADVANCED
MANUFACTURING
WORKFORCE**



**PROVIDE SHARED
RESOURCES AND
FACILITIES**



**EXCHANGE BEST
PRACTICES**



**INCREASE
PARTNERSHIPS AND
COLLABORATION**



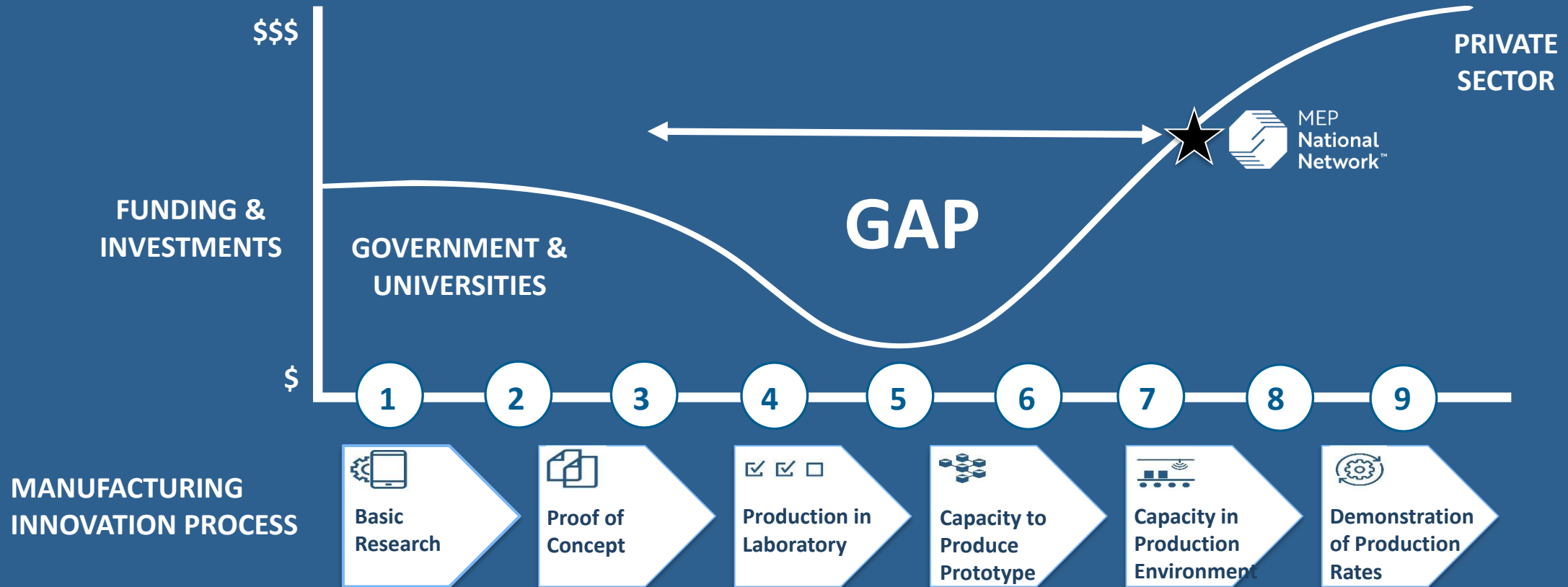
**BOOST
MANUFACTURING
EMPLOYMENT**



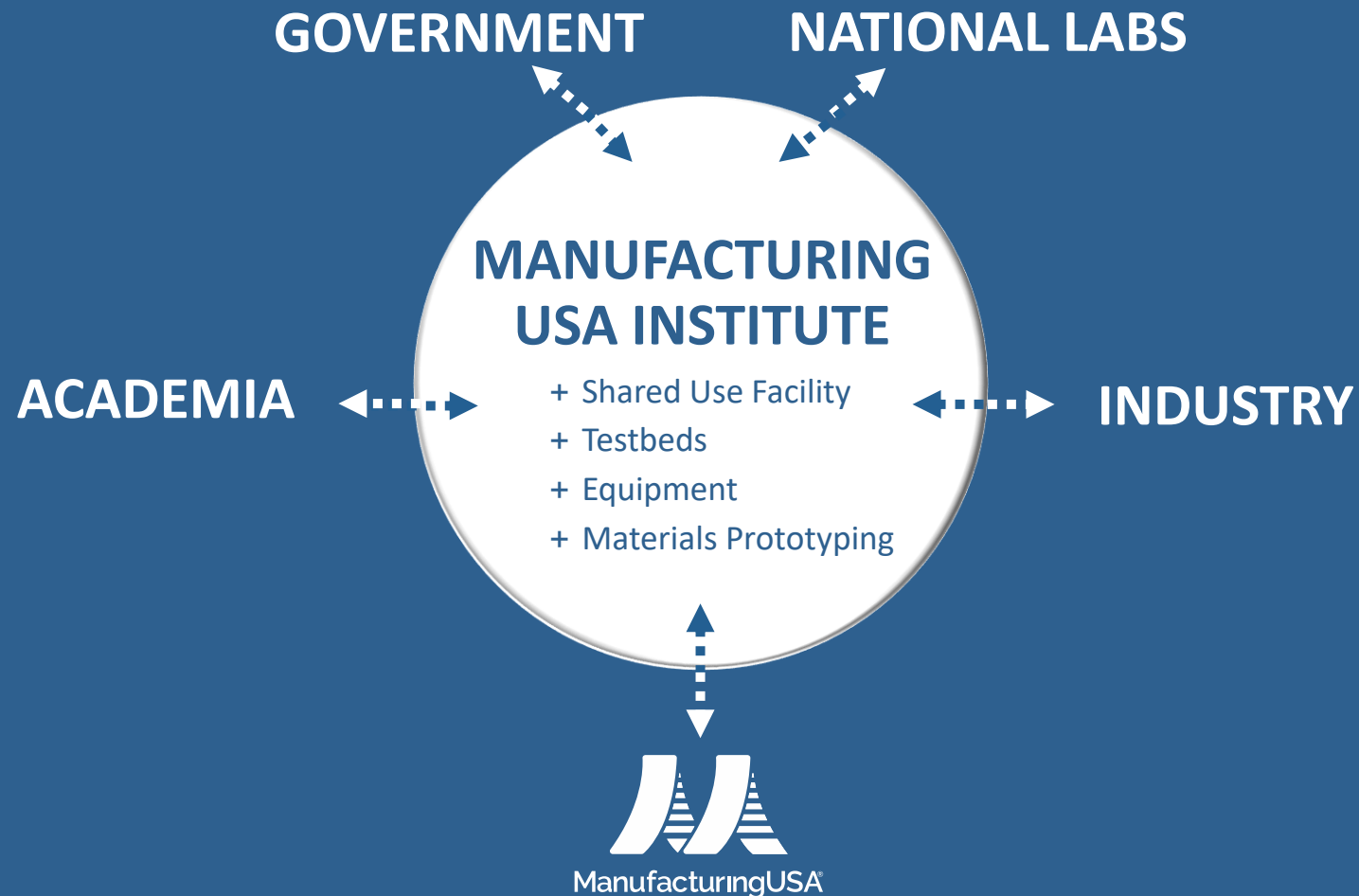
**DEVELOP
INNOVATION
ECOSYSTEMS**

Manufacturing USA Purpose: Accelerate Discovery to U.S. Production

Create an effective collaboration environment for applied industry research to "bridge the gap" from discovery to production.



Institutes Enable Large-Scale Collaboration



COMMON INSTITUTE FUNCTIONS

- + Industry-led consortia
- + Neutral collaboration space
- + Technology development
- + Workforce development
- + Public-private partnership

Manufacturing USA Network: 17 Institutes and Growing

ELECTRONICS



Integrated Photonics
Albany, NY
Rochester, NY



Flexible Hybrid
Electronics
San Jose, CA



Wide Bandgap Semiconductors
Raleigh, NC

MATERIALS



Advanced Fibers and Textiles
Cambridge, MA



Advanced Composites
Knoxville, TN
Detroit, MI



Advanced Materials
Detroit, MI

ENERGY/ ENVIRONMENT



Modular Chemical
Process Intensification
New York, NY



Sustainable
Manufacturing
Rochester, NY



Smart Manufacturing
Los Angeles, CA



Industrial Process
Decarbonization
Tempe, AZ

DIGITAL/ AUTOMATION



Additive Manufacturing
Youngstown, OH
El Paso, TX



Advanced Robotics & AI
Pittsburgh, PA



Digital Manufacturing
& Cybersecurity
Chicago, IL



Cybersecurity in
Manufacturing
San Antonio, TX

BIO- MANUFACTURING



Regenerative
Manufacturing
Manchester, NH



Biopharmaceutical
Manufacturing
Newark, DE



Bioindustrial Manufacturing
St. Paul, MN



Announced: NIST NOI for new AI in Manufacturing institute
NIST NOI for new CHIPS Manufacturing USA semiconductor Digital Twin institute

NIST Additional Resources

NIST

www.nist.gov

Manufacturing Extension Partnership (MEP)

- National Office

www.nist.gov/mep

- State Centers

<https://www.nist.gov/mep/centers>

Manufacturing USA Network

www.Manufacturingusa.com

Manufacturing Technology Roadmap Teams

<https://www.nist.gov/oam/programs/advanced-manufacturing-technology-roadmap-mfgtech-program>

CHIPS Information

<https://www.nist.gov/chips>

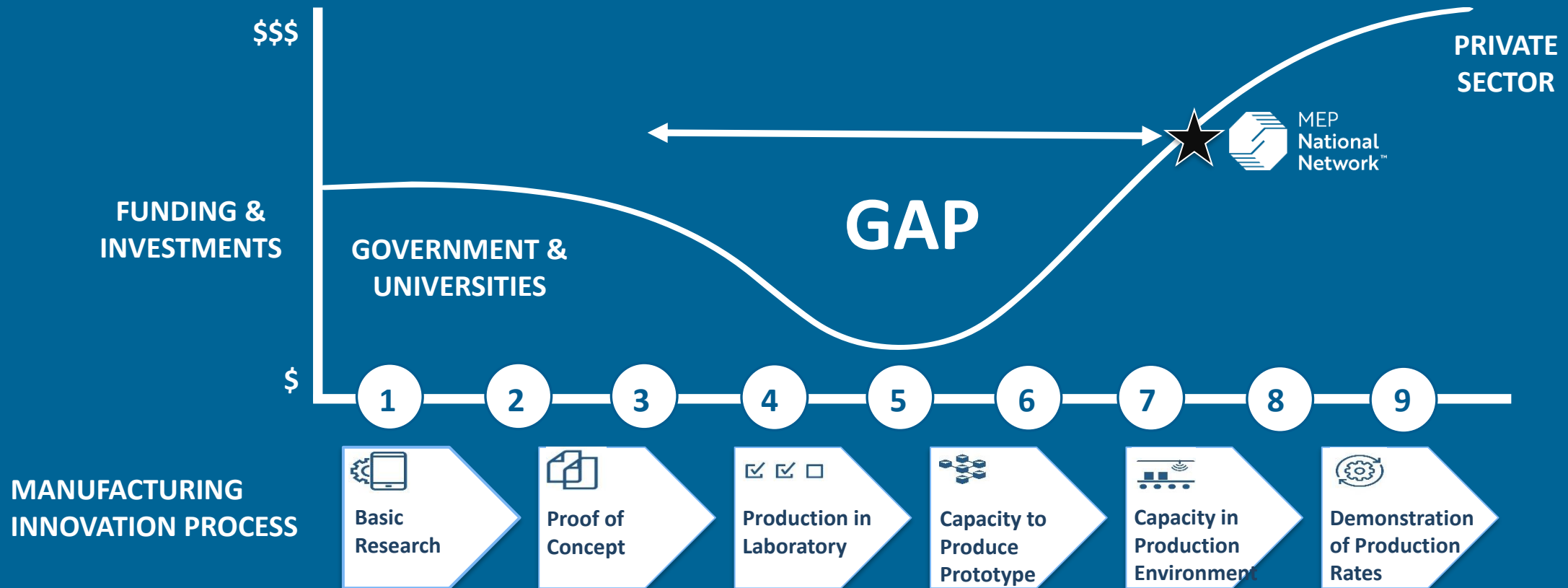
The MEP National Network: The Go-To Experts for Advancing U.S. Manufacturing

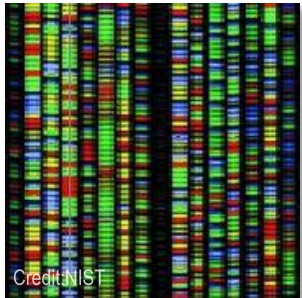


<https://www.nist.gov/mep/mep-national-network>

Accelerate Discovery to U.S. Production

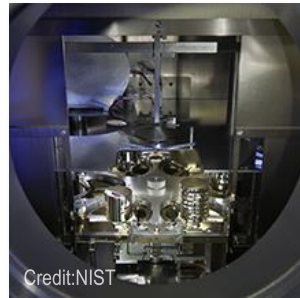
Create an effective collaboration environment for applied industry research to "bridge the gap" from discovery to production.





Credit: NIST

**Material
Measurement
Laboratory**



Credit: NIST

**Physical
Measurement
Laboratory**



Credit: Shutterstock/
Dmitry Kalinovsky

**Engineering
Laboratory**



Credit: Shutterstock

**Information
Technology
Laboratory**



Credit: Shutterstock / Janes 9

**Communication
Technology
Laboratory**



**NIST Center for
Neutron
Research**

**Hollings
Manufacturing
Extension
Partnership**



**Manufacturing
USA**



**Baldrige
Performance
Excellence
Program**



Over
1,400

Manufacturing
Experts

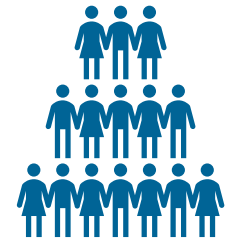
Partners

- Educational institutions
- Federal agencies and labs
- State and local government
- OEMs

Over
2,100

Service
Providers
& Partners

Interacted with
More than



36,000
Manufacturers
in FY 2023

NATIONAL NETWORK

One Center in
Every State and
Puerto Rico



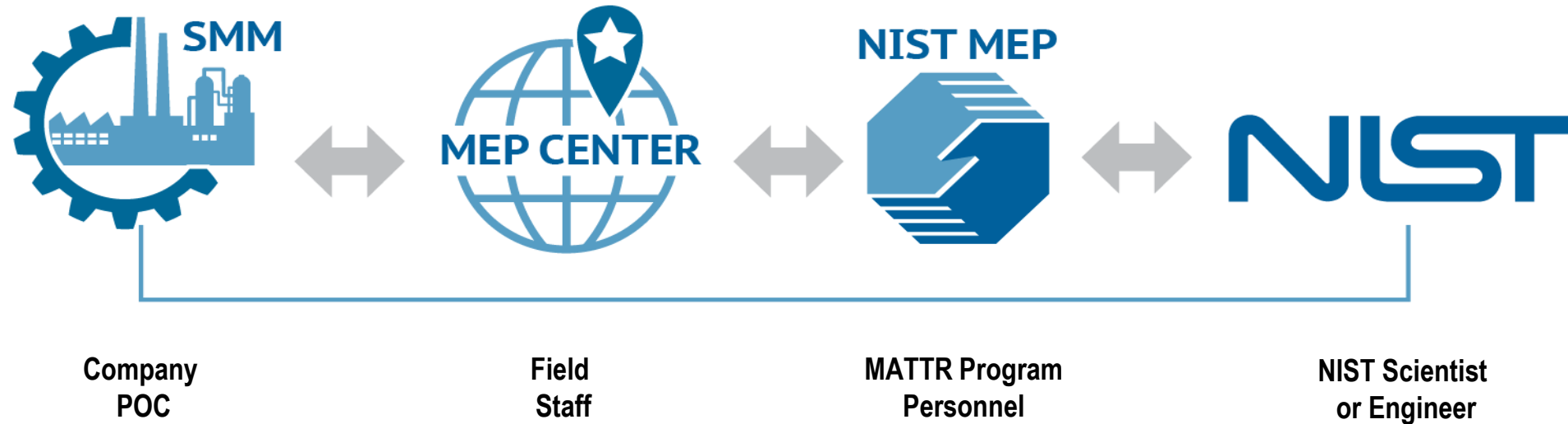
Approximately

469

Service
Locations



Click to edit Master title style



If a Cooperative Research and Development Agreement (CRADA) is warranted, NIST MEP may cover some or all of the costs.

Business Solution Examples





Defense
& Aerospace



Medical Equipment
& Supplies



Food



Transportation



Infrastructure



Buy American

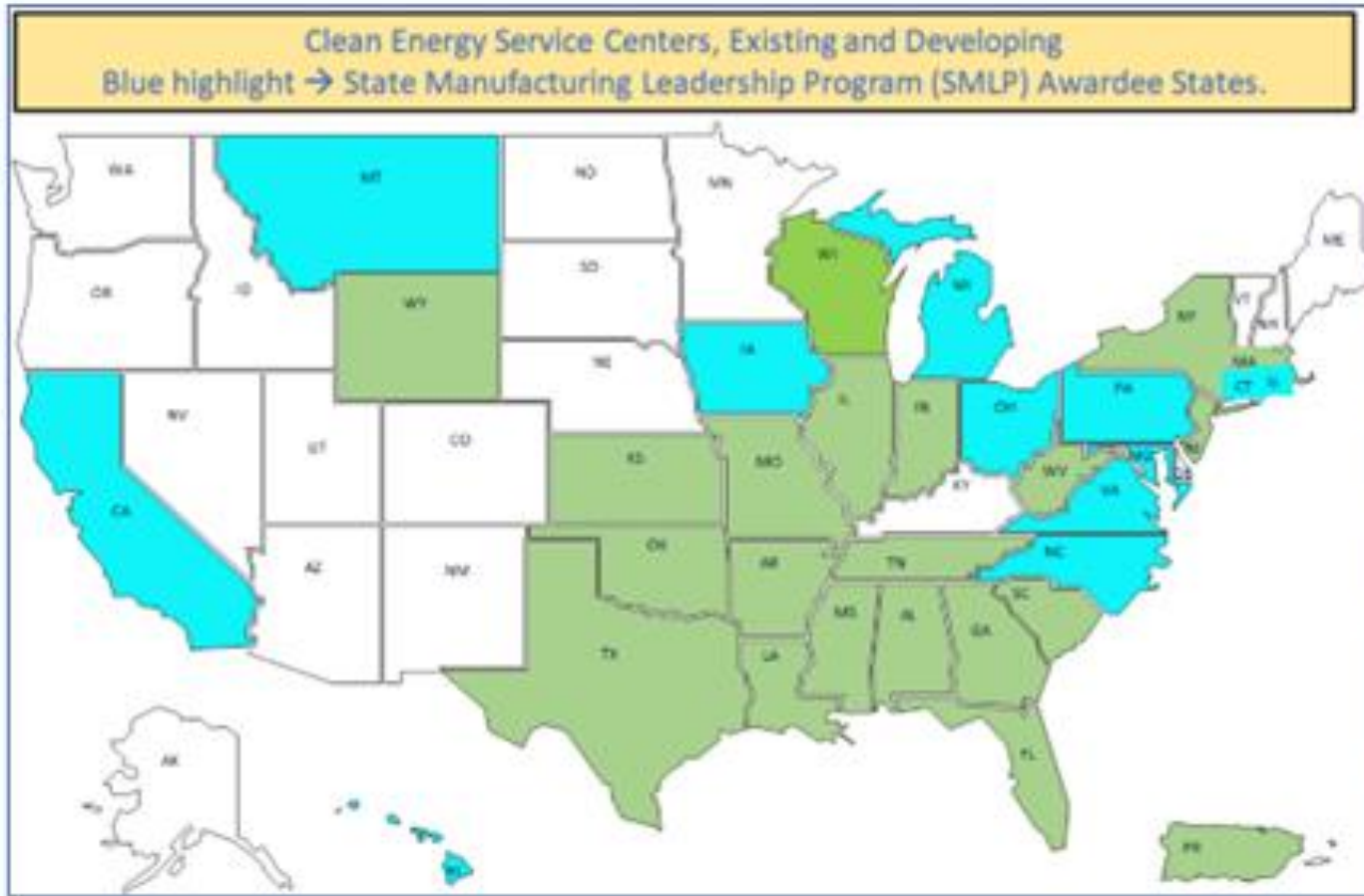


Biomanufacturing



Energy

MEP Centers with Energy Focus



SMLP

- VA, MD, RI, NC, PA, IA, CA, MT, HI, MI, CT, OH

ISO 50001

- PA, SC, NC, AL, TN, TX, AR, GA, IN

H2 Tech Hubs

- OK, HI, PA, LA, OH

IAC

- WV, PR, IN, MO, OH, TN, GA

Others

- FL, MA, NJ, MS, WY, IL, KS, WI

Rebates,
ICE to EV,
Offshore
Wind

Partnerships and Collaborations



Stay Connected



VISIT OUR BLOG!

<https://www.nist.gov/blogs/manufacturing-innovation-blog>

Get the latest MEP National Network news at:

www.nist.gov/mep

Contact Us:

mfg@nist.gov

301-975-5020

Awardee Lessons Learned Panel

Reza Shaeri | Advanced Cooling Technologies, Inc.

Mike Kempkes | Diversified Technologies, Inc.

Natalia Bencomo | Giner, Inc.

Manish Gupta | Nikira Labs - Los Gatos

Jeff DiMaio | Tetramer, Inc.



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Important DOE SBIR/STTR Updates

FY24 Phase II, Release 2 – last FOA for 2024

New Application Requirements

DOE Applicant & Awardee Resources



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FY 2024 Funding Opportunities



Phase II	Release 1	Release 2
FOA Issued	Monday, October 16, 2023	Monday, February 26, 2024
Document	DE-FOA-0003184	DE-FOA-0003279
Webinar(s)	Phase II Release 1 FOA Webinar Slides	Phase II Release 2 FOA Webinar Slides
LOI (All Phase II applications) Due	Tuesday, November 7, 2023 5:00pm ET	Wednesday, March 27, 2024 5:00pm ET
Applications Due	Tuesday, December 5, 2023 11:59pm ET	Tuesday, April 30, 2024 11:59pm ET
Cybersecurity Self-Assessment for Phase II Applicants	November 1, 2023 Slides	
Award Notification	Tuesday, February 20, 2024**	Monday, July 29, 2024**
Projected Grant Start Date	Monday, April 1, 2024	Tuesday, September 10, 2024



DOE Application Review Criteria

Technical Merit

Ability to Carry Out
the Project

Impact

PIER Plan

- Idea is novel
- Must be R&D!
- Responsiveness to the topic & subtopic
- Solid work plan to prove feasibility
- Team composed of the right expertise
- Societal & Scientific Impact; Commercial opportunity
- [Solid plan](#) for promoting equity and inclusion (*new FY24!* – [review webinar](#))

Phase II Cybersecurity Self-Assessment

- New Phase II application requirement that uses Cybersecurity and Infrastructure Security Agency's (CISA) Cybersecurity Performance Goals (CPG) Checklist for the self-assessment; <https://www.cisa.gov/resources-tools/resources/cisa-cpg-checklist>
- Review [overview webinar](#) held on November 1 and [slides](#)
- Cybersecurity self-assessment is evaluated as part of DOE's assessment of risk; DOE may elect not to fund applications that present unacceptably high levels of risk
- Questions - contact Florence Carr (new cybersecurity specialist) - florence.carr@science.doe.gov

Phase II TABA

- You **must** select your own third-party vendor and include in your budget (above MAX award amount) with budget justification and LOC **in your application**
- Phase IIA, IIB and IIC are also eligible for TABA funds
- **Up to \$50,000** (Phase II) over MAX award amount
 - *Example: \$1,100,000 for R&D and \$50,000 for TABA. Request is \$1,150,000*
- See FOA for specifics but Phase II TABA services could include:
 - Market research/validation
 - IP
 - **Development of certifications and regulatory plans**
 - **Development of manufacturing plans**
 - And more...

DOE SBIR/STTR Resources



Early-Stage
Innovation
SBIR & STTR

Commercialization
Private Funding



Applicant Resources

Phase 0 Application Assistance

Sequential Phase IIs

Phase I Commercialization Program

Phase Shift I & Phase Shift II

TABA funds

Partnering Resources and Phase II Workshops

Diversity Supplement for Phase II Awardees



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Other DOE Resources



Early-Stage
Innovation
SBIR & STTR

Commercialization
Private Funding



Partnering with National Laboratories
National Labs – POCs and Core Capabilities
Technology Commercialization Fund (TCF)

Demonstration Facilities: Idaho, NREL, ORNL
Office of Clean Energy Demonstrations
Loan Programs Office

Lab-Embedded Entrepreneurship Program (LEEP)
OTT/OCED/EERE Voucher Program
American-Made Challenges
National Energy Research Scientific Computing Center (NERSC)

Questions??

We value your feedback to help us improve
the DOE SBIR/STTR Programs

Interested in understanding your individual
partnering needs

carol.rabke@science.doe.gov
585.576.7981

eileen.chant@science.doe.gov

dave.mccarthy@science.doe.gov

florence.carr@science.doe.gov



<https://www.sbirpartnering.com/>





Looking for PARTNERS?



<https://www.sbirpartnering.com/>